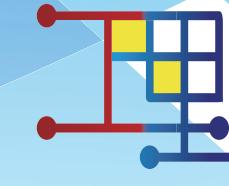


東北大学金属材料研究所  
先端エネルギー材料理工共創研究センター  
第9回ワークショップ

Institute for Materials Research, Tohoku University  
Collaborative Research Center on Energy Materials (E-IMR)  
E-IMR International Workshop 2024  
November 2024



## Opening address

As a satellite of the Summit of Materials Science (SMS) to be held at the Institute for Materials Research (IMR), Tohoku University on 27th and 28th November 2024, the E-IMR Centre (Collaborative Research Centre on Energy Materials, IMR) is going to hold the “E-IMR International Workshop 2024 (E-IMR IWS 2024)” on the 26th November, the day before SMS.

The recent issues of energy and global warming/climate change have increased the importance of solving these problems, and the research and development of mechanisms for securing new energy sources, energy conversion and storage materials have become urgent tasks. The development of new materials for energy has become an essential issue and is currently a priority area of focus in IMR.

The activities of IMR, which was established in April 2015, will enter its ninth year in FY2024, and from FY2022, when the “Fourth Medium-Term Goals and Plans” of MEXT began, the E-IMR Centre was reorganized, expanded and strengthened as the second phase of its mission. The E-IMR centre was reorganized into four research units: 1. Solar Energy Conversion Materials Research Unit, 2. Energy Storage Materials Research Unit, 3. Materials Evaluation and Analysis Research Unit, and 4. Novel materials unit towards social implantation. In particular, Materials Evaluation and Analysis Research Unit has been newly established, with a team structure that can carry out quantum beam analysis using synchrotron radiation and neutrons, first-principles calculations, as well as materials evaluation and prediction using materials informatics (MI).

Each research unit, which is composed of researchers from the fields of science and engineering, promotes world-class materials research by exploring the research frontiers in the field of energy materials, and employs three specially appointed assistant professors to foster young researchers with advanced research skills in interdisciplinary fields. In addition, we are promoting research in areas not completely covered by IMR, with the participation of faculty members and researchers from research organizations not only inside but also outside IMR, including the Graduate School of Engineering, the Advanced Institute for Materials Research (AIMR), and the International Center for Synchrotron Radiation Innovation Smart (SRIS).

Our mission is to establish innovative energy materials and composite module creation that contributes to maximizing solar energy utilization and the three storages of “heat, electricity, and hydrogen”. Through these research topics, we intend to contribute to the construction of a green energy society using solar energy towards carbon neutrality.

26th Nov. 2024(R6).

Head, E-IMR Centre  
Institute for Materials Research, Tohoku University  
Professor Dr. Tetsu ICHITSUBO

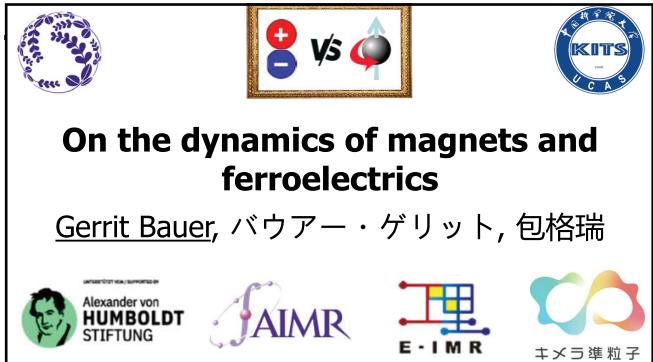
# 「E-IMR International Workshop 2024」

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【 Research Publication 】



1



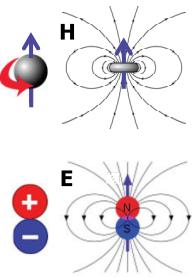
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**Contents**

- Magnets and ferroelectrics
- Dynamics of magnets:  
Magnonics
- Dynamics of ferroelectrics:  
Ferronics

**PRApplied**

PHYSICAL REVIEW APPLIED 20, 050501 (2023)  
Perspective



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**Magnetic materials of interest**

<b>CoFeB</b> 	<b>Hematite, Fe<sub>2</sub>O<sub>3</sub></b> (Lebrun <i>et al.</i> , 2020) 	<b>NC-AFM</b> 
<b>Yttrium iron garnet (YIG)</b> 	<b>CrPS<sub>4</sub></b> (de Wal <i>et al.</i> , 2023) 	

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**Ferroelectric materials of interest**

<b>hopping protons</b>  NaNO <sub>2</sub> : $T_c \sim 450$ K <b>shift in polar bilayers</b> (Yasuda <i>et al.</i> , 2020)	<b>molecular dipoles</b>  KH <sub>2</sub> PO <sub>4</sub> : $T_c \sim 122$ K	<b>soft optical phonons</b>  BaTiO <sub>3</sub> : $T_c \sim 400$ K
<b>Moiré charge-transfer</b> (Zheng <i>et al.</i> 2020; Niu <i>et al.</i> , 2022)  BLG $T_c \sim 100$ K		

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**Applications of magnets and ferroelectrics**

Information and communication technologies		
Technology	Magnets	Ferroelectrics
non-volatile memories	tapes, disks, M-RAMs	F-RAM
sensors and actuators	magnetic fields etc.	vibrations etc.
electronics	inductors	capacitors
computing	p-bits, spin wave interconnects	polarization waves

Energy/heat management		
Heat managing	Magnets	Ferroelectrics
quasi-equilibrium/reversible cooling	magnetocalorics	electrocalorics
non-equilibrium/irreversible	Nernst/Ettingshausen effect	?
	spin-dependent and spin Seebeck/Peltier effect	polarization
heat switches	K. Uchida c.s. (2023)	Seebeck/Peltier effect GB <i>et al.</i> (2022) Wooten <i>et al.</i> (2023)

6

**Spin polarization waves and magnons**

Landau-Lifshitz equation:  $\frac{d\mathbf{M}}{dt} = -\gamma \mathbf{M} \times \mathbf{B}_{\text{eff}}$ ;  $\mathbf{B}_{\text{eff}} = -\frac{\delta F[\mathbf{M}]}{\delta \mathbf{M}}$   
free energy

Linearized solution (exchange spin waves):

$$\hbar\omega_k = \hbar\omega_0 + Ak^2$$

$$\omega_0 = \gamma B_{\text{eff}}$$

$$v_g = \left( \frac{d\omega_k}{dk} \right)_k$$

Charles Kittel (1916-2019)

**Magnons** are the quanta of spin waves with  $M_k \approx \mu_B$  (Bosons):

$$n_k = \frac{1}{e^{\frac{\hbar\omega_k}{k_B T}} - 1}$$

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**Electric polarization waves and ferroms (displacive)**

Landau-Khalatnikov equation:  $\frac{1}{\epsilon_0 \omega_p^2} \frac{d\mathbf{P}}{dt} = E_{\text{eff}}[\mathbf{P}]$ ;  $\omega_p^2 = \frac{1}{\epsilon_0 V_0} \sum_i \frac{Q_i^2}{M_i}$ ;  $E_{\text{eff}} = -\frac{\delta F[\mathbf{P}]}{\delta \mathbf{P}}$

Linearized solution (polarization waves):

$$\hbar\omega_k = \hbar\omega_0 + Ak^2$$

$$\omega_0 = \sqrt{\epsilon_0 \omega_p^2 E_{\text{eff}}}$$

$$v_s = \left( \frac{d\omega_k}{dk} \right)_k$$

**Ferroms** are the quanta of polarization waves with Planck statistics:

$$n_k = \frac{1}{e^{\frac{\hbar\omega_k}{k_B T}} - 1}$$

8

**Hellmann-Feynman theorem for magnons and ferroms**

**Magnon:** a quasiparticle that carries a magnetic dipole

Zeeman interaction:  $\mathcal{H}_Z = \mathcal{H}_0 - \mathbf{M} \cdot \mathbf{B}$  and  $\mathcal{H}_Z \psi_i = \epsilon_i \psi_i$

$$\Rightarrow \langle \mathbf{M} \rangle_i = -\frac{\partial \epsilon_i}{\partial \mathbf{B}} = O(\mu_B)$$

**Ferron:** a quasiparticle that carries an electric dipole

Stark interaction:  $\mathcal{H}_S = \mathcal{H}_0 - \mathbf{P} \cdot \mathbf{E}$  and  $\mathcal{H}_S \psi_i = \epsilon_i \psi_i$

$$\Rightarrow \langle \mathbf{P} \rangle_i = -\frac{\partial \epsilon_i}{\partial \mathbf{E}} = ?$$

Optical phonons in inversion symmetric systems are not ferroms:  $\omega_{OP}(E) = \omega_{OP}(-E) \Rightarrow \langle \mathbf{P} \rangle_{OP} = 0$

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**First experiments: thermal conductivity**

Wooten et al. (OSU, 2023)

Graph of  $\kappa'/\kappa; D'/D; V_A'/V_A$  (m/V) vs T(K):

- $\kappa'/\kappa$
- $D'/D$
- +  $V_A'/V_A$
- calculated  $\kappa'/\kappa$

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**Ferroelectric drag effect (P. Tang et al., 2023)**

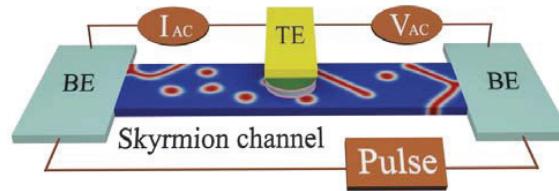
$$ZT = \frac{G_{\text{graphene}} S^2 T}{K_{\text{FE}}}$$

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**Magnonic vs. ferronics**

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# Towards Functional Skyrmion Racetrack Memory



Wanjun Jiang

Department of Physics, Tsinghua University, Beijing

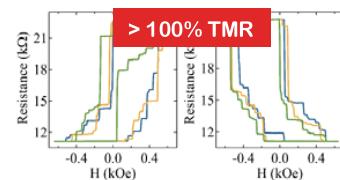
[jiang\\_lab@tsinghua.edu.cn](mailto:jiang_lab@tsinghua.edu.cn)

## Outline

**1: Background**

**2: Emerging materials and Topological physics**

**3: Deterministic generation and long-distance  
transportation of skyrmions**



**4: Optimization of skyrmionic MTJ**

**5: Conclusion and outlook**

# Application of magnetism

## Information technology



## Electronics



## Electric vehicle



## Transportation



## Life science



## Defense technology



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# Spintronics

$$S = -\frac{1}{2}$$



$$S = +\frac{1}{2}$$

Spin up (1)

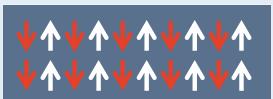
Spin down (0)



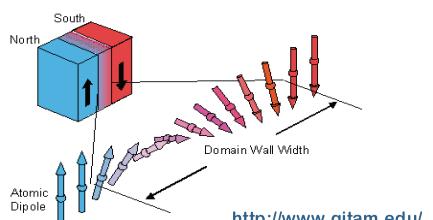
Ferromagnetism  
 $M = \sum s \neq 0$



Ferrimagnetism  
 $M = \sum s \neq 0$



Antiferromagnetism  
 $M = \sum s = 0$

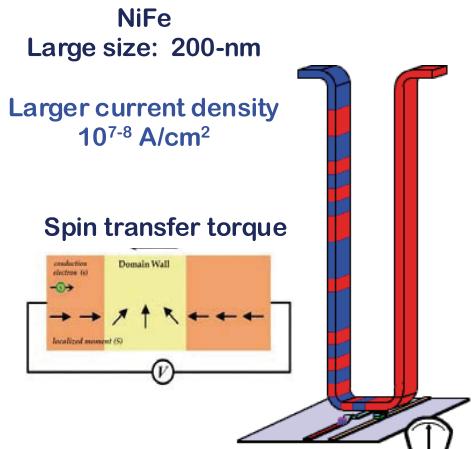


Nanoscale spin textures

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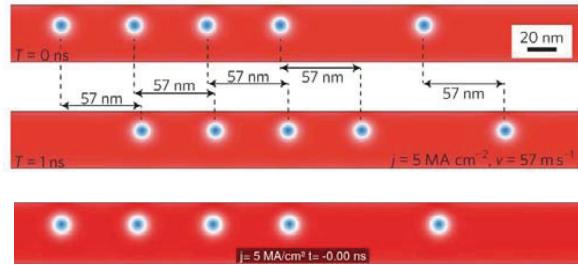
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# Racetrack: from domain wall to skyrmion



## Domain wall racetrack memory

S. Parkin et. al., Science (2008)



Non-volatile (topological protection)  
Ultra-efficient (spin-orbit torques)  
Ultra-dense (0.5 - 5 nm)  
C-MOS compatible (thin film)

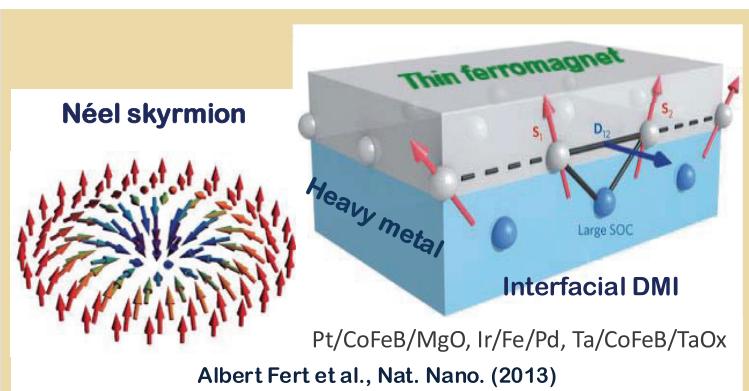
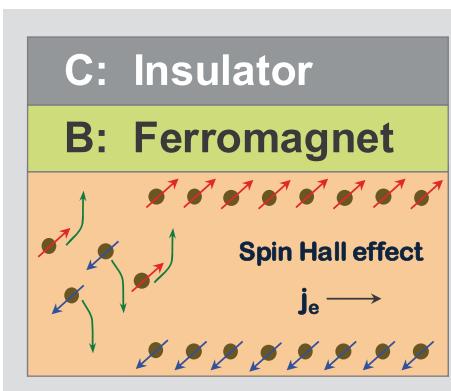
## Skyrmion racetrack memory

Albert Fert et al., Nat. Nano. (2013)

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# Néel skyrmions in magnetic multilayer



Promising

(room temperature, few nanometers skyrmion,  
novel driving mechanism, massive production,)

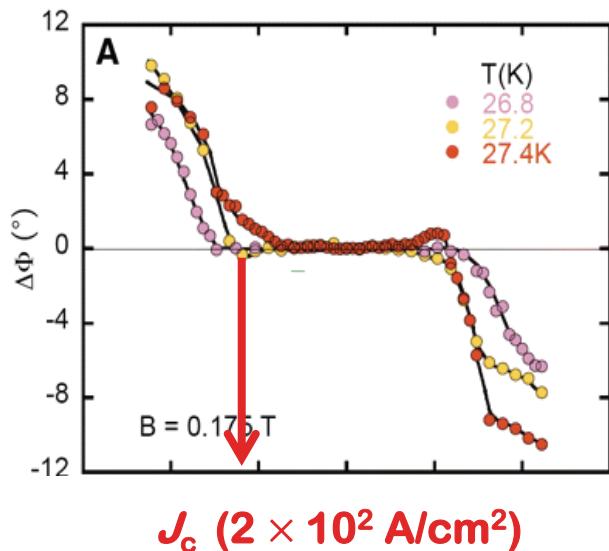
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# Nano size and low-power consumption



Romming et al., Science, 2013



Jonietz et al, Science, 2010

5 nm,  
20 K

0.0001 of MRAM devices,  
30 K

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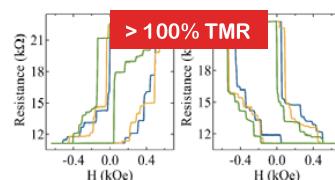
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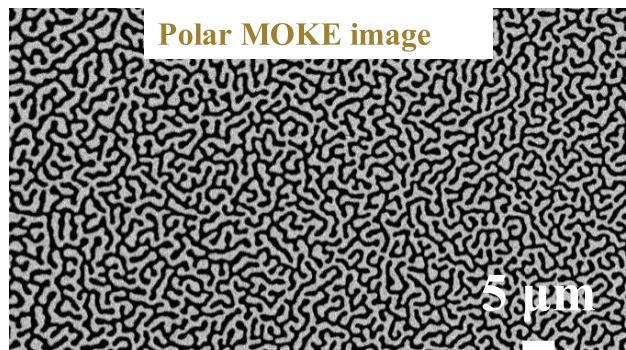
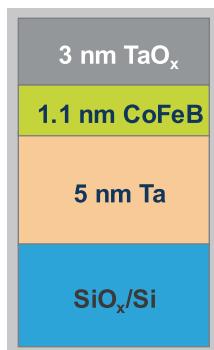
8

# A simple multilayer: Ta/CoFeB/TaO<sub>x</sub>



UHV Sputtering system

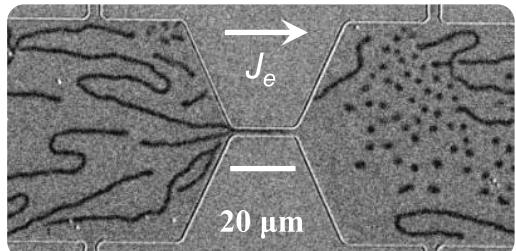
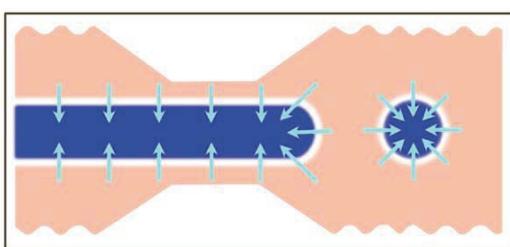
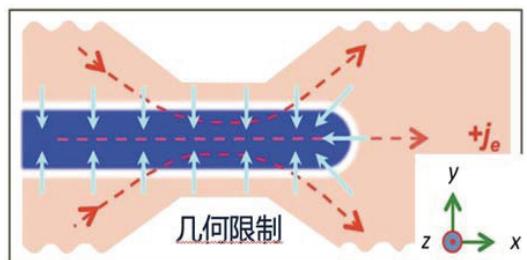
Insulator  
Ferromagnet  
Heavy metal



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## Blowing skyrmion bubbles by currents



Ta/CoFeB/TaO<sub>x</sub>, current density  $\sim 7 \times 10^4$  A/cm<sup>2</sup>

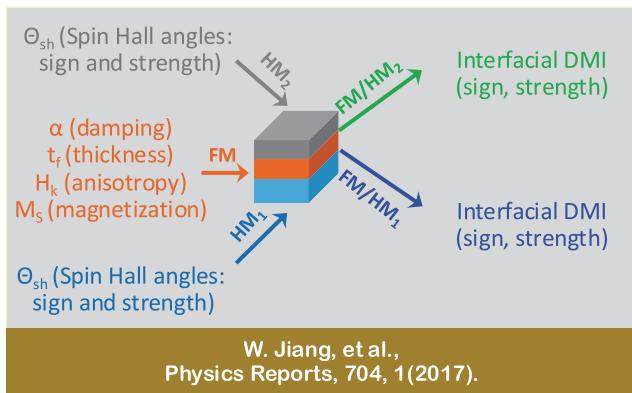
“Blowing” Néel-type skyrmions at room temperature

W. Jiang, et al., Science 349, 283 (2015), postdoc work at Argonne.

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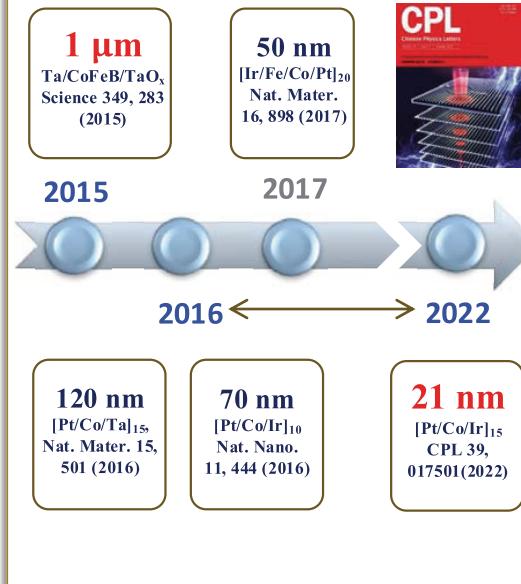
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# Emerging skyrmion materials



- W. Jiang, et al., Science 349, 6245 (2015).
- W. Jiang, et al., Phys. Rev. B, 99, 104402 (2019).
- W. Jiang, et al., Physics Reports 704, 1–49 (2017).
- Z. Wang, et al., Nature Electronics 3, 672 (2020).
- H. Zhou, et al., Adv. Funct. Mater. 31, 2104426 (2021).
- T. Xu, et al., Phys. Rev. Materials 5, 084406 (2021).
- J. Liu, et al., Chin. Phys. Lett., 39, 017501 (2022).
- Q. Zhang, et al., Phys. Rev. Lett. 128, 167202 (2022).
- T. Xu, et al., ACS Nano 17, 7920 (2023).
- Y. Dong, et al., Appl. Phys. Lett. 124, 162407 (2024).

## Interface optimization: material, thickness, stacking

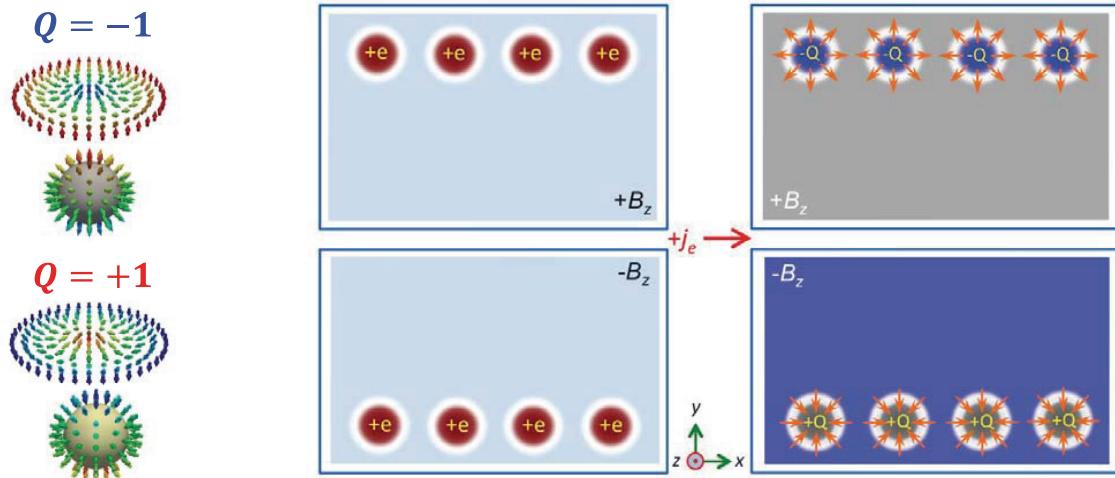


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# Emerging topological physics

<b>topological charge</b> $Q = \frac{1}{4\pi} \int \mathbf{m} \cdot (\partial_x \mathbf{m} \times \partial_y \mathbf{m}) dx dy$	<b>electric charge <math>q_e</math></b> <b>Lorentz force</b> $F_i = q_e (\mathbf{v} \times \mathbf{B}_z)$	<b>topological charge <math>Q</math></b> <b>Magnus force</b> $f_m = Q (\mathbf{v} \times \mathbf{e}_z)$
--	---	---



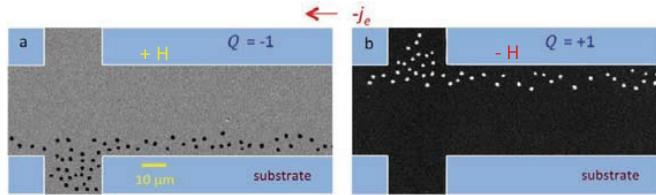
Quasiparticles bring new flavors into the Hall family!

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# Skyrmion Hall effect and thermodynamics

## Skyrmion Hall effect: New member in the Hall Trio

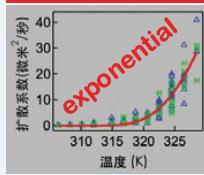


## Nonlinear topological thermodynamics of skyrmions

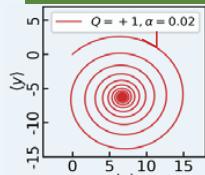
### Linear diffusion



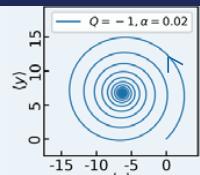
### Experiment



### Clockwise



### Counterclockwise



W. Jiang, et al., Nature Physics 13, 162 (2017).

Z. Wang, et al., Phys. Rev. B, 100, 184426 (2019).

L. Zhao, et al., Phys. Rev. Letts. 125, 027206 (2020).

C. Song, et al., Nano Letters, 22, 9368 (2022).

J. Liu, et al., Nano Letters, 23, 4931 (2023).

Y. Yang, et al., Nature Communications 15, 1018 (2024).

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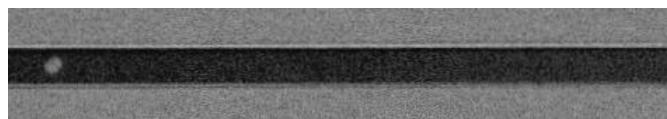
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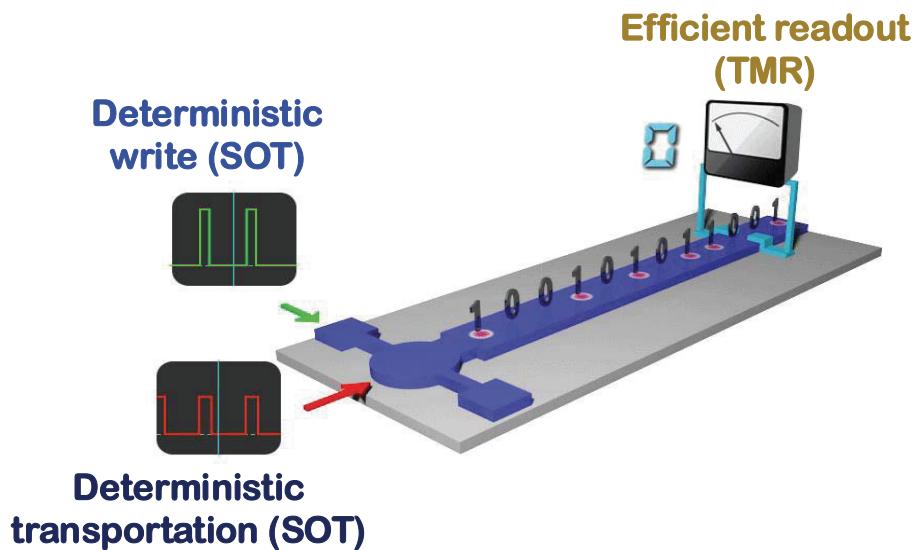
4: Optimization of skyrmionic MTJ

5: Conclusion and outlook

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# Skyrmions on the racetrack

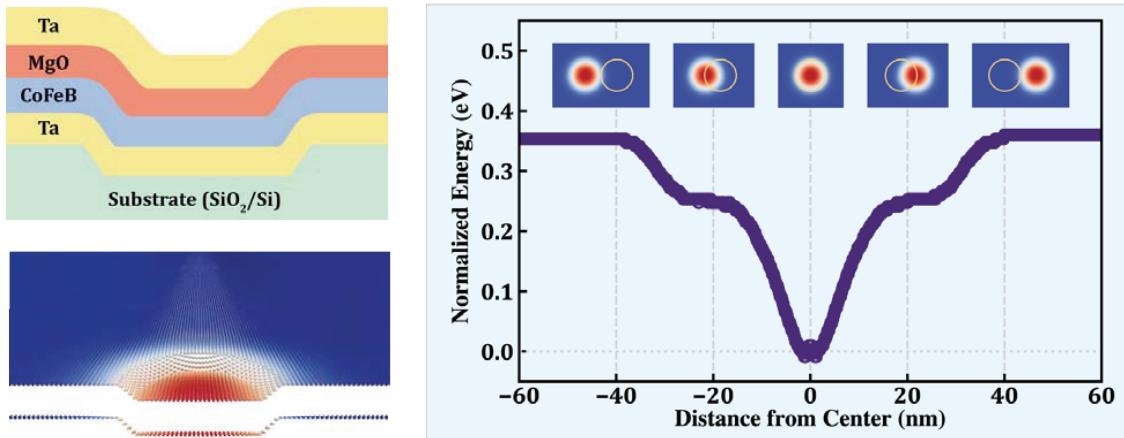


Three key challenges:  
bottleneck of device applications

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## Multiphysics simulation



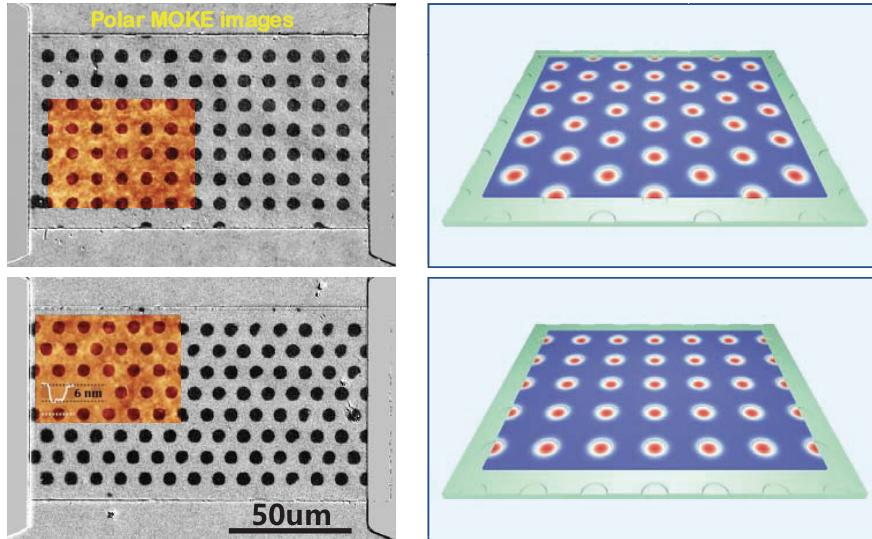
- ◆ Introduce concaved surface **with nm-thick indentations**
- ◆ Subsequent grow magnetic films on these substrate
- ◆ concaved surface generates unflat energy landscape
- ◆ Local energy minima **traps skyrmions**

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# Patterning of skyrmion lattice

$B_z = +0.12\text{mT}$  After  $J \sim 5 \text{ MA/cm}^2$



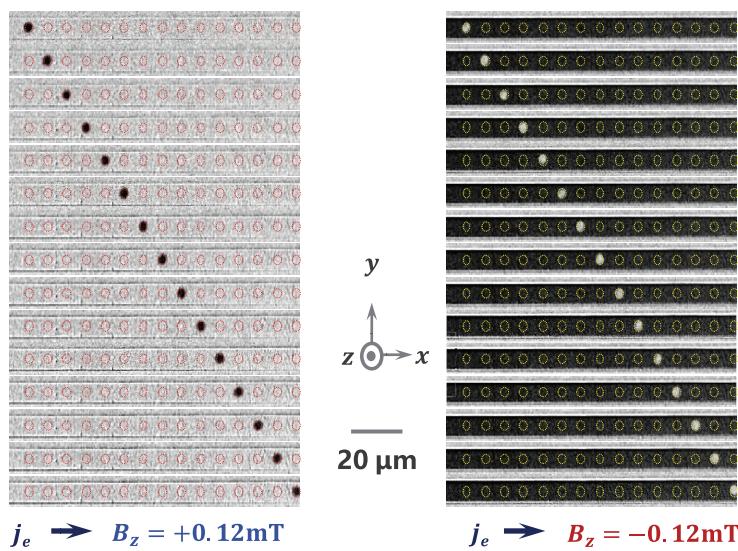
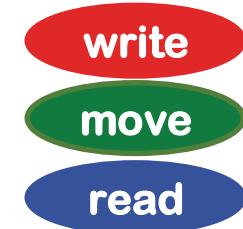
- ◆ 6nm-thick, 4μm-diameter periodic indentations
- ◆ Designed skyrmions by the geometry of indentations

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## 1D skyrmion racetrack

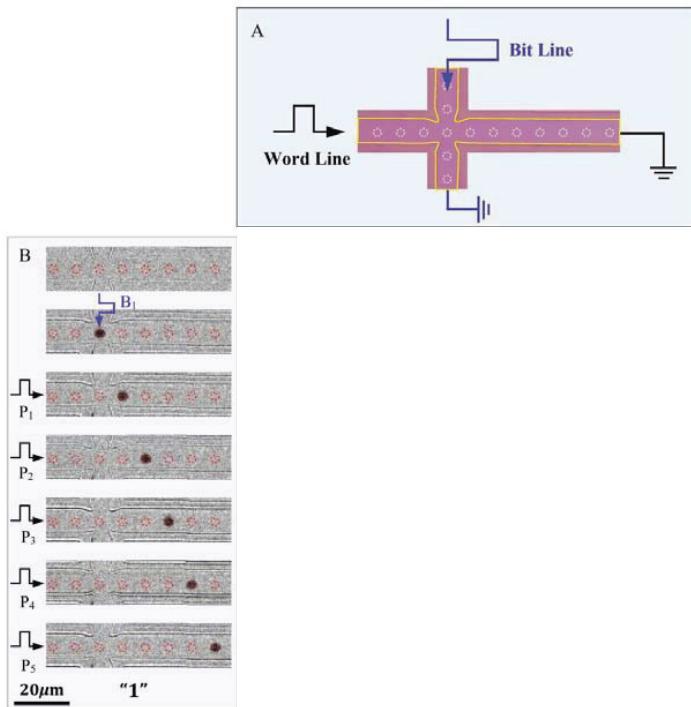
- Deterministic generation of skyrmions
- Precisely controlled transportation
- Electric detection of skyrmions by TMR



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# Skyrmion shift register



Wanjun Jiang (江万军) Jiang\_lab@tsinghua.edu.cn

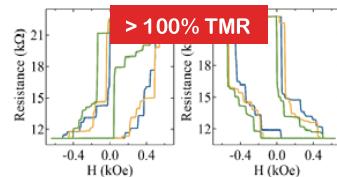
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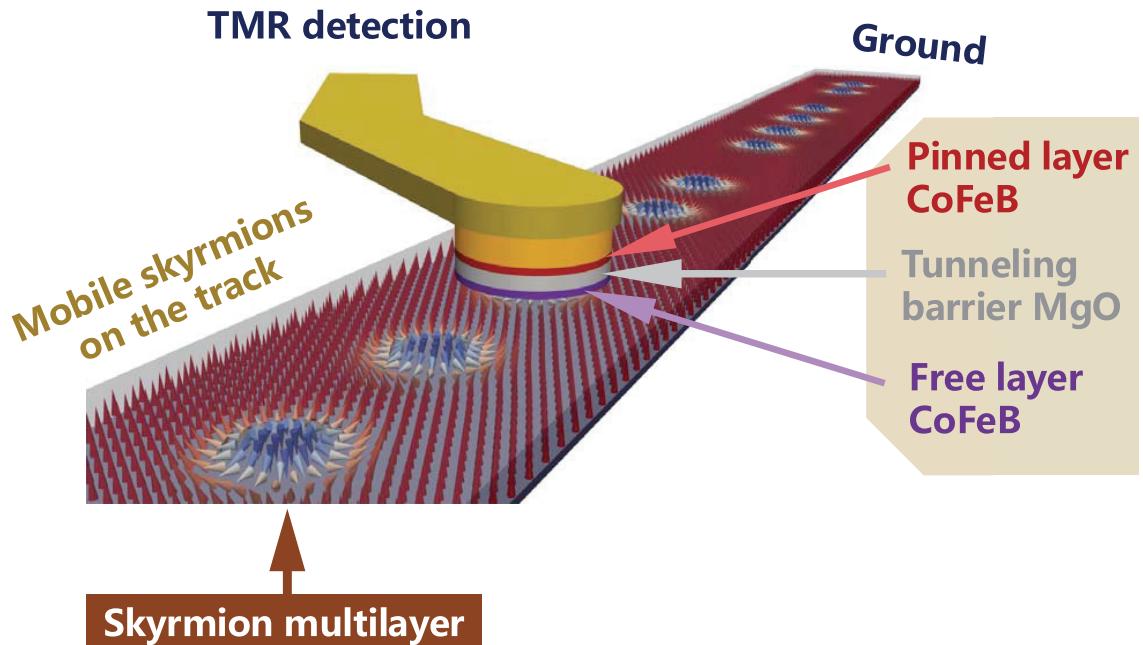
4: Optimization of skyrmionic MTJ

5: Conclusion and outlook

Wanjun Jiang (江万军) Jiang\_lab@tsinghua.edu.cn

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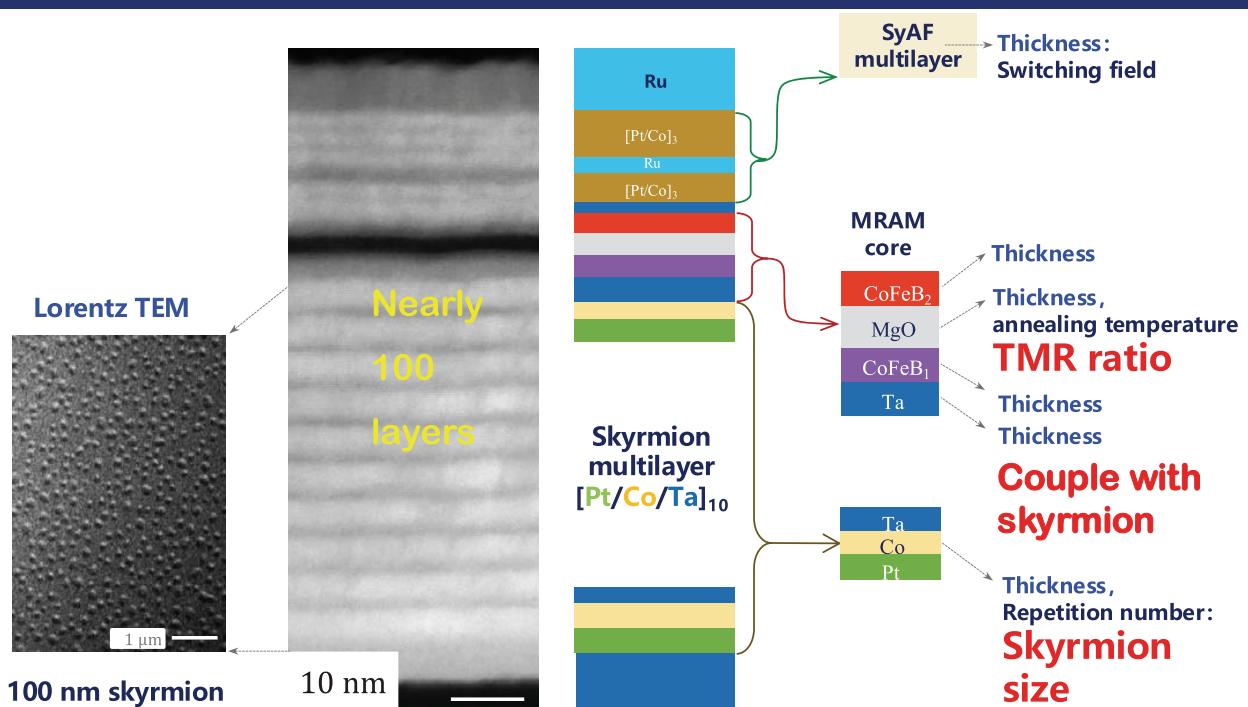
# Concept of skyrmion racetrack memory



Wanjun Jiang (江万军) Jiang\_lab@tsinghua.edu.cn

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## Typical Skyrmion MTJ



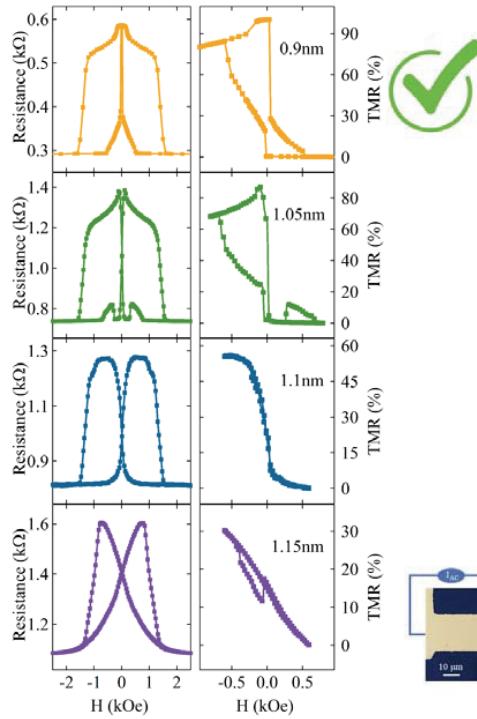
◆ Complex stack, many parameters, challenging to optimize

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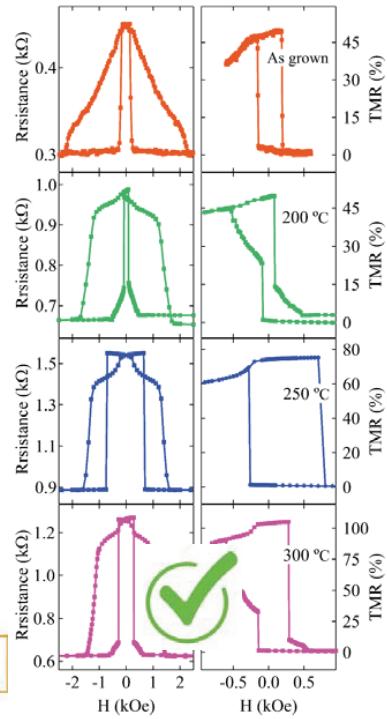
22

# Optimization of skyrmion MTJ

## Thickness of bottom CoFeB



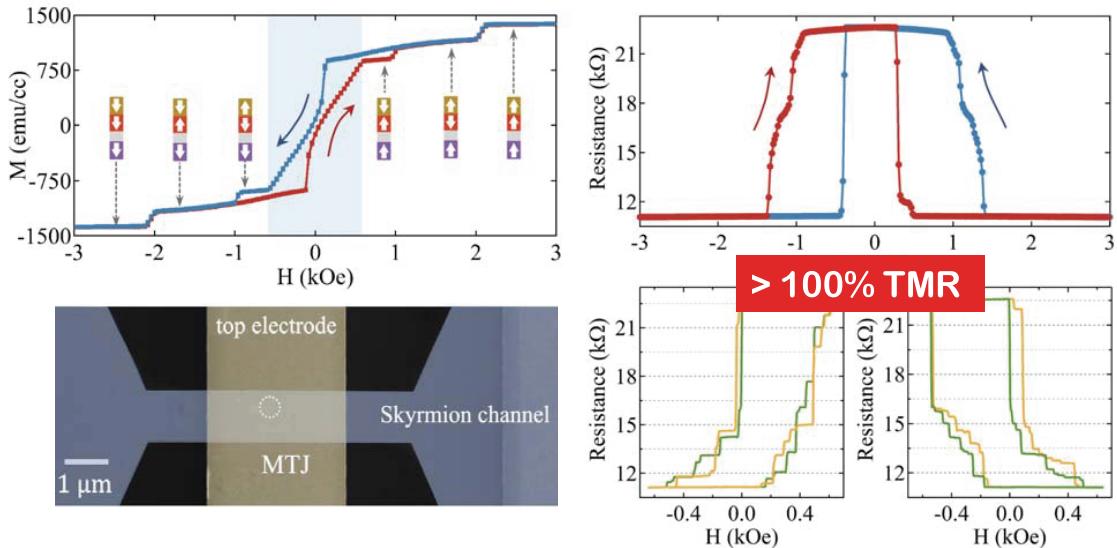
## Effect of annealing temperature



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# Performance of skyrmion MTJ



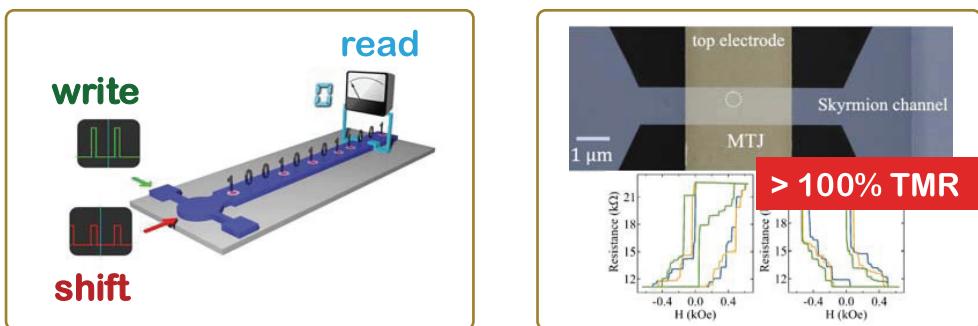
- ◆ Fabricated 500 nm skyrmion MTJ
- ◆ Efficient detection of skyrmions with 100% TMR
- ◆ TMR steps may suggest the existence of skyrmions

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# Conclusions

- ◆ Optimized materials and topological physics
- ◆ Deterministic generation and transportation of skyrmions
- ◆ Efficient detection of skyrmions with 100% TMR



Thank you for your attention!

Students and postdocs wanted!

# MEMO



## Dissociative Oxygen Adsorption and Incorporation in $\text{Co}_3\text{O}_4$ -Dispersed $\text{BaZr}_{0.9}\text{Sc}_{0.1}\text{O}_{2.95}$ for PCFC Cathode

Hitoshi Takamura

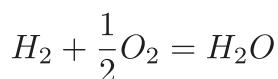
S. Kamohara, Akihiro Ishii, Itaru Oikawa

Department of Materials Science, Graduate School of Engineering,  
Tohoku University, Sendai, Japan

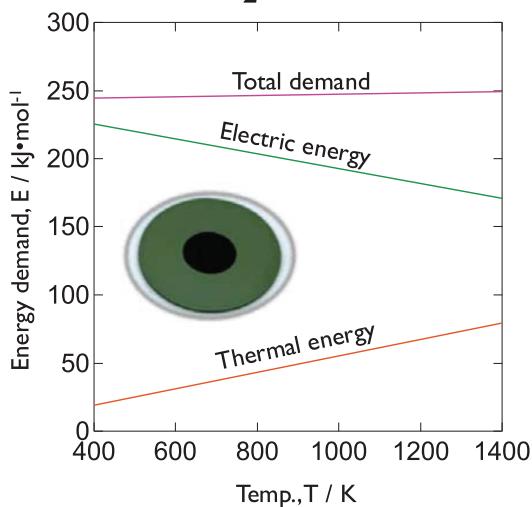
E-IMR workshop, Nov 26, 2024, Sendai Japan



## Solid Oxide Cells (SOCs)



SOC : Highest efficiency • Reversible operation



### Funding

USA : Department of Energy (DOE)

FY2021 Hydrogen Shot “III”

(1\$/1kg in 1 decade)

FY2022 PEM electrolyzer > 620 MW

EU : Clean Hydrogen JU (€150 M)

Target efficiency :  $\approx 3.0 - 3.5 \text{ kWh/Nm}^3$

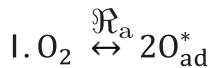
Target cost : 300 \$/kW, 400—500 €/kW

### Industries

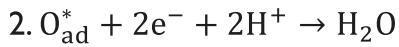
USA : Bloom Energy, Fuel Cell Energy, etc.

EU : Sunfire, Topsoe, Ceres Power, etc.

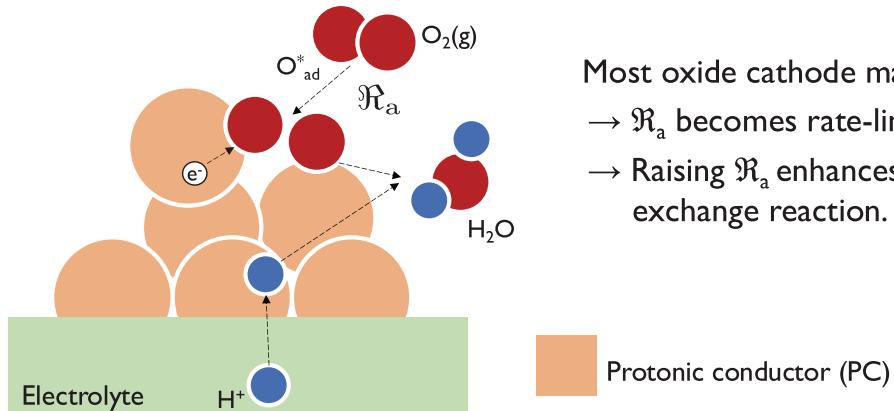
## Surface Oxygen Exchange Reaction



Dissociative adsorption reaction



Water generation reaction



Most oxide cathode materials

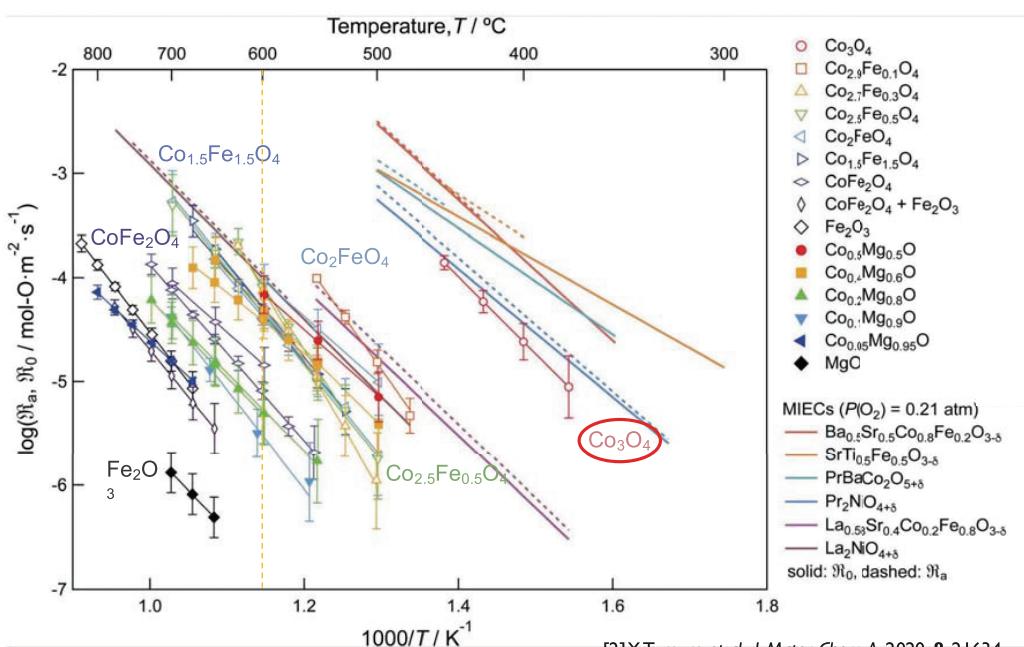
→  $\mathfrak{R}_a$  becomes rate-limiting step<sup>[1]</sup>

→ Raising  $\mathfrak{R}_a$  enhances the total surface exchange reaction.

[1] G. Mather et al., Appl. Sci., 2021, 11, 5363.

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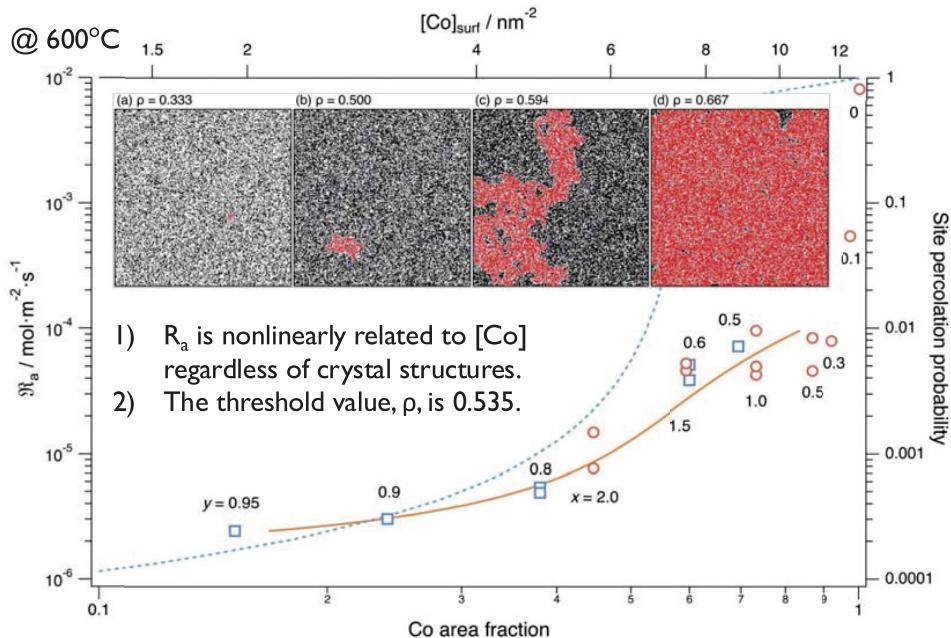
## Dissociative adsorption rate of Co-containing oxides



[2] Y. Tomura et al., J. Mater. Chem. A, 2020, 8, 21634.

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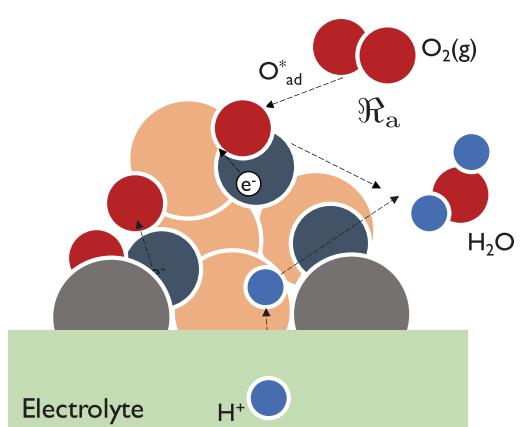
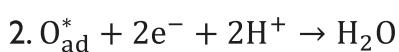
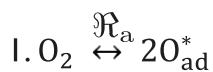
## $R_a$ vs. [Co] for Co-based oxides



[2] Y. Tomura et al., J. Mater. Chem. A, 2020, 8, 21634.

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## Surface Oxygen Exchange Reaction



The focus of this study:

Adding  $\text{Co}_3\text{O}_4$  with high  $R_a$  to enhance the cathode property.

- ⇒ Works as a catalyst to promote surface exchange rate
- ⇒ What amount of Co is required?
- ⇒ Dispersed or solid solution?

Prototypic conductor (PC)

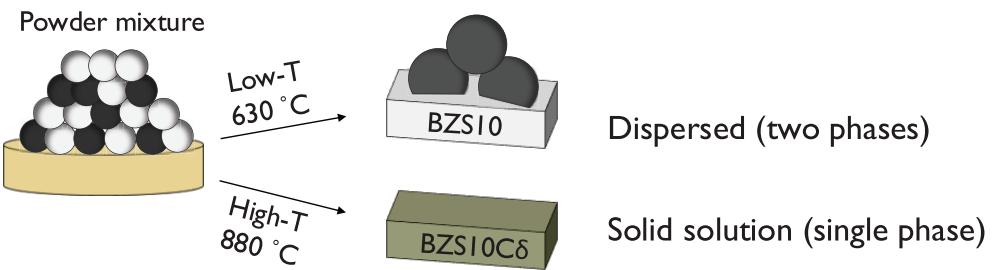
Dissociation catalyst ( $\text{Co}_3\text{O}_4$ )

Solid solution (Co-doped BZ)

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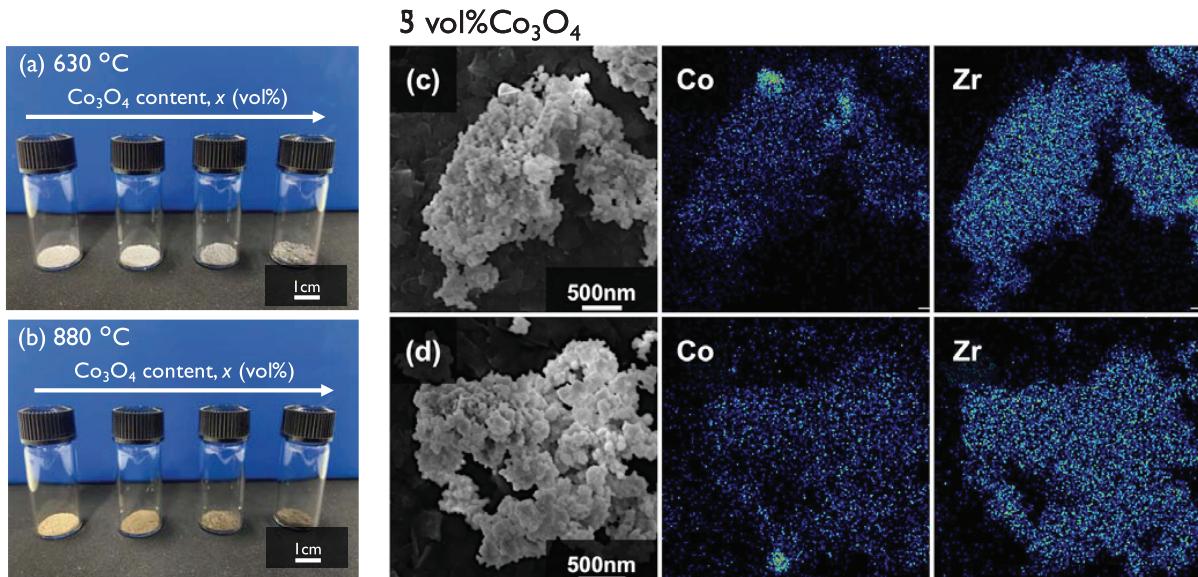
## Objective

To quantify the effect of  $\text{Co}_3\text{O}_4$  addition to protonic conductor  $\text{BaZr}_{0.9}\text{Sc}_{0.1}\text{O}_{3-\delta}$  (BZS10) on its surface exchange reaction



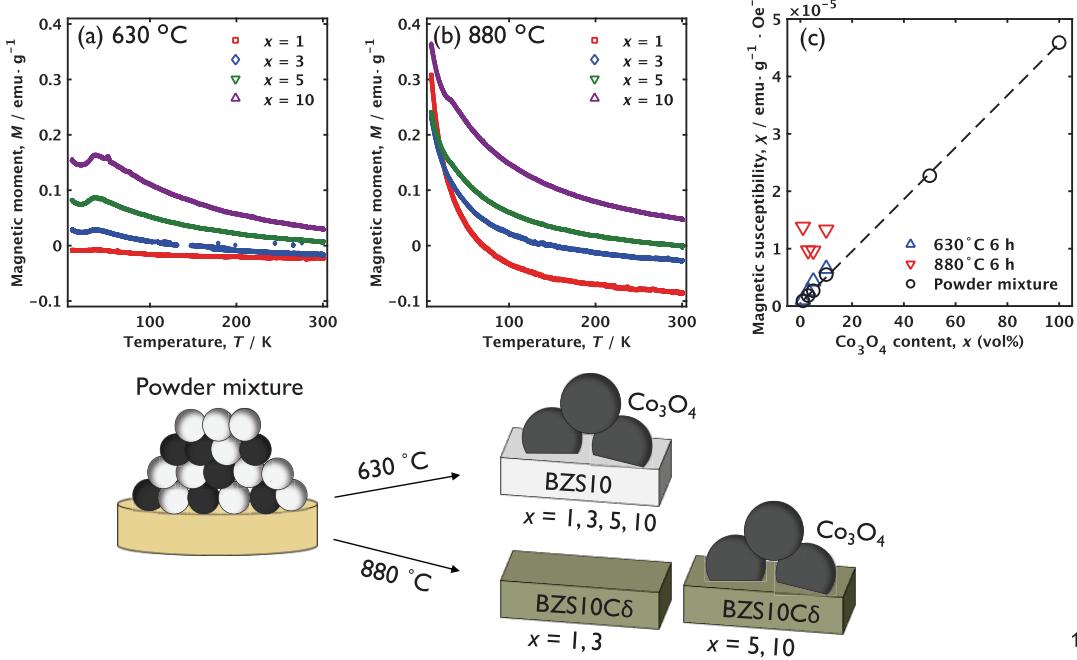
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## Sample preparation



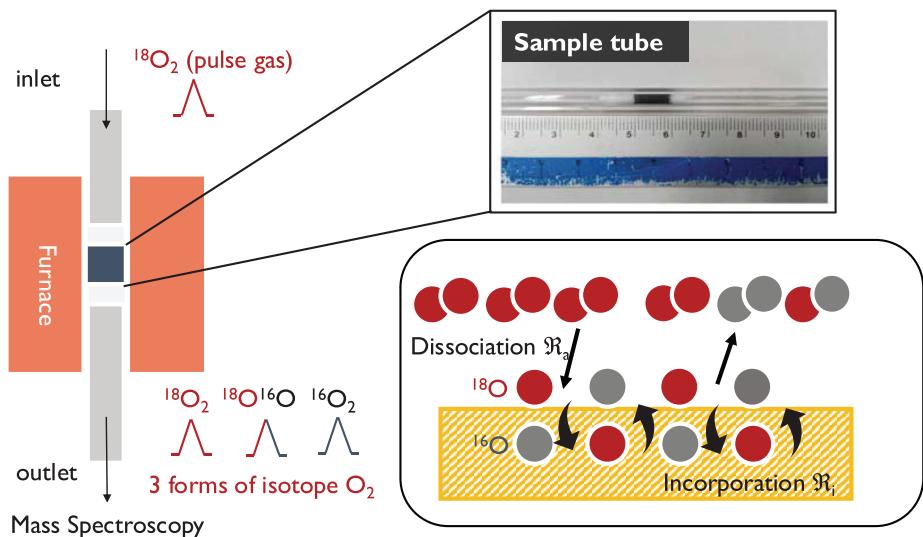
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## Magnetic analysis for phase determination



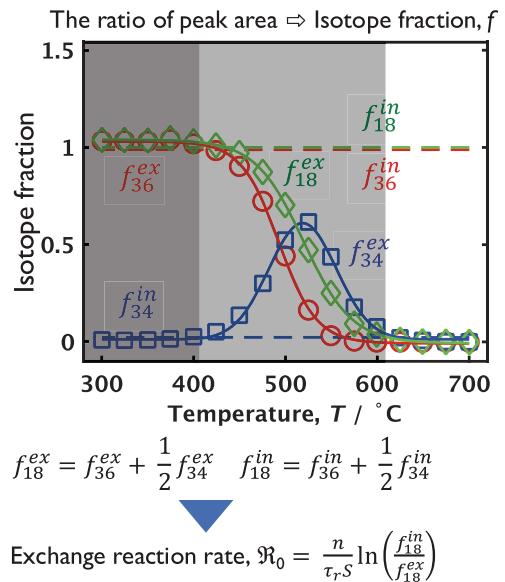
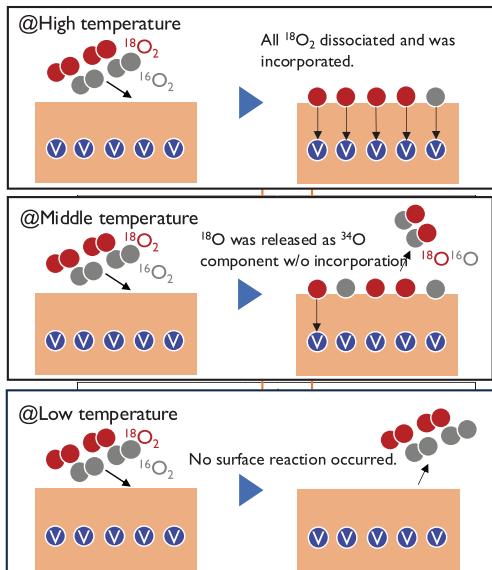
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## Pulse Isotope Exchange (PIE) [4]



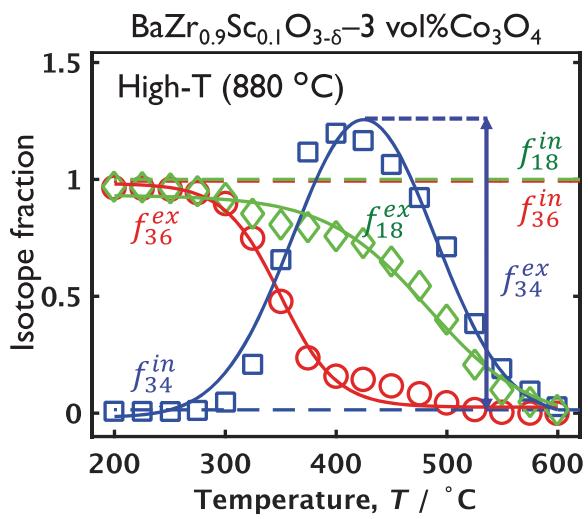
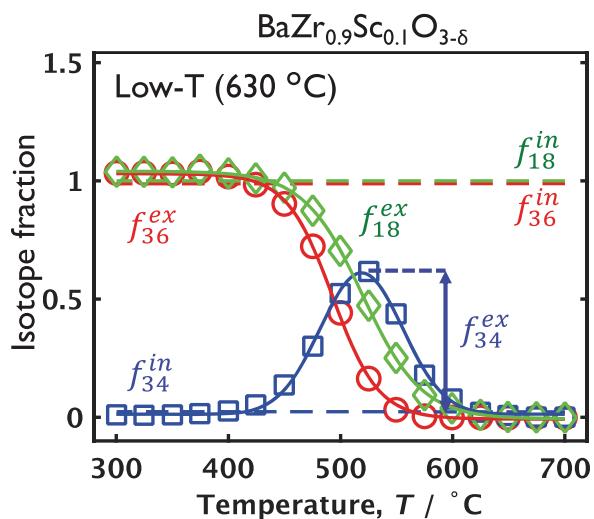
[4] H.J.M. Bouwmeester, et al., Phys. Chem. Chem. Phys., 2009, 11, 9640. 11 / 18

## PIE Analysis Procedure (e.g. BaZr<sub>0.9</sub>Sc<sub>0.1</sub>O<sub>3-δ</sub>)



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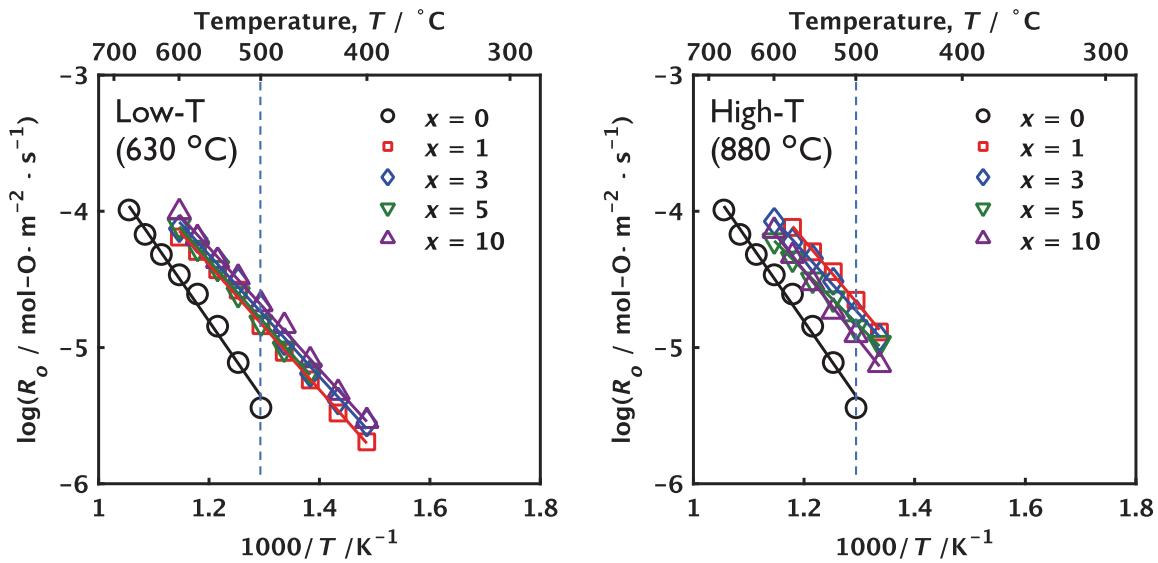
## PIE Analysis : Co<sub>3</sub>O<sub>4</sub> addition



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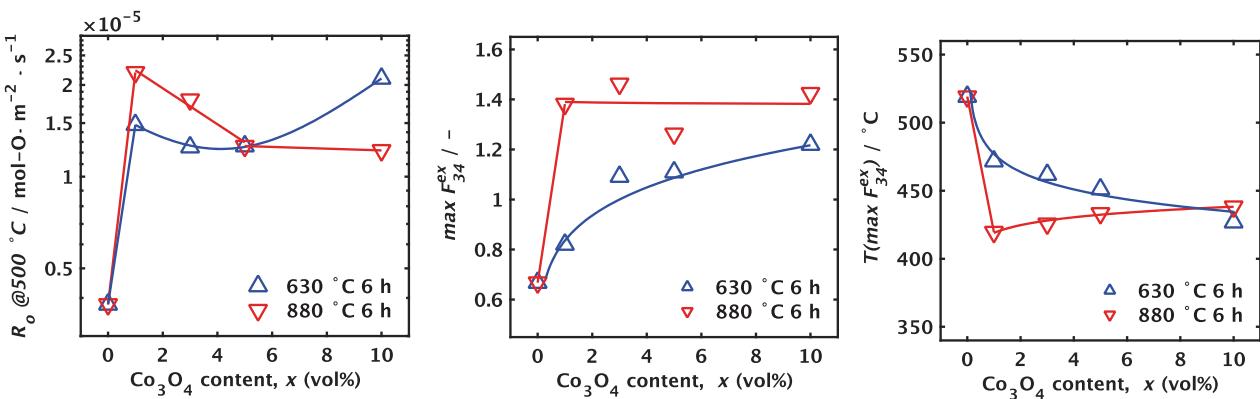
## Arrhenius plot of the exchange reaction rate, $\mathfrak{R}_0$

$\text{BaZr}_{0.9}\text{Sc}_{0.1}\text{O}_{3-\delta} - x \text{ vol\%Co}_3\text{O}_4$



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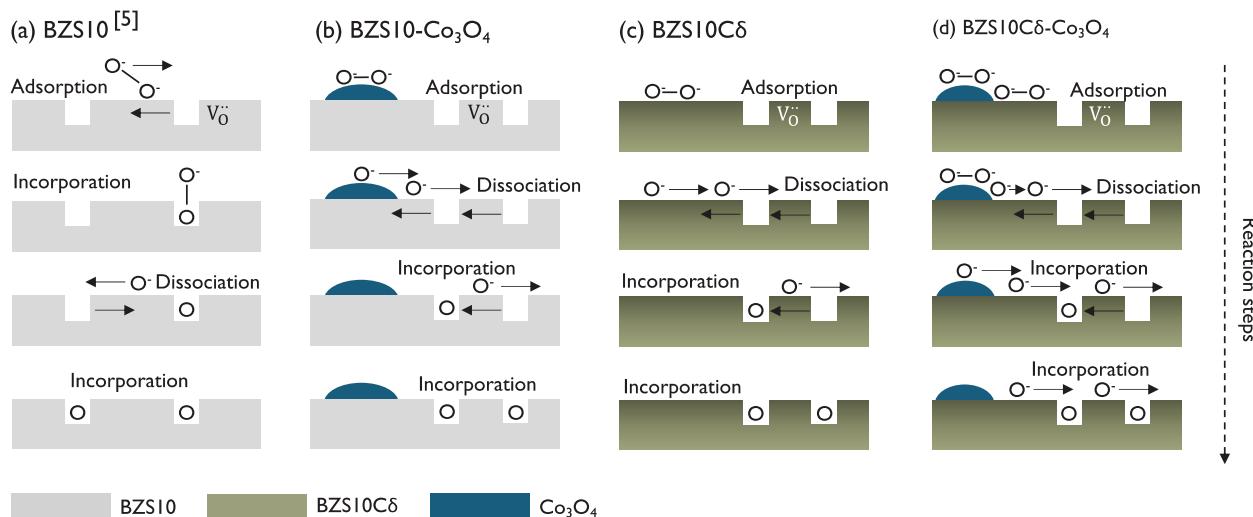
## $\mathfrak{R}_0@500^\circ\text{C}$ as a function of $\text{Co}_3\text{O}_4$ amount



- BZS10C $\delta$  solid solution significantly enhanced  $\mathfrak{R}_0$  at  $x = 1$ . However, this enhancement was reduced above  $x = 3$ . The two-phase composites increased the oxygen dissociation activity as  $\text{Co}_3\text{O}_4$  content increased.
- Changes in  $F_{34}^{\text{ex}}$  as a function of  $\text{Co}_3\text{O}_4$  content also supported these trends.

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# Expected surface exchange process for $\text{Co}_3\text{O}_4$ -added BZS



[5] M. Schabe et al., *J. Mater. Chem. A*, 2019, **7**, 21854.

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## Conclusions

The surface exchange properties of a protonic conductor mixed with a dissociative adsorption catalyst, BZS10-x vol% $\text{Co}_3\text{O}_4$ , were investigated.

- Co-containing solid solutions, BZS10C $\delta$ , were formed by high-temperature heat treatment (880 °C); meanwhile,  $\text{Co}_3\text{O}_4$  existed as separated particles when heat-treated at a lower temperature (630 °C) regardless of x.
- The formation of the BZS10C $\delta$  solid solution significantly enhanced  $R_0$  at x = 1. However, this enhancement was reduced above x = 3. This decrease in  $R_0$  was attributed to reduced oxygen vacancies associated with hole compensation by further Co dissolution. The two-phase composites increased the oxygen dissociation activity as  $\text{Co}_3\text{O}_4$  content increased.
- Doping a large amount of Co is unnecessary to promote the surface exchange reaction itself. Meanwhile, other factors, including electronic conductivity, are also crucial for the cathode to work.



## Acknowledgements

- Prof. N. Tezuka (VSM)
- Ms. Y. Nakano (ICP-MS)

This work was supported in part by JSPS KAKENHI (22H04914) and the 3<sup>rd</sup> period of SIP “Smart energy management system” by CSTI (JPJ012207).



Thank you for your kind attention!

# MEMO

## Atomically precise layer-by-layer modification of perovskite oxides

Di Chen<sup>1,2</sup>

<sup>1</sup>The Future Laboratory, Tsinghua University

<sup>2</sup>School of Materials Science and Engineering, Tsinghua University

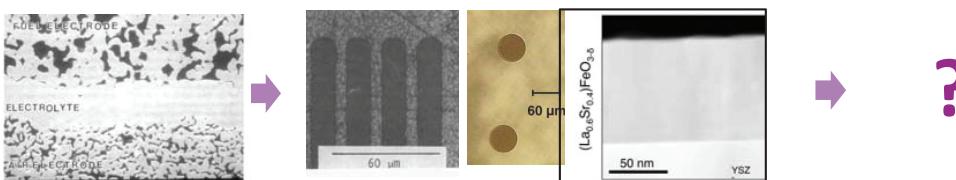
Email: dichen@tsinghua.edu.cn

2024-11-26, E-IMR



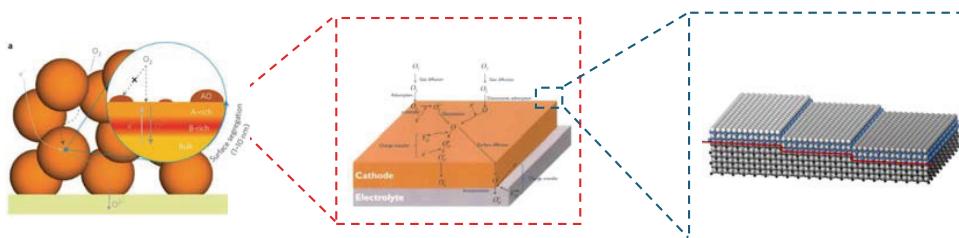
### Challenge: structure control at atomic scale

- Missing surface structure leads to conflict structure-activity relationship



Porous electrode

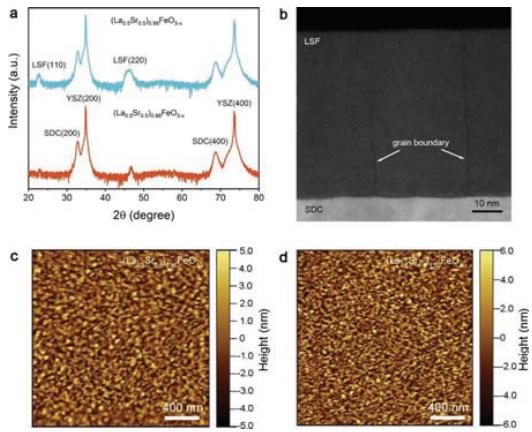
Patterned metal electrode/Thin film oxide electrode



## ➤➤➤ New model system: fully epitaxial thin films

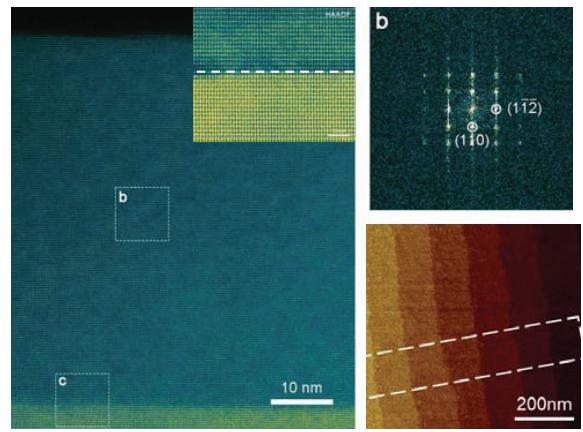


- Polycrystalline thin films



- Multiple grain boundaries;
- Complicated surface terminations;

- Epitaxial single crystalline thin films

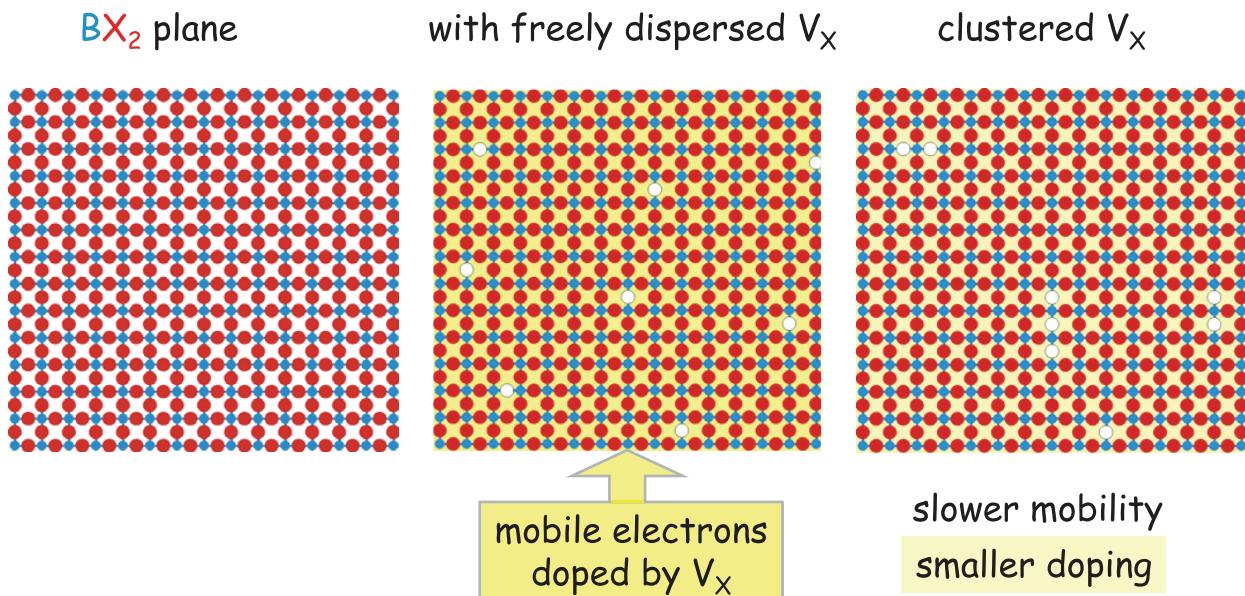


- NO grain boundaries;
- UNIFORM surface terminations;

# Mobility and clustering of O and anion vacancies in $ABX_3$ perovskites as energy materials

Francesco Cordero

CNR-ISM, Roma-Tor Vergata, Italy



Francesco Cordero

CNR-ISM, Area della Ricerca di Roma-Tor Vergata, Roma, Italy

Floriana Craciun

anelastic spectroscopy

dielectric spectroscopy

Francesco Trequattrini Physics Dept., Univ. "La Sapienza", Roma

Paulo Sergio da Silva Jr., Michel Venet

Dep. Fisica, Universidade Federal de São Carlos, Brazil

(Ba/Sr)TiO<sub>3</sub>

Pietro Galizia, Elisa Mercadelli

CNR-ISSMC, Faenza, Italy

SELWA Project

(Ba/Ca)(Ti/Zr)O<sub>3</sub>

Next Generation EU n. 20229PNWM7

Hans Theo Langhammer

Martin Luther University of Halle-Wittenberg, Germany

BaTiO<sub>3</sub>

Gloria Zanotti, Venanzio Raglione, ...

CNR-ISM, Montelibretti, Roma, Italy

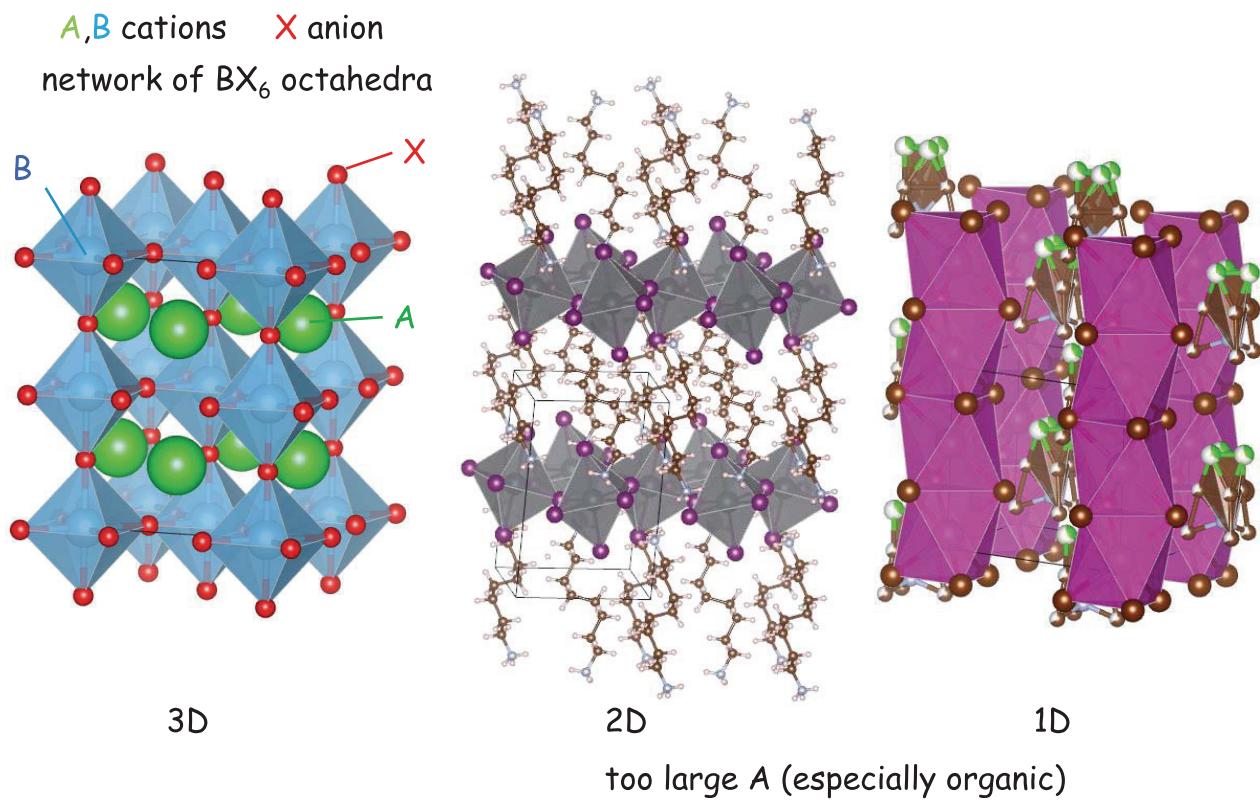
(MDABCO)(NH<sub>3</sub>)I<sub>3</sub>

Victor Fruth, Simona Ionita, ...

Inst. Physical Chemistry, Romanian Academy, Bucharest

(TMCM)MnCl<sub>3</sub>

## Perovskite $ABX_3$ structure



## $ABX_3$ perovskites as energy materials

	oxides $X = O$	metal-organic halides $X = Cl, Br, I$
supercapacitors	electrode $A = La, Sr, Ce, \dots$ $B = Ni, Fe, Co, Mn, \dots$ [CWS18, CLB21] $LaMnO_{3-\delta}$ (anionic) [MHD14]	electrode $A = MA, FA, Cs, \dots$ $B = Pb, \dots$ [LYS21]
batteries	electrode $AgNbO_3$ for $Na^+, K^+$ [OKC24]	electrode esp. 2D/1D perovsk $A = MA, FA, Cs, \dots$ $B = Pb, Bi, Cu, \dots$ [TLL22]
fuel cells	electrolyte/electrode $A = Ba, Sr, La, \dots$ $B = Zr, Ce, Y, \dots$	
mechanical energy harvesting	piezoelectric $A = Ba, Pb, Bi, Na, \dots$ $B = Ti, Zr, Mg, Nb, \dots$	piezoelectric $A = \text{organic molecule}$ $B = o.m., Pb, Mn, \dots$
solar cells		power conversion eff >25% $A = MA, FA, Cs, \dots$ $B = Pb, \dots$ [LYS21]
thermoelectrics		

## $\text{ABO}_{3-\delta}$ perovskite electrodes/electrolytes for anion exchange

### Solid Oxide Fuel Cells

electrolyte/electrode

A = Ba,Sr,La, ...

B = Zr,Ce,Y, ...

J. Tyler Mefford *et al.*, Nature Mater. 13, 726 (2014)

Anion charge storage through oxygen intercalation in  $\text{LaMnO}_3$  perovskite pseudocapacitor electrodes

Qian *et al.*, J. Energy Chem. 89, 41 (2024)

Emerging perovskite materials for supercapacitors

He *et al.*, Inorg. Chem. 63, 13755 (2024)

Cr-Substituted  $\text{SrCoO}_{3-\delta}$  Perovskite with Abundant Oxygen Vacancies for

High-Energy and Durable Low-Temperature Antifreezing Flexible

Supercapacitor

## $\text{ABO}_{3-\delta}$ perovskite electrodes for alkali intercalation

Pérez-Vicente *et al.*, ACS Appl. Energy Mater. 5, 15749 (2022)

A Comparative View of Alkaline and Alkaline-Earth Element Intercalation into Perovskite-Type  $\text{A}_x\text{La}_y\text{TiO}_3$  ( $\text{A} = \text{Li}, \text{Na}, \text{or Mg}$ ) Based on Theoretical Calculations and Experiments

Li *et al.*, Adv Mater. e2107262 (2022)

Perovskite-Type  $\text{SrVO}_3$  as High-Performance Anode Materials for Lithium-Ion Batteries

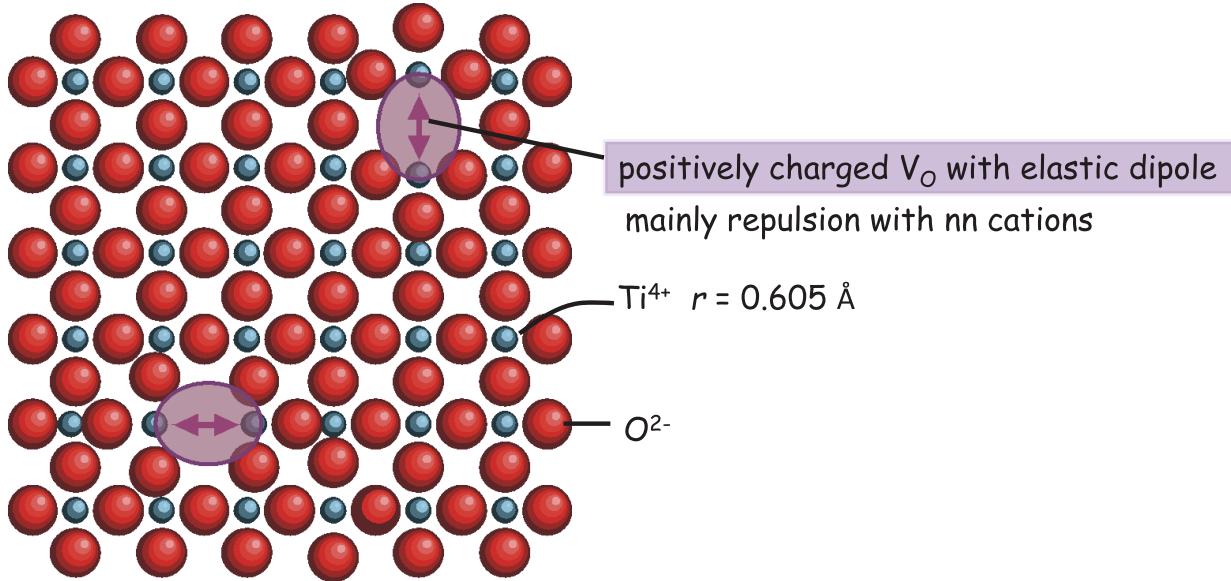
Orbay *et al.*, Batteries & Supercaps e202400602 (2024)

Sodium and Potassium storage behaviour in  $\text{AgNbO}_3$  Perovskite

## Role of $V_O$ in oxide electrodes for alkali intercalation

- $V_O$  may be created during the extraction of the alkali metals from the cathode and degrade it
- modify the electronic properties, enhance the electric conductivity
- expand the lattice → enhance the  $\text{Li}^+/\text{Na}^+$  mobility

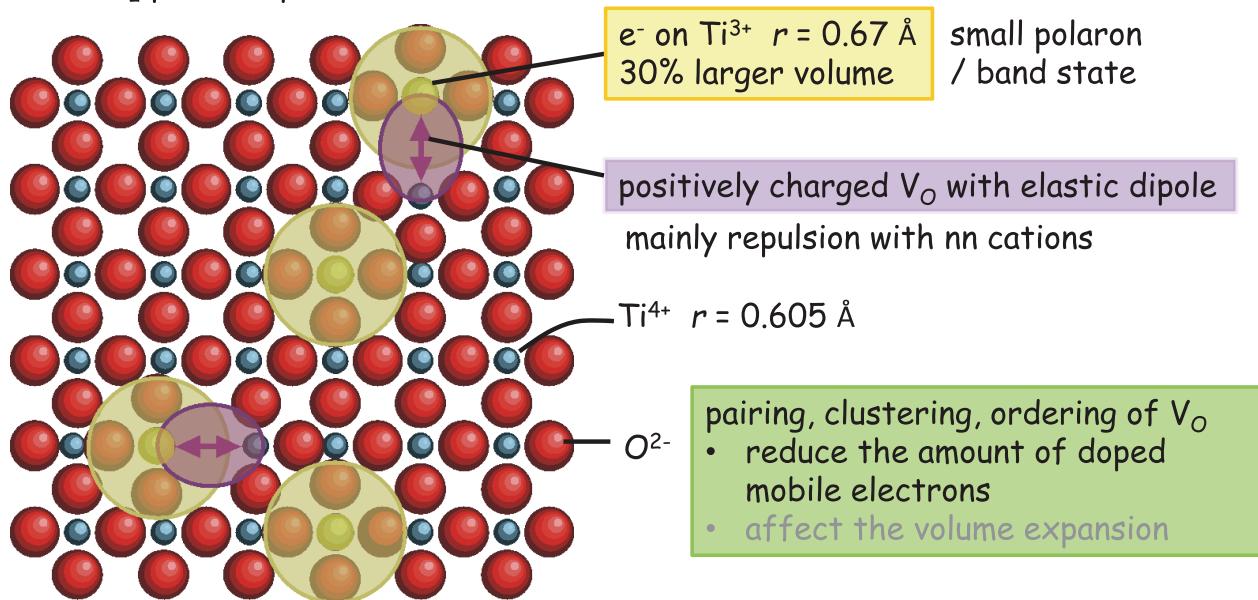
$\text{TiO}_2$  plane of perovskite



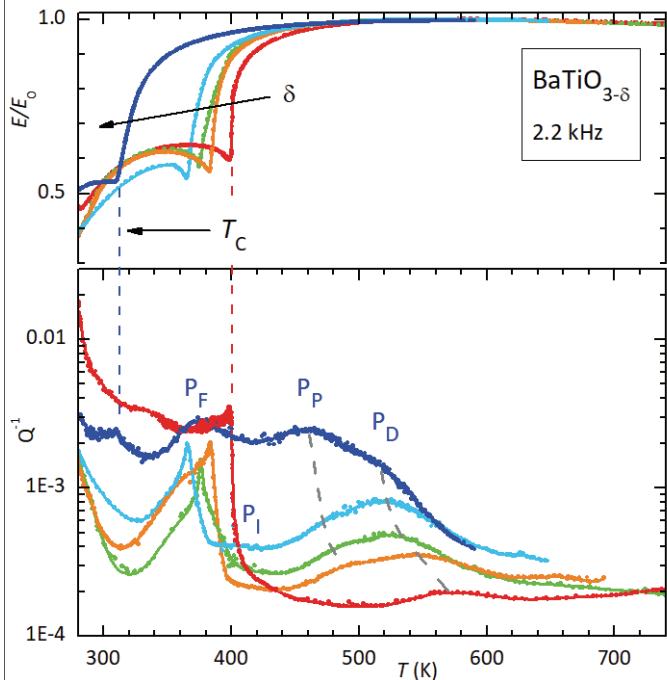
## Role of $V_O$ in oxide electrodes for alkali intercalation

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$\text{TiO}_2$  plane of perovskite



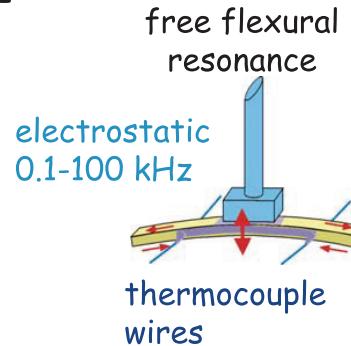
## Dynamic Young's modulus / mechanical spectroscopy



$$\text{Young's modulus } E = E' + iE''$$

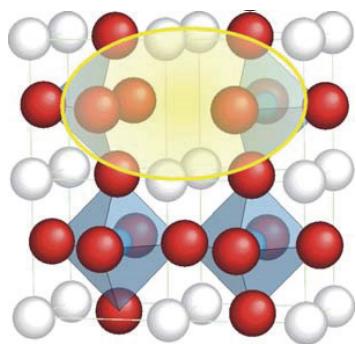
anelastic	dielectric/impedance
$E$	$\leftrightarrow$ electric modulus = $1/\epsilon$
$Q^{-1}$	$\leftrightarrow \tan(\delta)$

elastic energy loss coefficient  
 $Q^{-1} = E''/E'$



elastic anomalies  $\rightarrow$  phase transitions  
peaks in the losses  $\rightarrow$  mobility of defects

## Debye anelastic relaxation from reorienting elastic dipoles



$V_O$  in perovskite

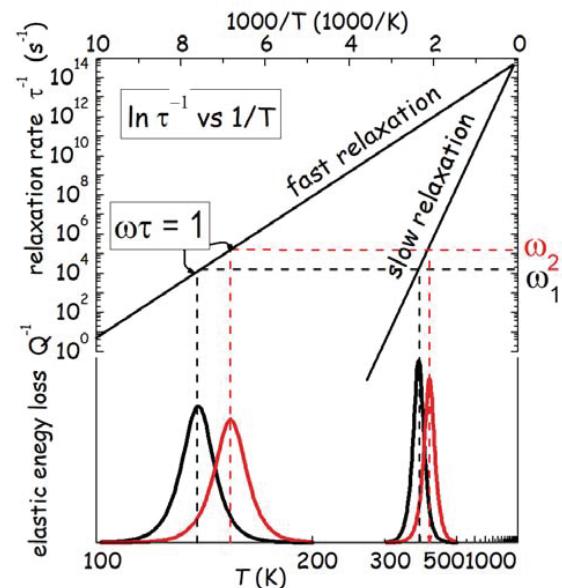
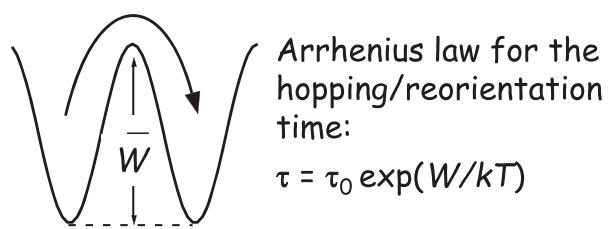
$$\lambda^{(v)} = \begin{pmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_1 \end{pmatrix}$$

$$\Delta\lambda = \lambda_2 - \lambda_1$$

$$Q^{-1} = \frac{M''}{M'} \propto \frac{(\Delta\lambda)^2}{T} \frac{\omega\tau}{1+(\omega\tau)^2}$$

Debye peak

maximum at  $\omega\tau = 1$



## $A^{2+}B^{4+}O^{2-}_{3-\delta}$ with $A = Ba, Sr, Ca$ and $B = Ti, Zr$

Stable cationic valences  $\rightarrow$  negligible O deficiency  $\delta$  unless

- acceptor impurities/dopants with charge compensating  $V_O$
- reducing treatment in  $CO$  or  $H_2$  atmosphere

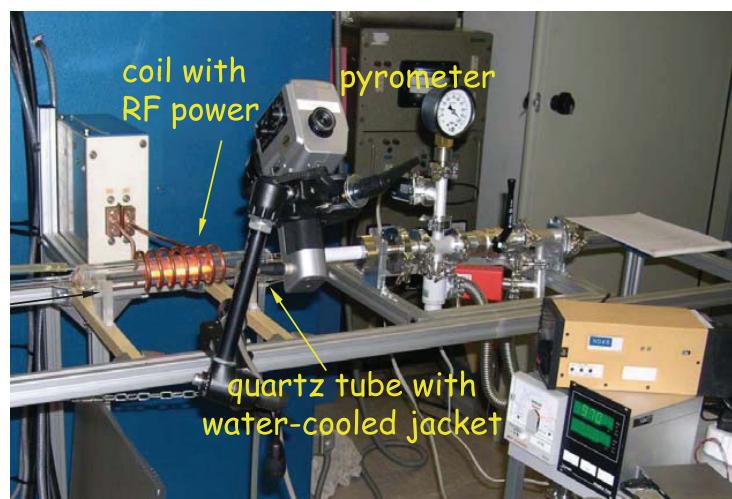
## Samples reduction/oxygenation

Induction heating at 900-1250 °C for 0.5-3 h in  $CO/O_2$  flow  
+ homogenization 800 °C for 1 h

sample on alumina or YSZ plates and inserted in Pt holder



flow of ~1000 mbar  
 $0.1CO + 0.9Ar$  or  $O_2$



O stoichiometry:

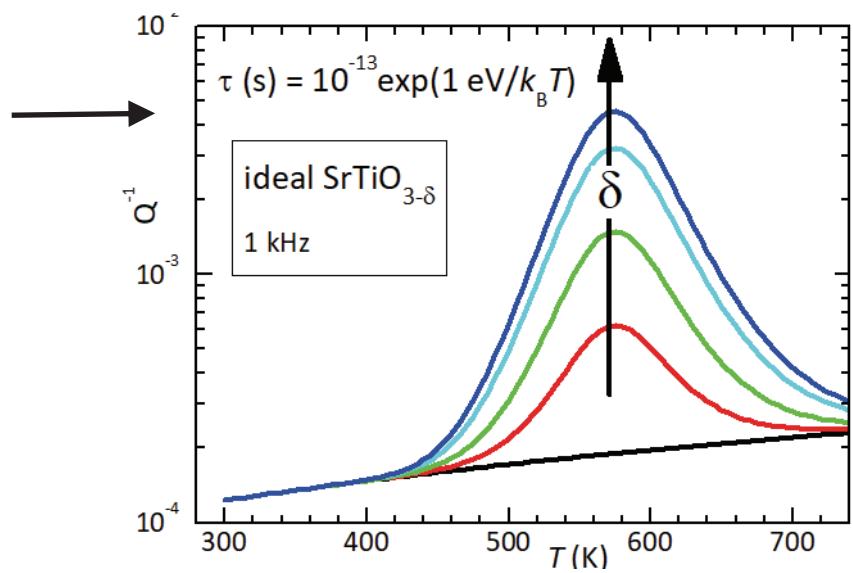
- mass change after reduction and reoxygenation
- temperature of the structural phase transition

## Expected behaviour of $A^{2+}TiO_{3-\delta}$ with $\delta < 0.02$

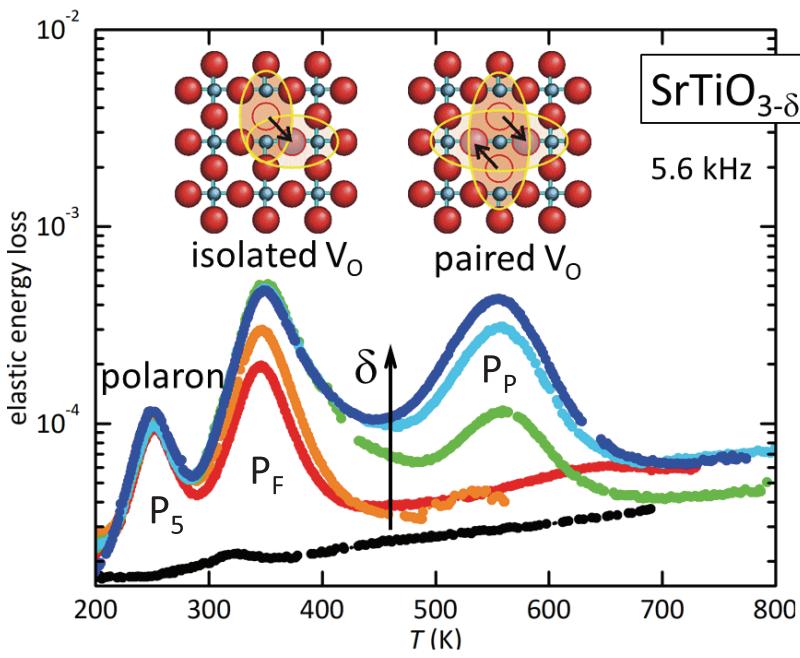
$BaTiO_{3-\delta}$  becomes hexagonal if  $\delta \geq 0.02$

Common belief: with  $\delta \sim$  few %  
the  $V_O$  in perovskite titanates  
are randomly dispersed and  
diffuse over a barrier of  $\sim 1$  eV

expected  
anelastic spectrum



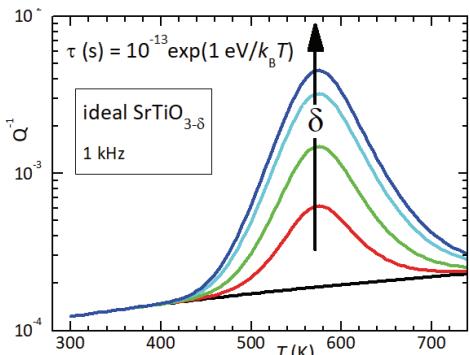
## Anelastic spectrum of $SrTiO_{3-\delta}$



The creation of  $V_O$  introduces several peaks:

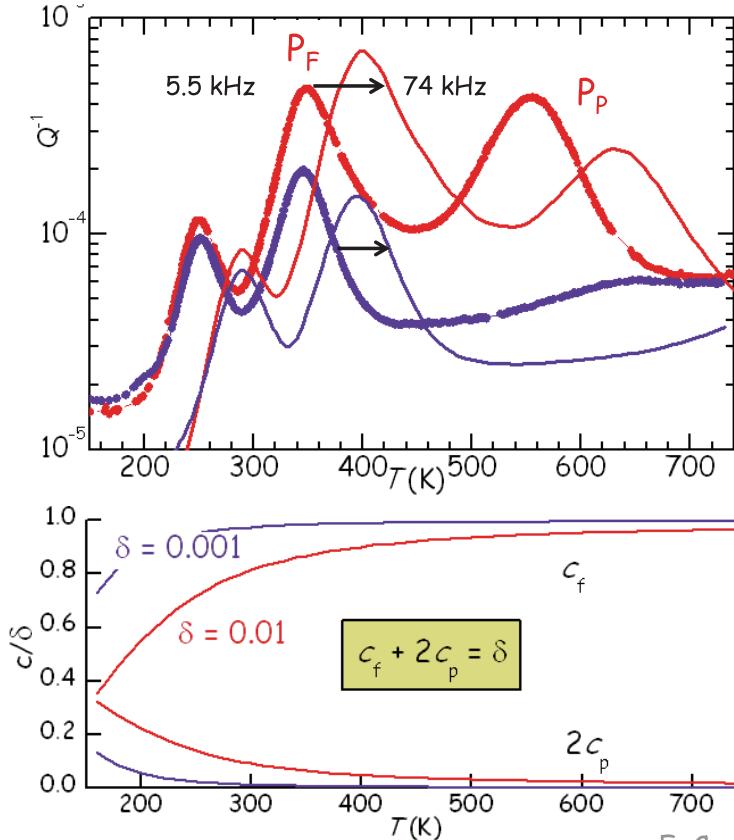
$P_F - P_P$  grow considerably with increasing  $\delta$  and therefore are due to  $V_O$

$P_5$  saturates already at  $\delta = 0.001$  and has a low activation energy: polarons?



F. Cordero, Phys. Rev. B 76, 172106 (2007)

## Explanation of $P_F$ and $P_P$ in terms of free and paired $V_O$



F. Cordero, Phys. Rev. B 76, 172106 (2007)

## Statistical model for aggregated $V_O$

Subdivide the crystal into clusters of cells that are small enough to write their grandpartition function  $Z$  with all the possible configurations of  $V_O$

$$(1) Z = \sum_{\alpha} w_{\alpha} = \sum_{\alpha} m_{\alpha} \exp\left(\frac{n_{\alpha}\mu - E_{\alpha}}{kT}\right) \quad (2) \mu: \frac{kT}{Z} \frac{\partial Z}{\partial \mu} = \frac{\sum_{\alpha} n_{\alpha} w_{\alpha}}{\sum_{\alpha} w_{\alpha}} = \sum_{\alpha} \bar{n}_{\alpha} = \delta$$

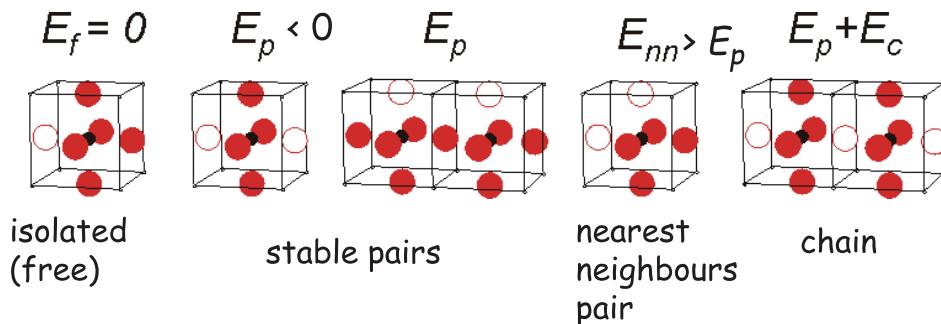
$n_{\alpha}$  occupation number of  $\alpha$ -th configuration

$m_{\alpha}$  multiplicity

$E_{\alpha}$  energy

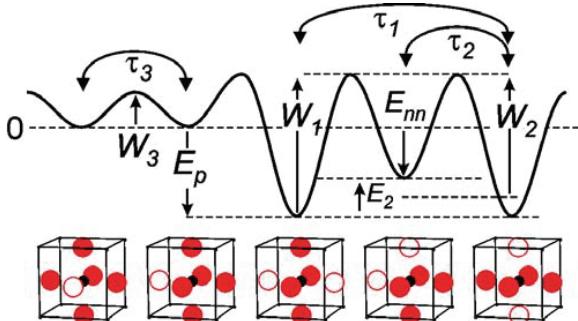
$w_{\alpha}$  statistical weight

$\mu$  chemical potential from the implicit equation (2)



F. Cordero, Phys. Rev. B 76, 172106 (2007) + Phys. Rev. B 47, 7674 (1993)

## Anelastic relaxation



Three relaxations corresponding to  $P_F$ ,  $P_P$  and  $P_I$ :

$$Q^{-1} = \frac{2}{9} \frac{cv_0}{s_{11}k_B T} (\Delta\lambda)^2 \frac{\alpha(\omega\tau)^\alpha}{1 + (\omega\tau)^{2\alpha}}$$

parameters:

$W_1$  = barrier for free hopping

$W_3$  = barrier for pair reorientation

$W_2$  = barrier for intermediate step

$E_p$  = pair binding energy

$E_c$  = binding of additional V into a chain

$\tau_0$  = preexponential factors

$\alpha$  = Fuoss-Kirkwood broadening

$\Delta\lambda$  = change of elastic dipole for isolated V

$(\Delta\lambda)_F \approx 2\Delta\lambda =$  " for pair reorientation

$P_I$  between states differing by  $E_2 = W_1 - W_2$ :

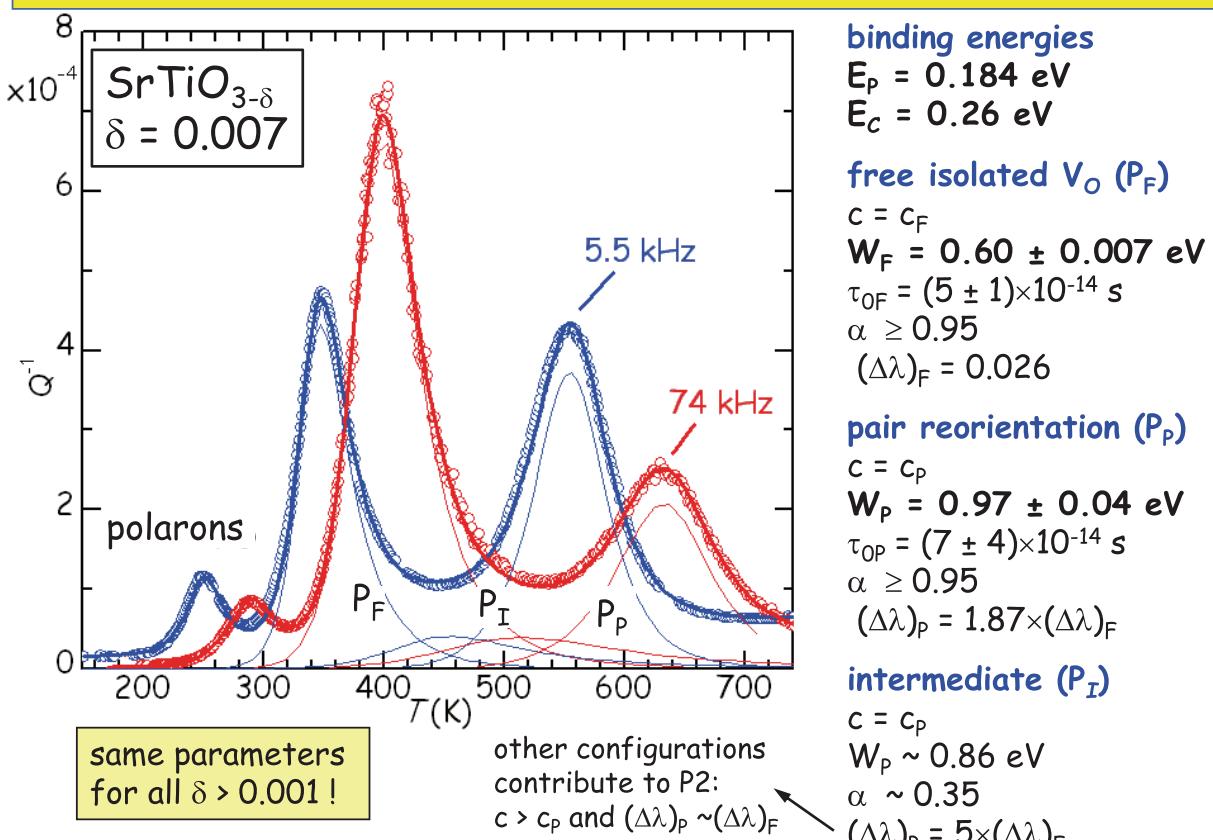
$$\Delta(T) \propto \frac{c_p}{T \cosh^2(E_2/2kT)}$$

$$\tau^{-1} = \tau_0^{-1} \exp(-W_2/kT) \cosh(E_2/2kT)$$

scripts for calculating  $\mu$ , concentrations, and  $Q^{-1}$  peaks written in Origin C and integrated in the non linear fitting tool

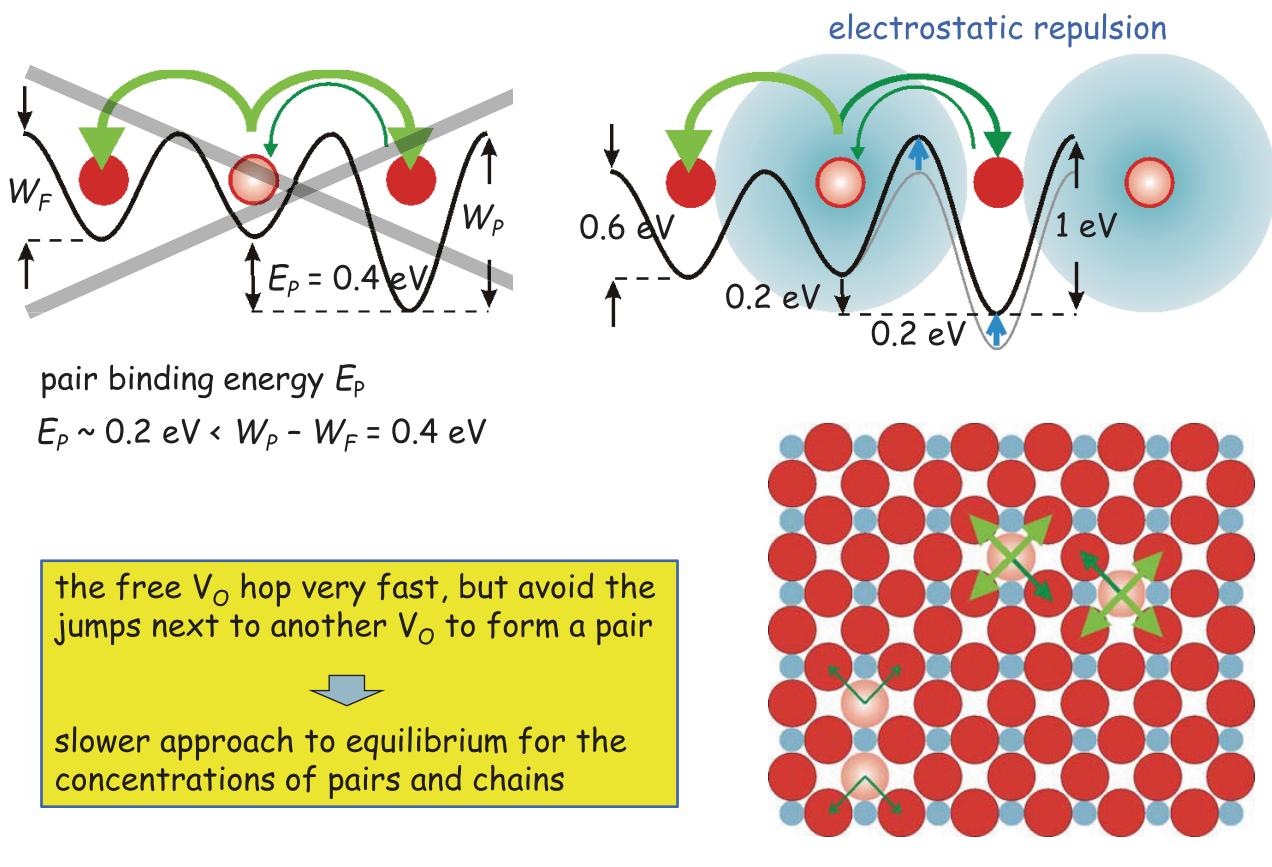
F. Cordero, Phys. Rev. B 76, 172106 (2007)

## Fit



F. Cordero, Phys. Rev. B 76, 172106 (2007)

## The electrostatic repulsion between $V_O$ hinders the formation of pairs



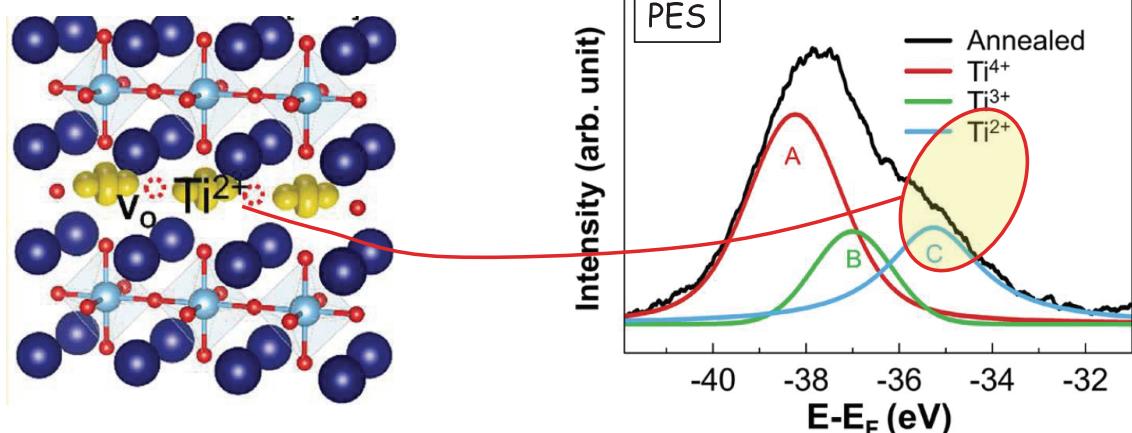
## $V_O$ pairs confirmed by DXRS and PES in $SrTiO_{3-\delta}$

Highly O deficient  $SrTiO_3$  films obtained by PLD at low  $p_{O_2}$

$V_O-Ti^{2+}-V_O$  pairs and chains

diffuse X-ray scattering: linear defects

Photoemission spectroscopy :  $Ti^{2+}$



Eom et al. "Oxygen Vacancy Linear Clustering in a Perovskite Oxide"  
 J. Phys. Chem. Lett. 8, 3500 (2017)

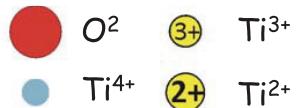
## Electron doping depends on the $V_O$ aggregation

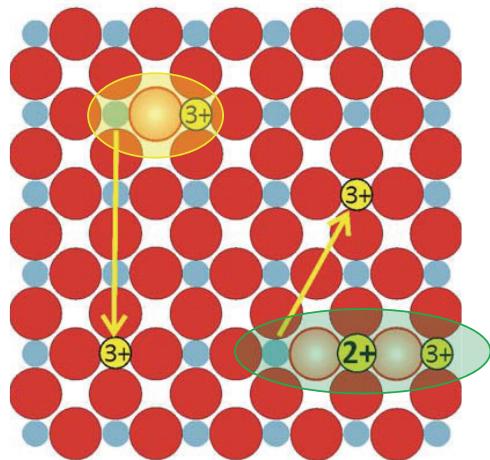
isolated  $V_O$ :

$Ti^{3+}-V_O-Ti^{3+}$

$Ti^{3+}$  mobile as polaron or band state  
 → electrical conductivity

pairs/chains of  $V_O$ :  $Ti^{3+}-V_O-Ti^{2+}-V_O-Ti^{3+}$

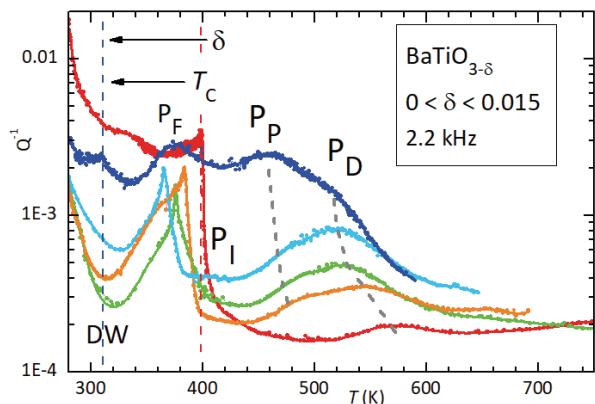
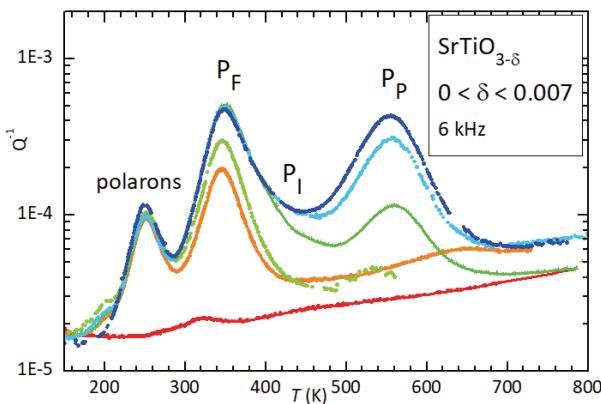




$Ti^{2+}$  is only between two  $V_O$   
 → each pair or chain segment  
 subtracts two electrons from conduction

**the  $V_O$  aggregation reduces doping**

## Comparison between ST, BT and BCTZ



F = hopping of free/isolated  $V_O$

P = reorientation of paired  $V_O$

I = intermediate stage for pair reorientation

D = native defect- $V_O$  pair, e.g.  $V_{Ba}-V_O$

DW = domain walls below  $T_c$

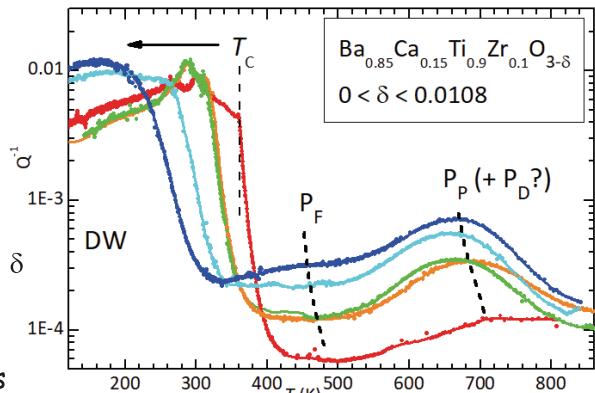
ceramic BT vs crystal ST:

- peaks ~10% broader
- the activation energies decrease increasing  $\delta$
- $(\Delta\lambda)_{BT} \sim 3 \times (\Delta\lambda)_{ST} \rightarrow$  larger intensities

ceramic BCTZ

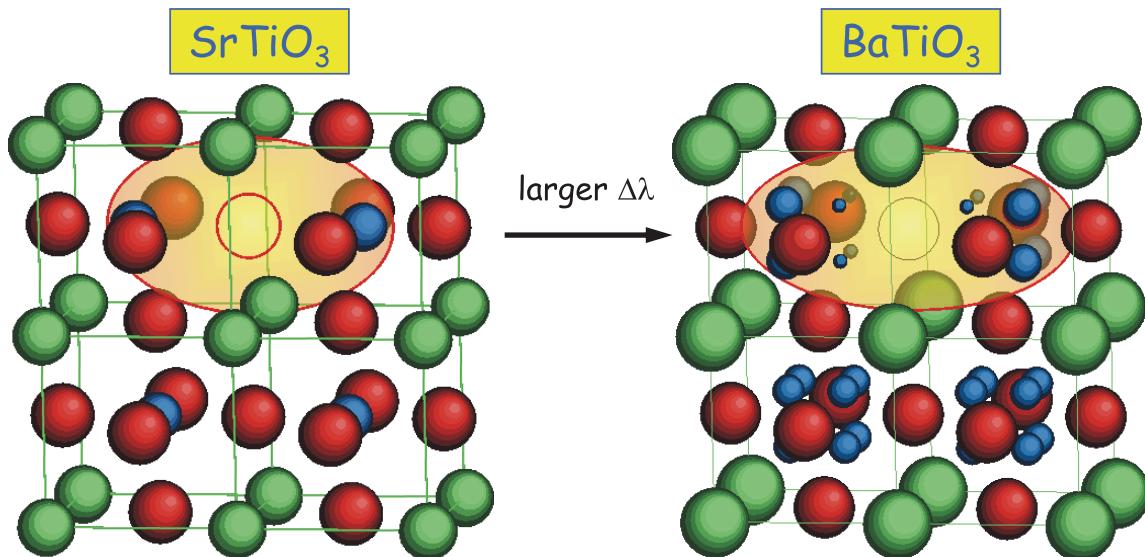
much broader peaks, larger activation energies

BT: F. Cordero, F. Trequattrini, D.A.B. Quiroga, P.S. Silva Jr., J. Alloys Compd. 874, 159753 (2021)



## Magnitude of the elastic dipole of $V_O$

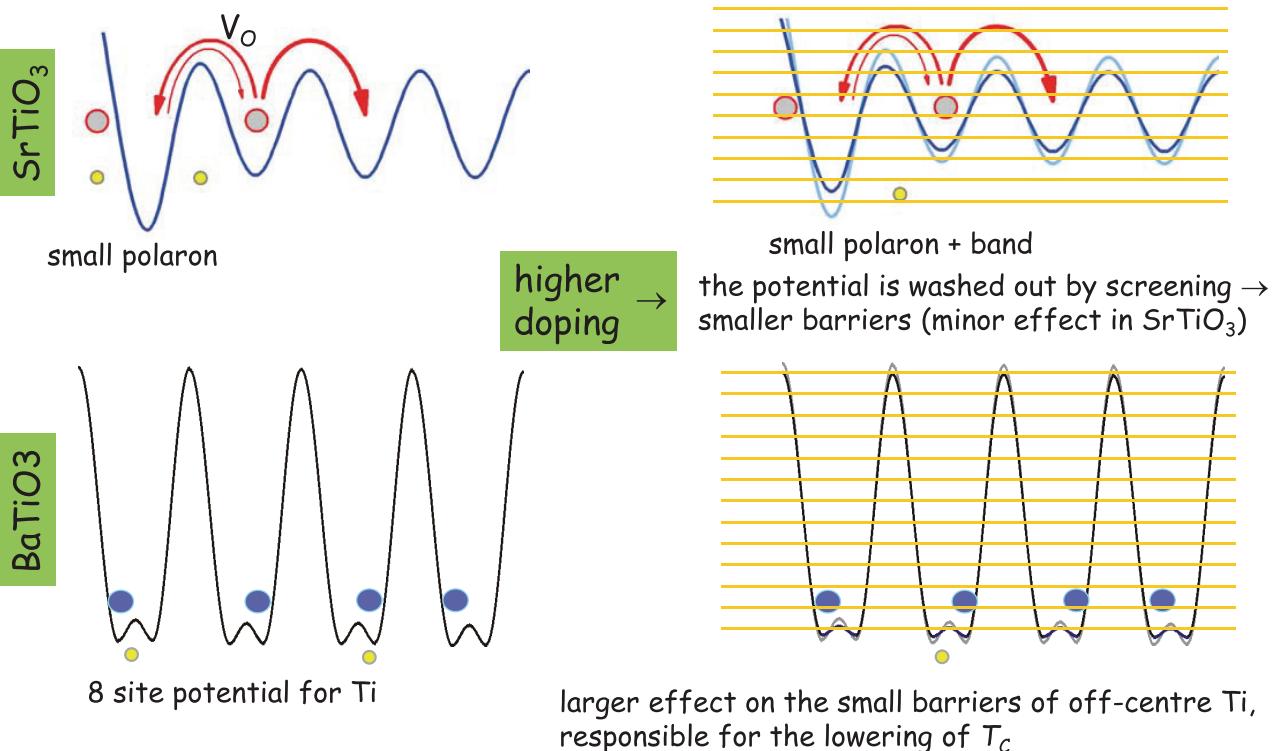
Major contribution to the anisotropy  $\Delta\lambda$ : the positively charged  $V_O$  pushes outwards the nn  $Ti^{4+}$  atoms. Easier in  $BaTiO_3$



In  $BaTiO_3$  the nn  $Ti$  atoms may populate only the outward off-centre sites, increasing their outward displacement

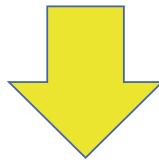
BT: F. Cordero, F. Trequattrini, D.A.B. Quiroga, P.S. Silva Jr., J. Alloys Compd. 874, 159753 (2021)

## Decrease of activation energies with doping

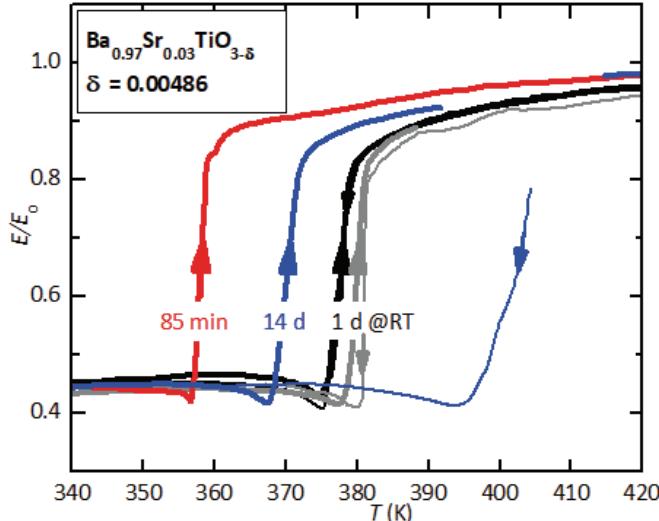


- Ti off-centering in undoped  $BaTiO_3 \rightarrow$  larger relaxation around  $V_O \rightarrow$  larger barrier and  $\Delta\lambda$
- doping reduces off-centering and all these effects

The  $V_O$  introduce a strong dependence of  $T_c$  on history



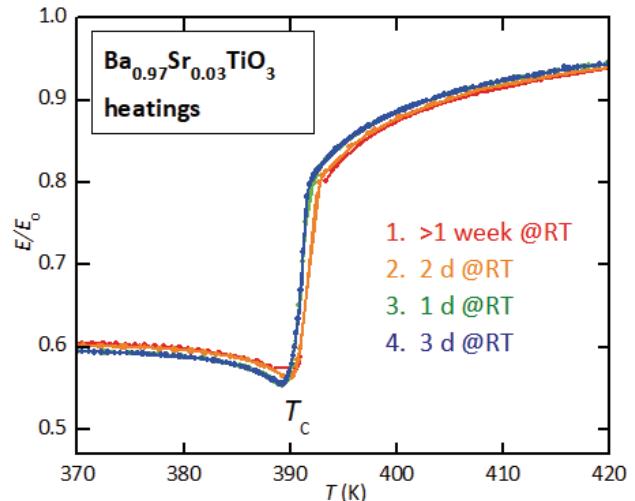
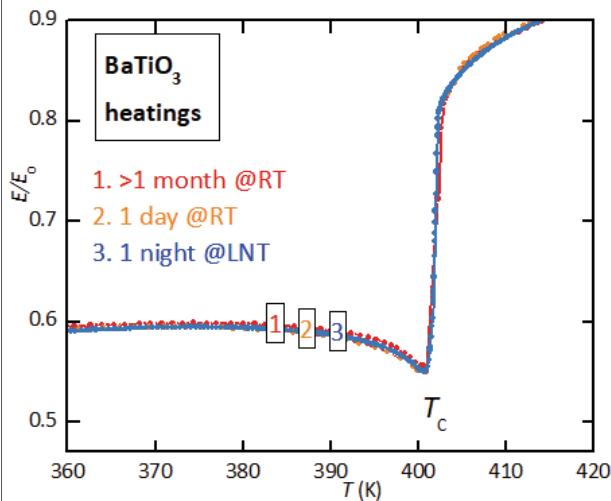
The doping of mobile electrons depends on  $V_O$  clustering



Here  $T_c$  spans a range >40 K with up to 30 K of reverse thermal hysteresis (blu)

No dependence of  $T_c$  on history when  $\delta = 0$

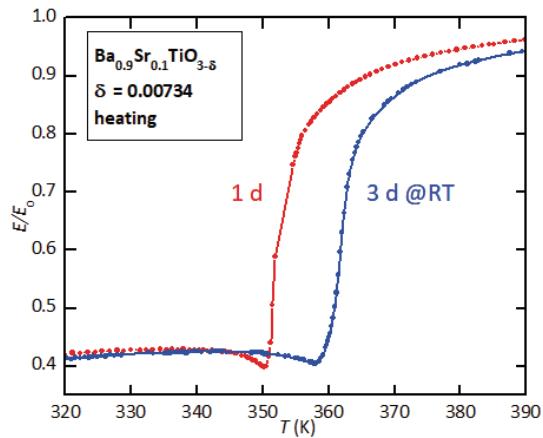
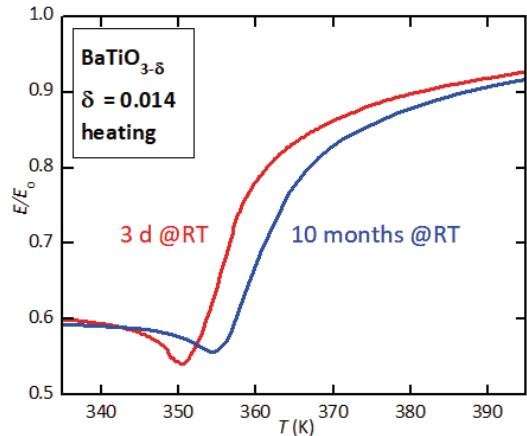
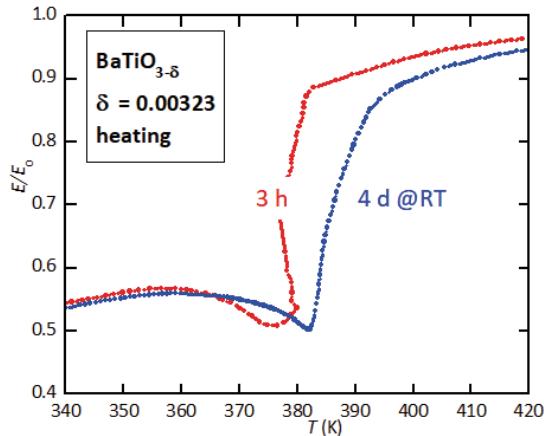
heatings after different aging times in the FE phase



$>1$  month at RT  
 $<1$  day at RT  
 $1$  night at LNT

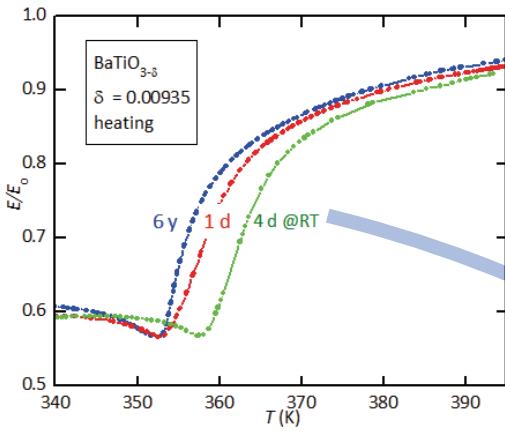
$T_c$  changes of <1 K  
 (<2.5 K in BST)

## Increase of $T_c$ with the permanence in the FE phase

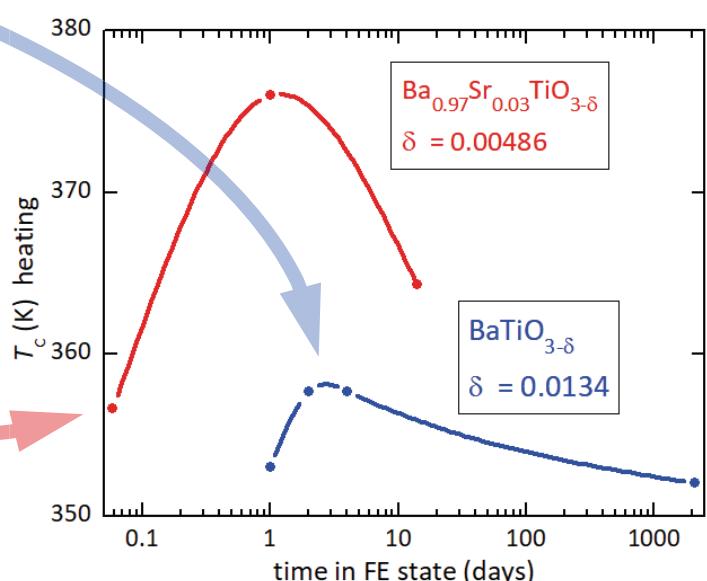
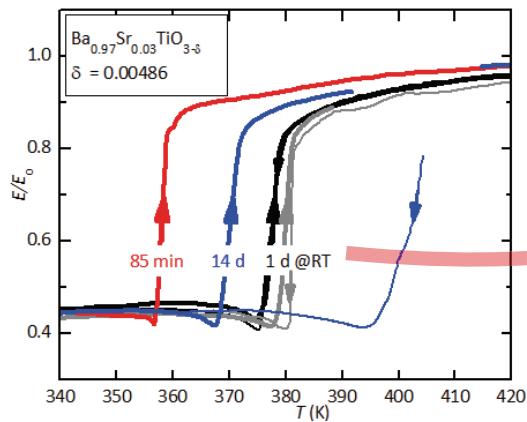


- ~0.3%  $V_O$  sufficient to introduce history dependence of  $T_c$
- over hours to days (months?)  $T_c$  increases with the permanence at room temperature in the FE state

## Smallest $T_c$ after long permanence in the FE phase



$T_c$  increases with aging in the FE state for days or months, beyond which it decreases

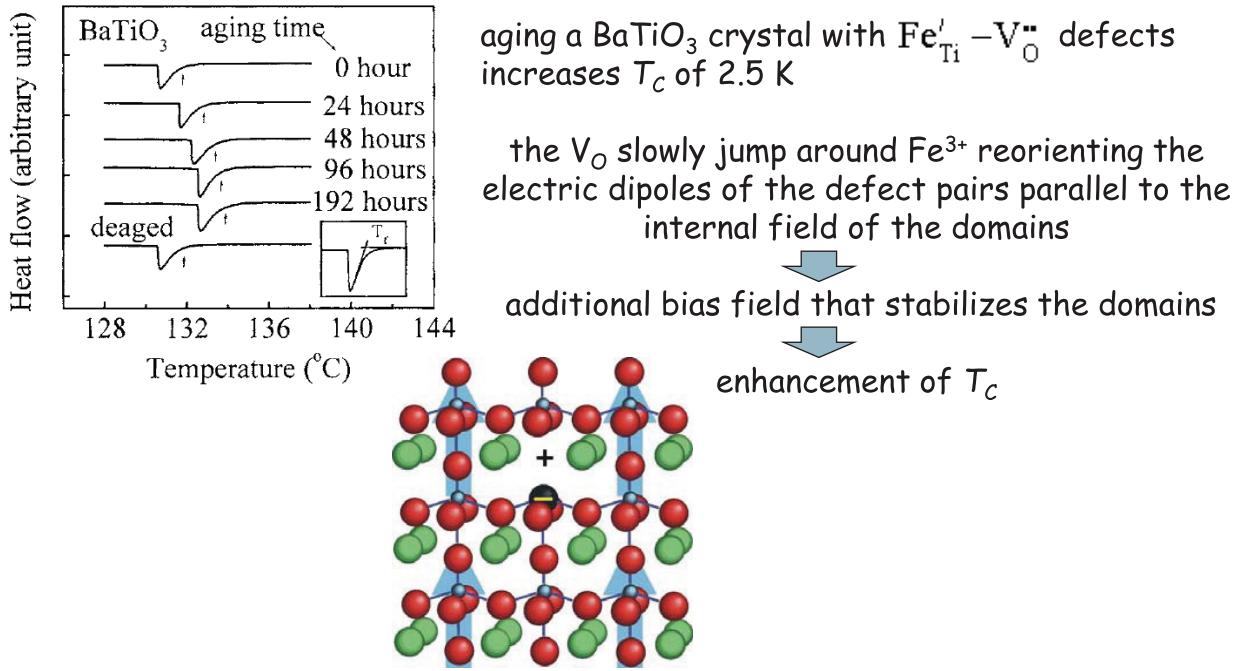


## Mobile dipolar defects stabilize the FE state → enhance $T_c$

**"Stabilization effect in ferroelectric materials during aging in ferroelectric state"**

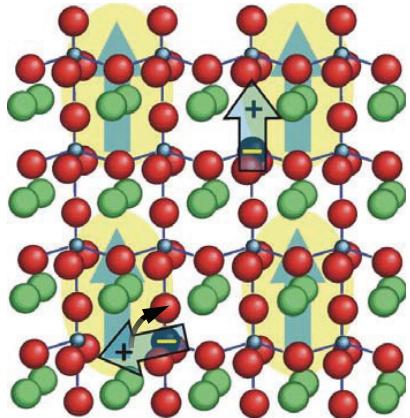
Dazhi Sun, Xiaobing Ren, Kazuhiro Otsuka

*Appl. Phys. Lett.* 87, 142903 (2005)



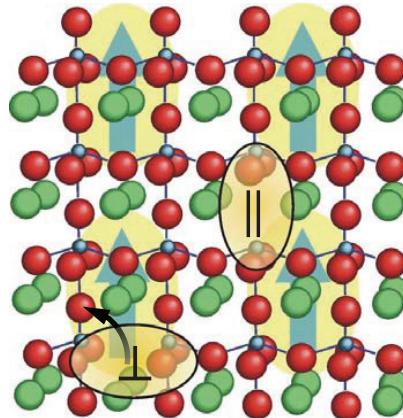
## Stabilization of the FE state: acceptor- $\text{V}_{\text{O}}$ vs $\text{V}_{\text{O}}$

$\text{Fe}'_{\text{Ti}} - \text{V}''_{\text{O}}$  pairs: electric dipoles orient parallel to the polarization



lowering of the electric energy  
stabilization of the FE-T domains  
increase  $T_c$   
 $\Delta T_c \leq 2.5 \text{ K}$

only  $\text{V}_{\text{O}}$ : elastic dipoles orient parallel to the tetragonal strain



lowering of the elastic energy  
stabilization of the FE-T domains  
weaker than electric  
 $\Delta T_c \leq 20 \text{ K}$

there must be another mechanism for the increase of  $T_c$  with only  $\text{V}_{\text{O}}$

## Doping and $T_c$ depend on the $V_O$ aggregation

isolated  $V_O$ :

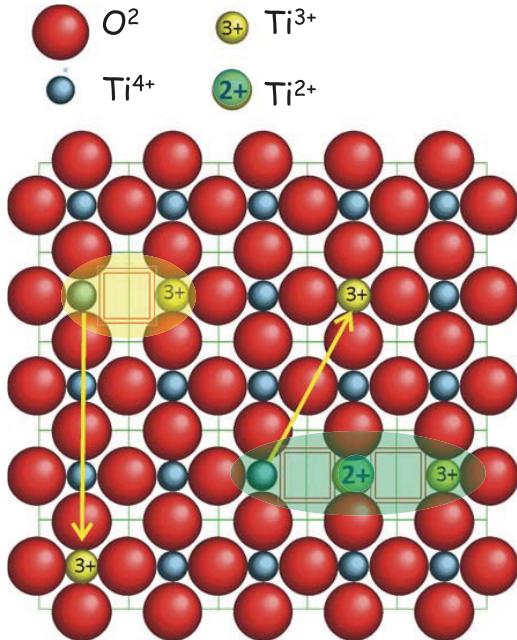


$Ti^{3+}$  is mobile as polaron or band state  
→ electrical conductivity

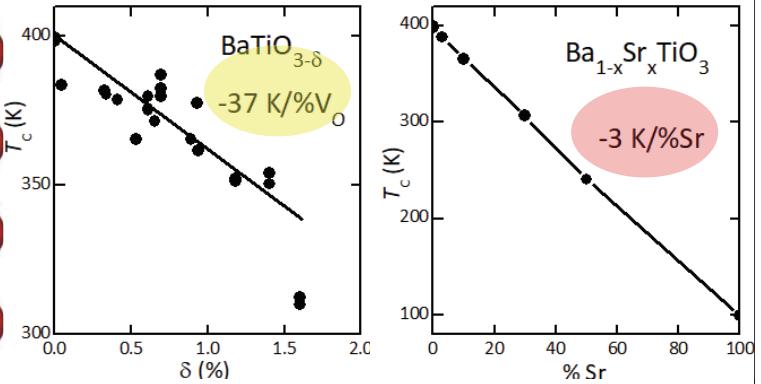
pairs/chains of  $V_O$ :



$Ti^{2+}$  is only between two  $V_O$   
→ each pair or chain segment subtracts  
two electrons from conduction



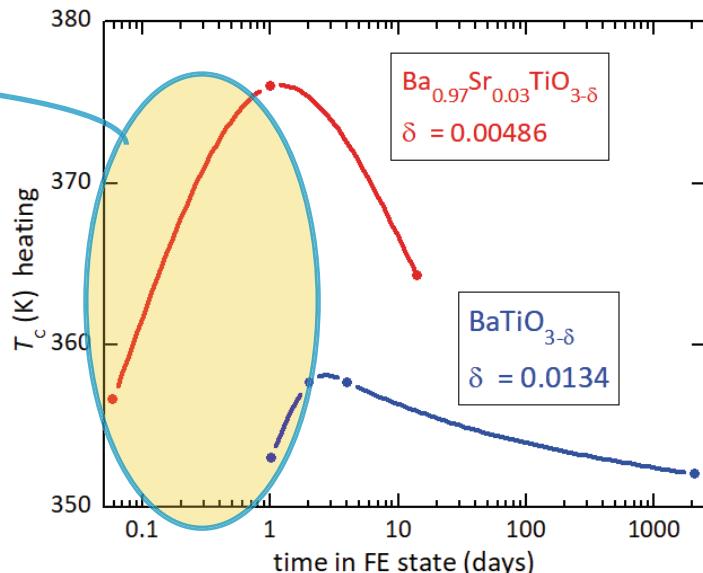
$T_c$  is mainly reduced by the free charges, which screen the FE dipoles, rather than by  $V_O$  themselves



$V_O$  aggregation enhances  $T_c$

## Initial slow aggregation of $V_O$ → rise of $T_c$

- when cooling through  $T_c$ , the kinetics for the aggregation of the charged  $V_O$  is slowed by their electrostatic repulsion
- at RT the aggregation into pairs and chains proceeds for hours and days
- mobile charges are removed
- $T_c$  rises



## What mechanism for lowering $T_c$ after long aging?

We need a slower mechanism that dissociates the pairs

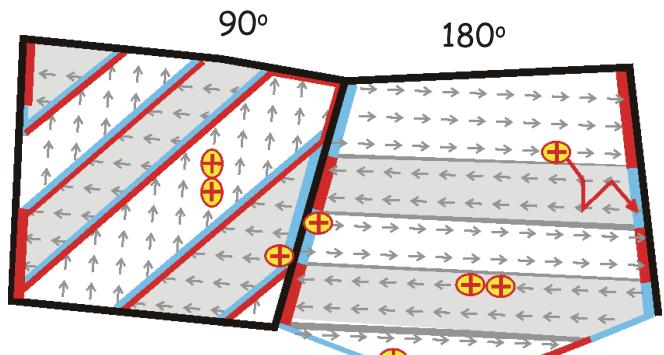
Hypothesis: the lowest energy for  $V_O$  in the FE state is not aggregated in pairs within the domains but isolated at domain walls

- after the DWs have settled down, the  $V_O$  migrate from within the domains to decorate the DW
- slow process through multiple dissociations of pairs
- final  $V_O$  dissociation at the DW releases mobile electrons
- $T_c$  decreases

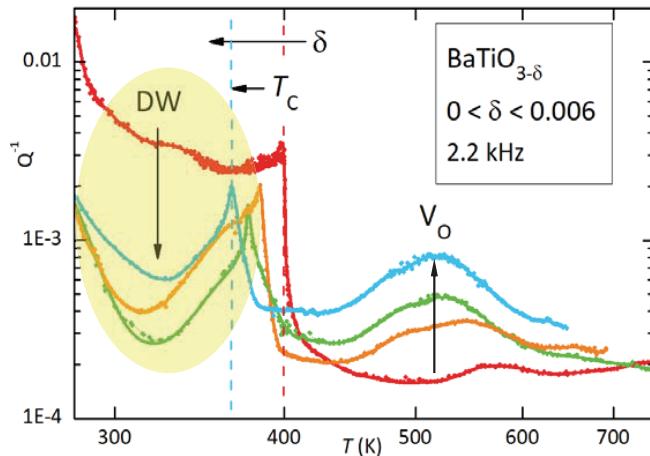
First-principles calculations:

- $V_O$  mainly attracted by  $90^\circ$  DWs
- binding energy comparable or larger than that of pairs.

Chandrasekaran et al.,  
Phys. Rev. B 93, 144102 (2016)

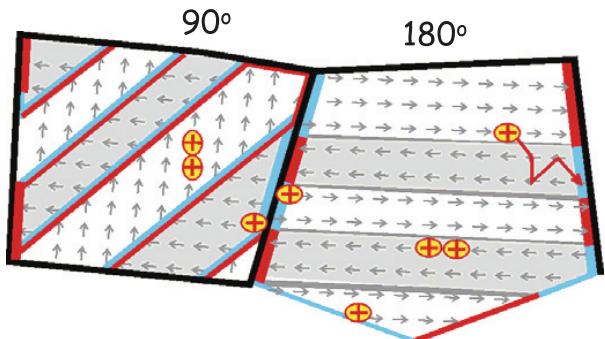


## The $V_O$ pin the $90^\circ$ domain walls



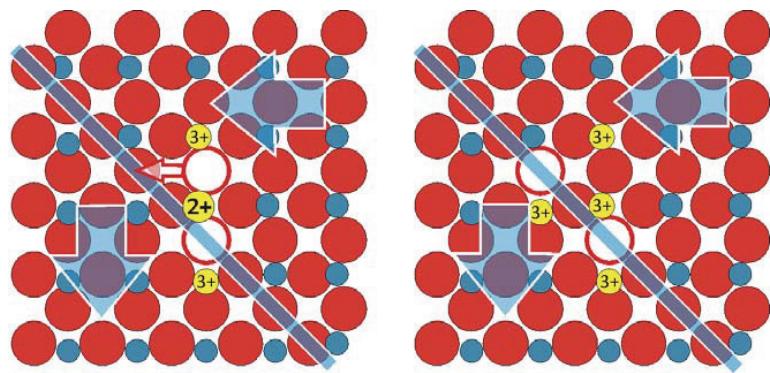
pinning of  $90^\circ$  domain walls  
(drop of DW relaxation)

why should  $V_O$  at  $90^\circ$  DW prefer to be isolated rather paired?



## 90° domain walls are optimally decorated by isolated $V_O$

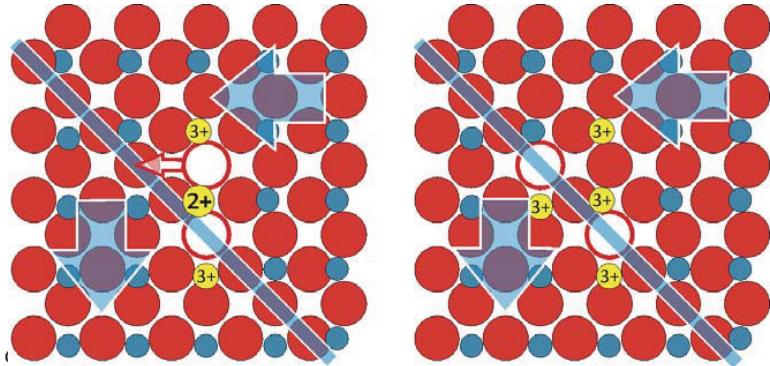
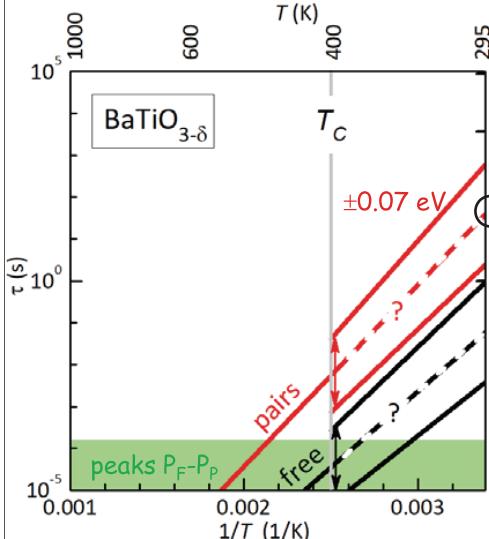
Pairs are along  $\langle 100 \rangle$  do not match  
 90° DW along  $\langle 110 \rangle$  and split  
 ↓  
 insulating  $Ti^{2+} \rightarrow 2$  conducting  $Ti^{3+}$   
 ↓  
 $T_c$  decreases



## Slow mechanism that lowers $T_c$ : $V_O$ pair splitting for decorating DWs

When cooling through  $T_c$ , most of the  $V_O$  are aggregated in pairs and chains

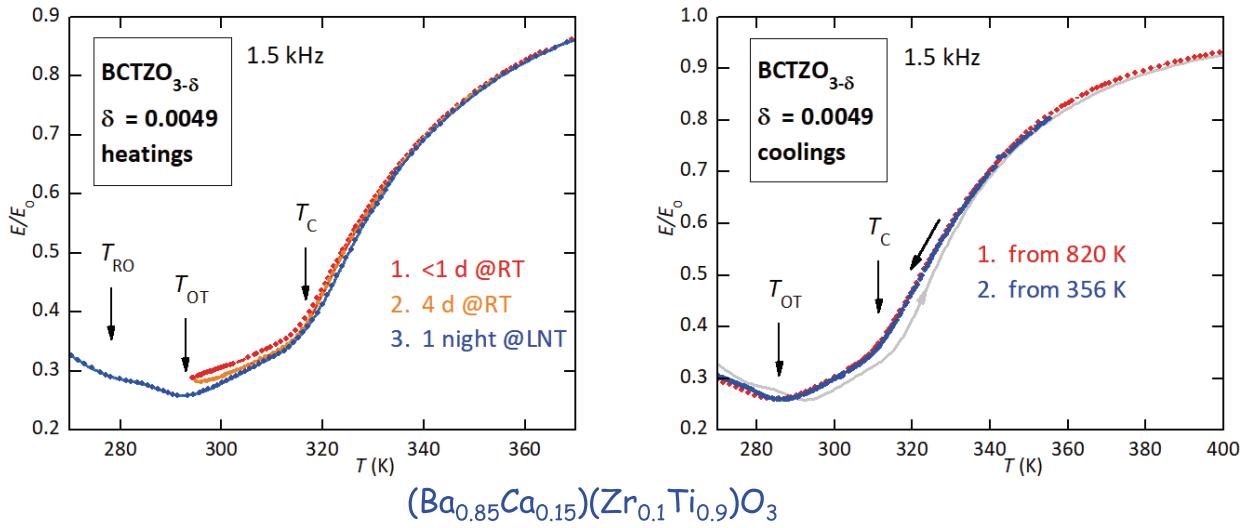
Pairs are along  $\langle 100 \rangle$  do not match  
 90° DW along  $\langle 110 \rangle$  and split  
 ↓  
 insulating  $Ti^{2+} \rightarrow 2$  conducting  $Ti^{3+}$   
 ↓  
 $T_c$  decreases



The mean splitting time extrapolated from the PE phase is one minute, but many steps are required for all the pairs to reach the DW.

in the FE phase jumps within and out of planes perpendicular to the polarization are different splitting of the activation energies

## No anomalies in $T_c$ of BCTZ



no anomalies in  $T_c$ : the  $V_O$  pairs are static at RT

## Hopping rates: aging in BT but not in BCTZ

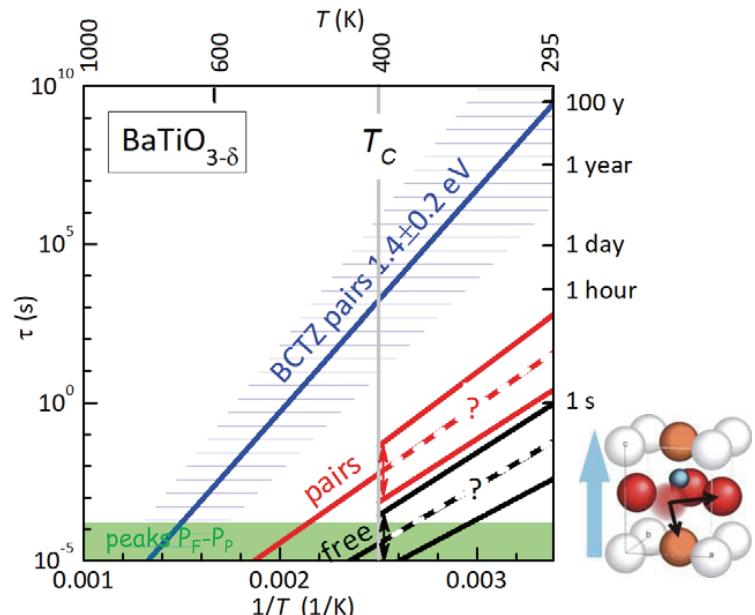
When cooling through  $T_c$  most  $V_O$  are aggregated  
↓

the rate determining process for diffusion of  $V_O$  to DWs is pair splitting:

minutes for  $BaTiO_3$

$10^2$  years for BCTZ

DWs may adapt fast to nearly static  $V_O$  but they are not flexible enough to pass through all of them



$V_O$ jumps in the PE phase	activation energy (eV)	mean time @RT
-----------------------------	------------------------	---------------

BT	free hopping	0.72 eV	ms
BT	pair reorientation/splitting	0.86 eV	min
BCTZ	"	1.4 eV	100 years

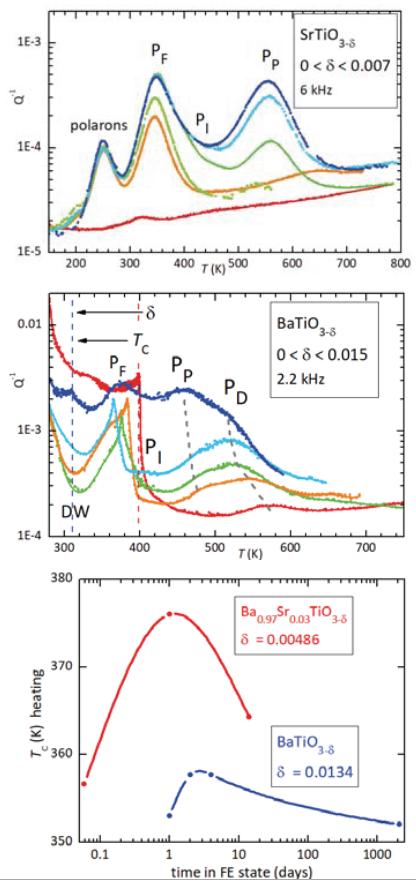
## Conclusions

The anelastic spectra of O deficient  $\text{SrTiO}_{3-\delta}$ ,  $\text{BaTiO}_{3-\delta}$  and BCTZ contain the same peaks due to isolated and paired  $V_O$ . In FE BT there is an additional dependence of the activation energies on  $\delta$  (off-centre Ti).

$V_O$  pairing occurs also at  $\delta < 0.01$  and slows the kinetics for O diffusion and for reaching equilibrium even in the absence of trapping dopants

$T_c$  of O deficient BT has a peculiar dependence on the aging time in the FE state, which is explained in terms clustering of  $V_O$  in the PE phase and slow dissociation to decorate the  $90^\circ$  DWs in the FE phase.

$V_O$  pairing reduces the doping of mobile electrons from  $2\delta$  (all  $V_O$  isolated) to  $\delta$  (all paired).  
 → electric conductivity, cell volume, hopping barriers



# ***Nanostructure and chemical state imaging of energy materials by coherent X-ray diffraction***

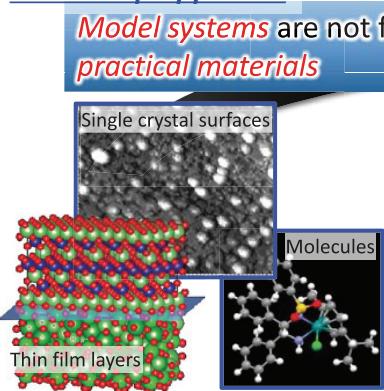
**Yukio TAKAHASHI**

Materials Evaluation and Analysis Research Unit /  
International Center for Synchrotron Radiation Innovation Smart



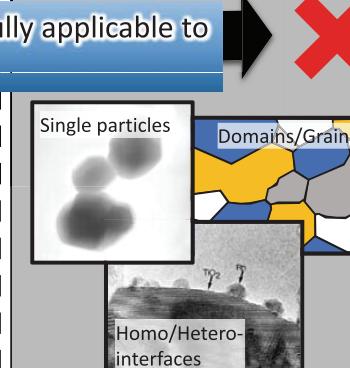
## ***Multi-scale Structures of Energy Materials***

Atomic-scale ( $\text{\AA}$ )  
Model structure system  
Bottom-up approach



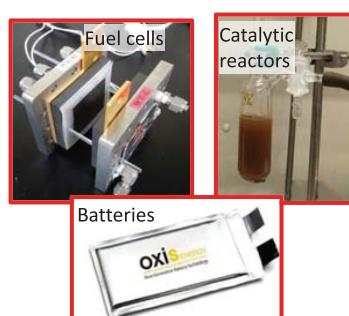
- Simple phase/structure, well discussed by SPM, TEM, theoretical calculations, etc...

Nano-mesoscale (nm- $\mu\text{m}$ )



- Non-uniform structures  
→Non-uniform functionalities

Macroscale (>mm)  
Macroscopic system



- Maximizing functions
- Non-uniform and complex
- Averaged structure analysis by XRD, XAFS, etc...

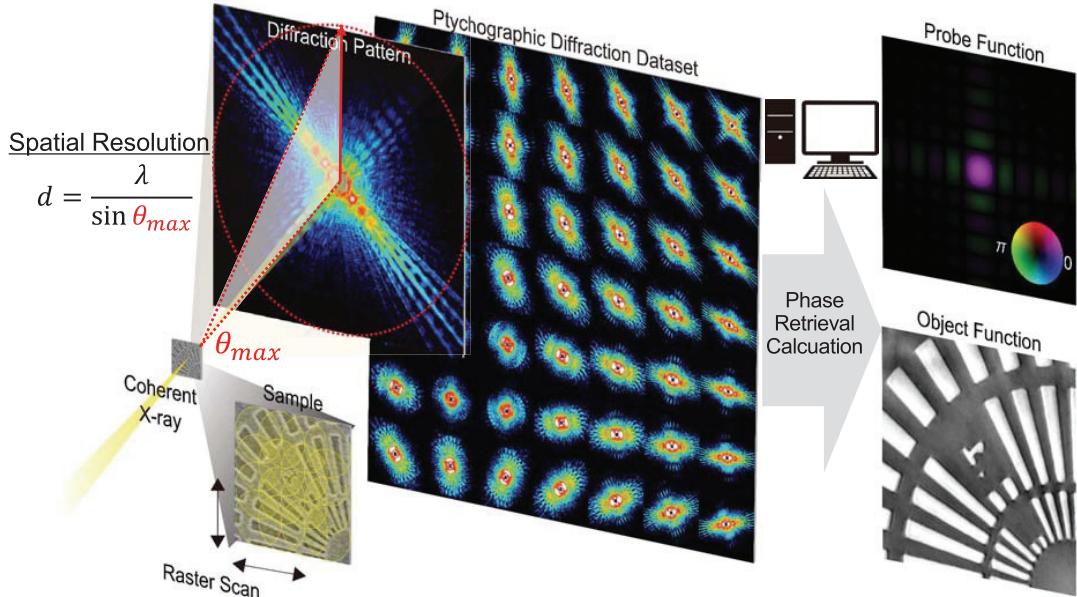
Top-down approach  
Only *apparent structural parameters* can be incorporated into *models*

**Required measurement/analyzing methods to understand physics/chemistry in nano-mesoscale**

- High-resolution, large field-of-view observation in three dimension
- Identification of hidden correlations between structural factors and material functions using big-data analysis

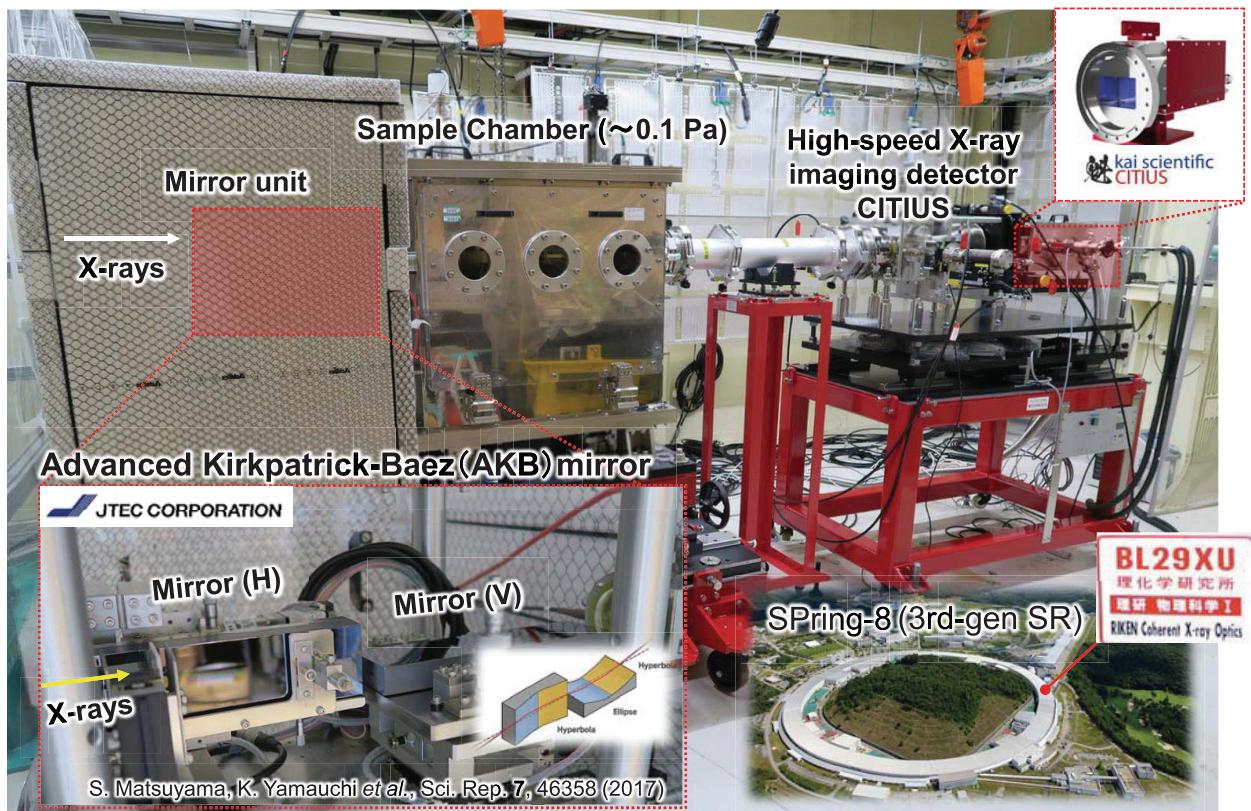
## Coherent X-ray Diffraction Imaging: Breakthrough of Spatial Resolution of X-ray Microscopy

### Scanning Coherent X-ray Diffraction Imaging (X-ray Ptychography)



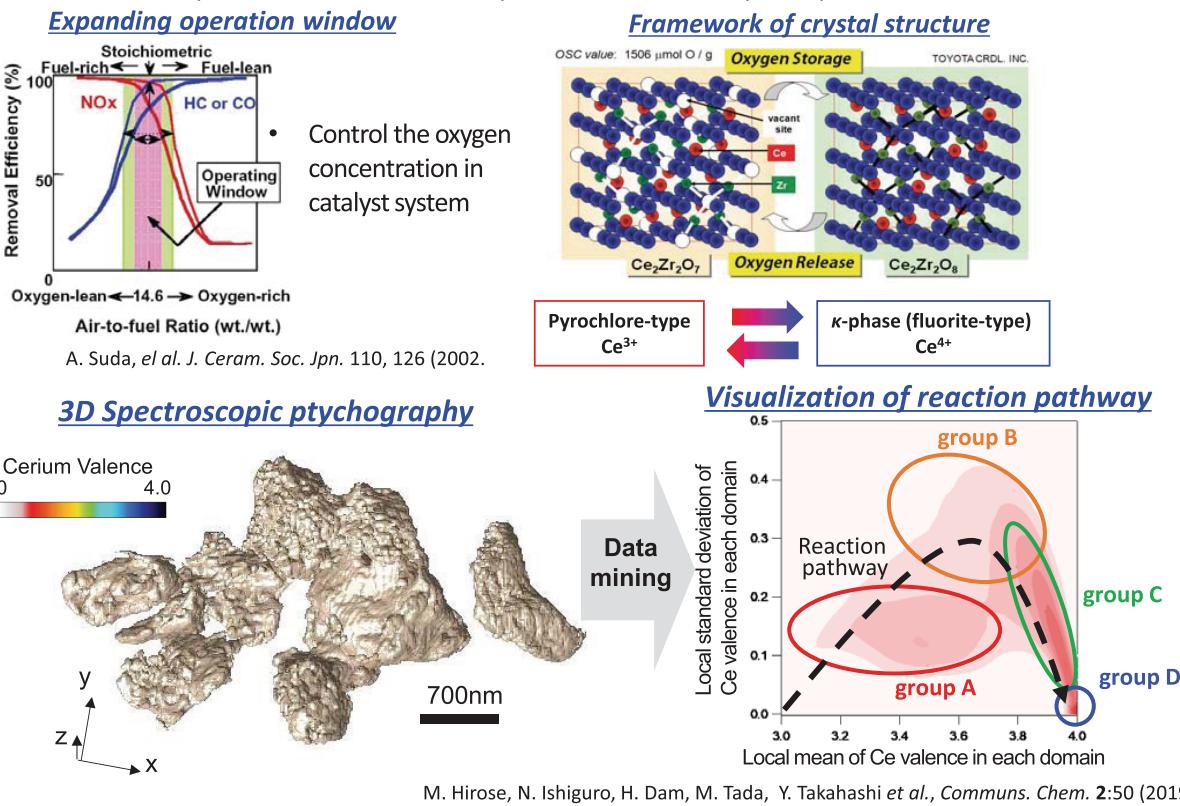
- Computation substitutes for X-ray imaging lens
- Spatial resolution does not depend on accuracy of X-ray lens fabrication
- High-intensity coherent X-ray beam to achieve high-spatial resolution

### **High-resolution X-ray ptychography apparatus at SPring-8**



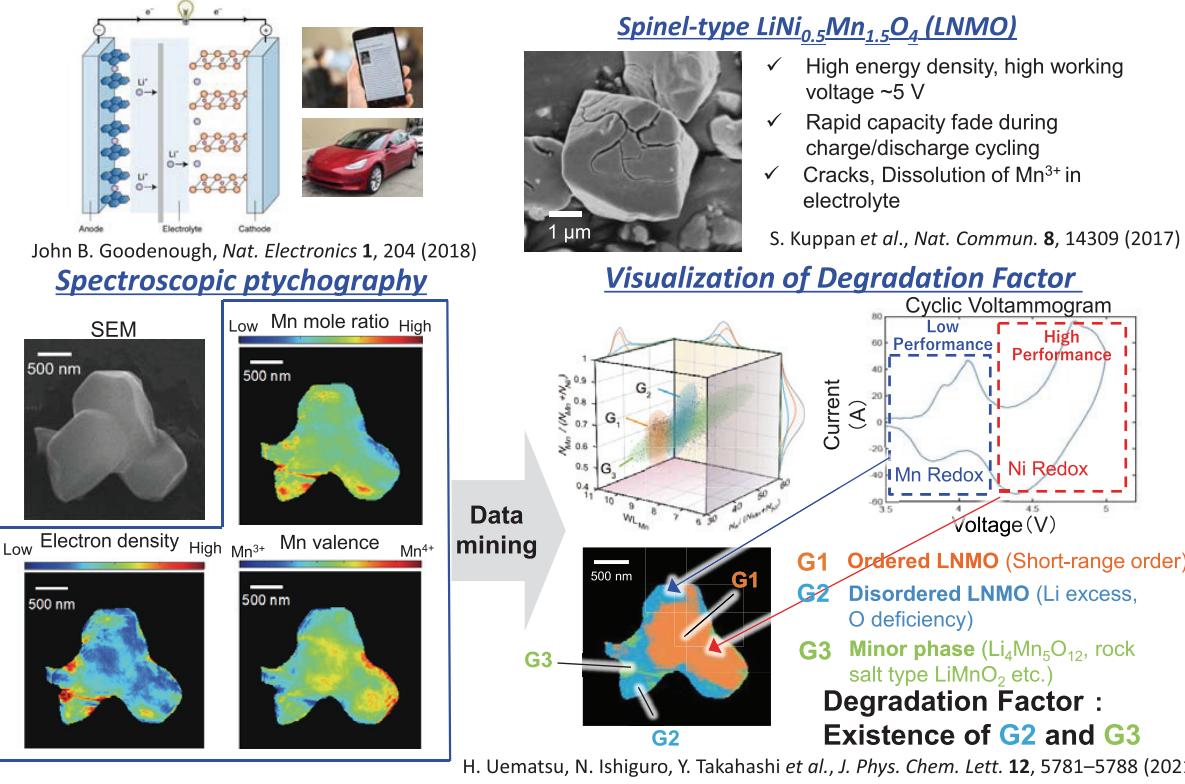
# Catalyst material: $\text{Ce}_2\text{Zr}_2\text{O}_x$ Solution Oxide (CZ-x)

- Stoichiometric oxygen storage/release in the crystal bulk structure (efficiency:  $\sim 90\%$ )
- Used as co-catalyst of automobile exhaust purification three-way catalyst



# Battery material: Spinel-type $\text{LiNi}_{0.5}\text{Mn}_{1.5}\text{O}_4$ (LNMO)

- Rechargeable battery using the reversible reduction of lithium ions to store energy
- Development of cathode materials for higher capacitance, higher voltage, and higher energy density



# From SPring-8 to NanoTerasu

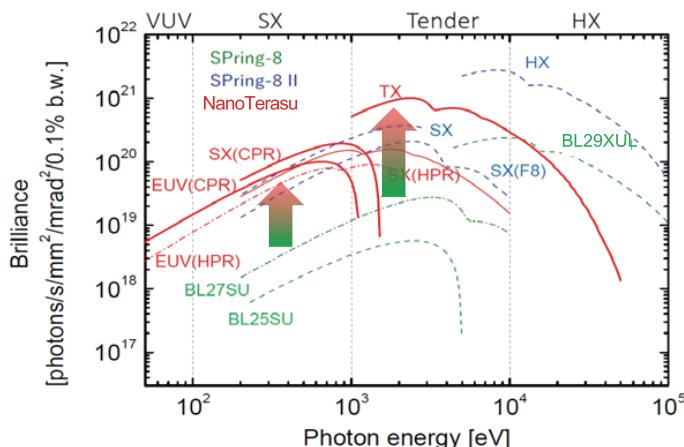
SPring-8(3rd-gen SR)



NanoTerasu(4th-gen SR)

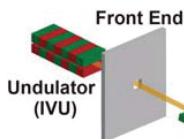


First Beam: December 2023  
User Operation: April 2024



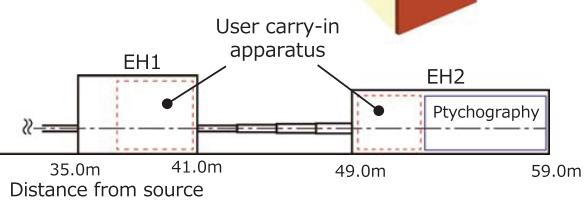
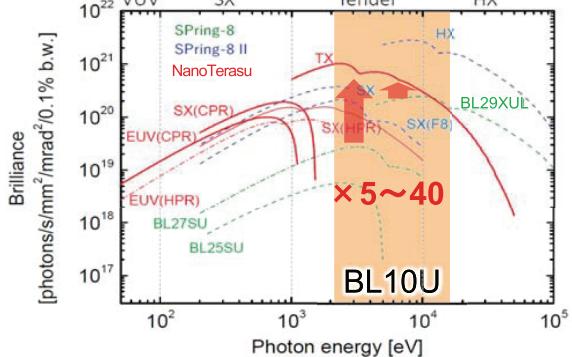
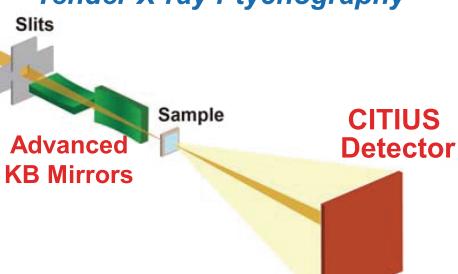
- Soft and tender X-rays at NanoTerasu are significantly brighter than SPring-8
- Tender X-ray at NanoTerasu would be still brighter than SPring-8-II

## BL10U: X-ray Coherent Imaging BL in NanoTerasu



- In-Vacuum Undulator
- Energy range: **2.1keV~15keV**
- Fixed-exit harmonic rejection plane triple mirrors and Si111 double crystal monochromator
- Differential pumping system: **No Be windows**
- **Advanced KB focusing mirrors** (Sep. 2024)
- **CITIUS detector** (Jan. 2025)

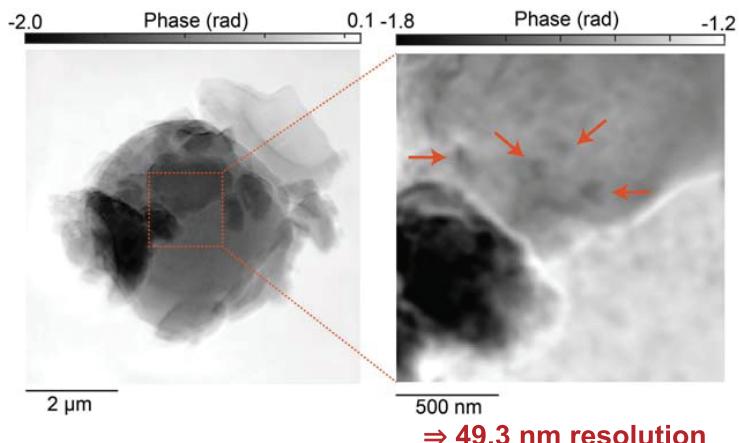
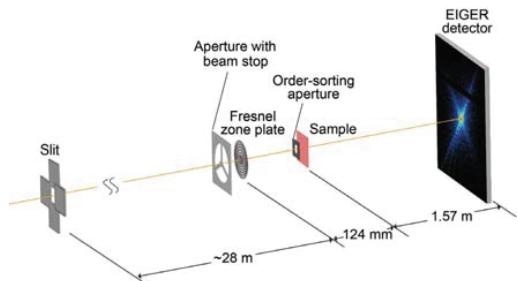
**High-resolution  
Tender X-ray Ptychography**



## First Experiment of Tender X-ray Ptychography at NanoTerasu BL10U

April 9th-11th, 2024

- X-ray energy: 3.5 keV
- Sample: sulfurized polymer



	April 2024	Near Future
Ring Current	160 mA	×2.5 → 400 mA
Focusing optics (Effective Focusing Efficiency)	FZP (~0.2%)	×303 → AKB mirrors (~66%)
Detector (Measurement Efficiency)	EIGER 1M (~33%)	×2.9 → CITIUS 840k (~97%)
Effective flux (photons/s)	$2.8 \times 10^7$ photons/s	×2200 → $6.1 \times 10^{10}$ photons/s

Diffraction intensity decays at  $q^{-4}$  →  $49.3 \text{ nm} / (2200)^{1/4} = 7.2 \text{ nm} !!$

N. Ishiguro, F. Kaneko, M. Abe, Y. Takahashi et al., Appl. Phys. Express 17, 052006 (2024)

## First Paper on Experiments Using Synchrotron Radiation at NanoTerasu

OPEN ACCESS

Applied Physics Express 17, 052006 (2024)

<https://doi.org/10.35848/1882-0786/ad4846>

LETTER



### Towards sub-10 nm spatial resolution by tender X-ray ptychographic coherent diffraction imaging

Nozomu Ishiguro<sup>1,2</sup>, Fusae Kaneko<sup>3,4</sup>, Masaki Abe<sup>1,2</sup>, Yuki Takayama<sup>1,2,5,6</sup>, Junya Yoshida<sup>1</sup>, Taiki Hoshino<sup>1,2,4,6</sup>, Shuntaro Takazawa<sup>1,7</sup>, Hideshi Uematsu<sup>1,7</sup>, Yuhei Sasaki<sup>1,7</sup>, Naru Okawa<sup>1,7</sup>, Keichi Takahashi<sup>8</sup>, Hiroyuki Takizawa<sup>8</sup>, Hiroyuki Kishimoto<sup>3</sup>, and Yukio Takahashi<sup>1,2,4,9\*</sup>

<sup>1</sup>International Center for Synchrotron Radiation Innovation Smart (SRIS), Tohoku University, 468-1 Aramaki-Aza-Aoba, Aoba-ku, Sendai, 980-8572, Japan

<sup>2</sup>RIKEN SPring-8 Center, 1-1-1 Kouto, Sayo-cho, Sayo-gun, Hyogo 679-5148, Japan

<sup>3</sup>Sumitomo Rubber Industries, Ltd. 2-1-1 Tsutsui, Chuo, Kobe, Hyogo 651-0071, Japan

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<sup>5</sup>Graduate School of Agricultural Science, Tohoku University, 468-1, Aoba-ku, Sendai 980-0845, Japan

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<sup>8</sup>Cyberscience Center, Tohoku University, 6-3, Aramaki Aza Aoba, Aoba-ku, Sendai 980-8578, Japan

<sup>9</sup>Institute for Materials Research, Tohoku University, 2-1-1 Katahira, Aoba-ku, Sendai 980-8577, Japan

\*E-mail: [ytakahashi@tohoku.ac.jp](mailto:ytakahashi@tohoku.ac.jp)

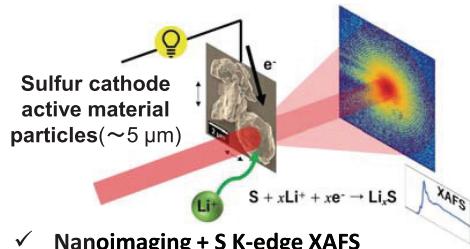
Received April 20, 2024; accepted May 6, 2024; published online May 27, 2024

Paper Accepted within One Month after Beamtime !!  
Selected as Spotlights 2024

## Future perspectives:

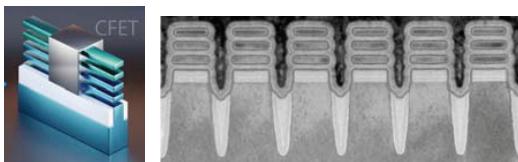
### Visualization of unexplored areas using tender X-ray ptychography

#### Visualization of reactions in lithium-sulfur battery materials



- ✓ Nanoimaging + S K-edge XAFS
- ✓ Use of data mining
- ✓ Electrochemical operando measurement

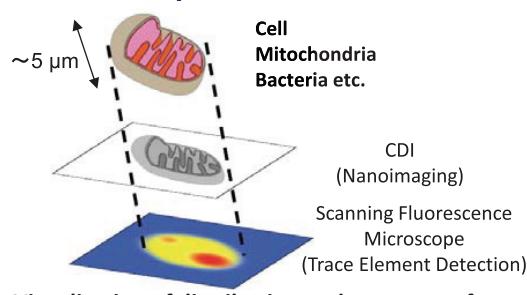
#### Non-destructive internal inspection of advanced semiconductor devices



[https://www.tel.co.jp/museum/magazine/report/202407\\_02/?section=1](https://www.tel.co.jp/museum/magazine/report/202407_02/?section=1)

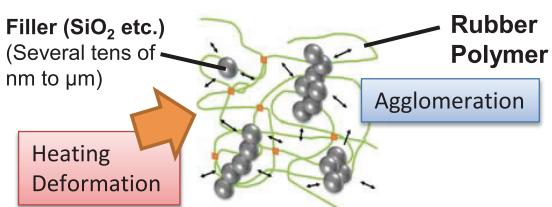
- ✓ Three-dimensional visualization by computed tomography/multi-slice method
- ✓ Visualization of device internal structure without cross-sectional processing

#### Nanoscale structural and elemental analysis in vivo



- ✓ Visualization of distribution and transport of phosphorus, sulfur, etc.

#### Observation of filler diffusion and aggregation within tire rubber



- ✓ sub-sec to msec temporal resolution + nanoscale resolution imaging
- ✓ Nanostructure-mechanical property correlations

# Layered Manganese Dioxide as a Heat-Storage Material Utilizing Environmental Water Vapor

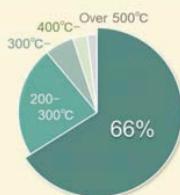


Norihiro L. OKAMOTO, and Tetsu ICHITSUBO

Institute for Materials Research, Tohoku University

*E-IMR International Workshop 2024*  
IMR, Tohoku Univ., Sendai, Japan  
November 26<sup>th</sup>, 2024

## Harvesting Energy from Waste Heat



### Low-grade waste heat (100–200°C)

needs to be **recovered & reused**  
for achieving a sustainable society.

C. Haddad *et al.*, *Energy Procedia*, **50**, 1056–1069 (2014).

- **Thermoelectricity** Conversion from temperature difference to electricity  
Limited by Carnot efficiency & no storage
- **Thermal energy (heat) storage** Without conversion  
On-demand spatially & temporally

#### ➤ Potential applications

##### Waste heat from factories

can be used as an auxiliary heat source  
in other manufacturing sites.

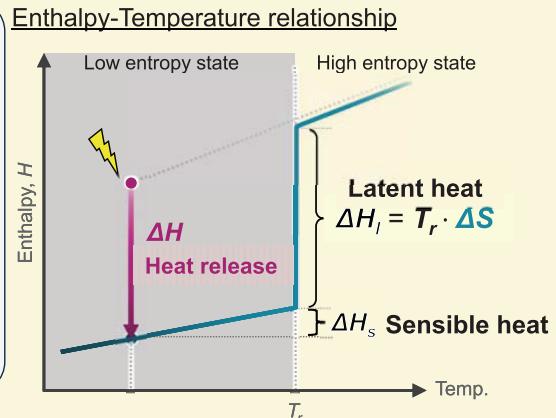
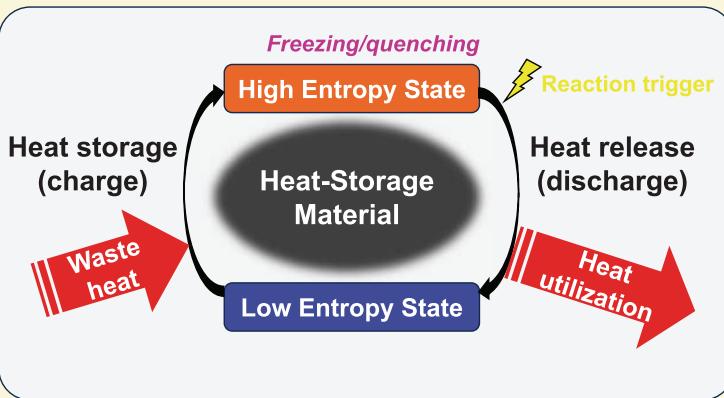
##### Natural thermal energy

The heat stored during the day or  
in summer can be reused at night  
or in winter.

##### Automobile engines/batteries

The stored waste heat can be used to preheat the engine.  
Due to the limited space available, a high-density heat  
storage material is desirable (> 1000 MJ/m<sup>3</sup>).

# Basic Concept of Heat Storage

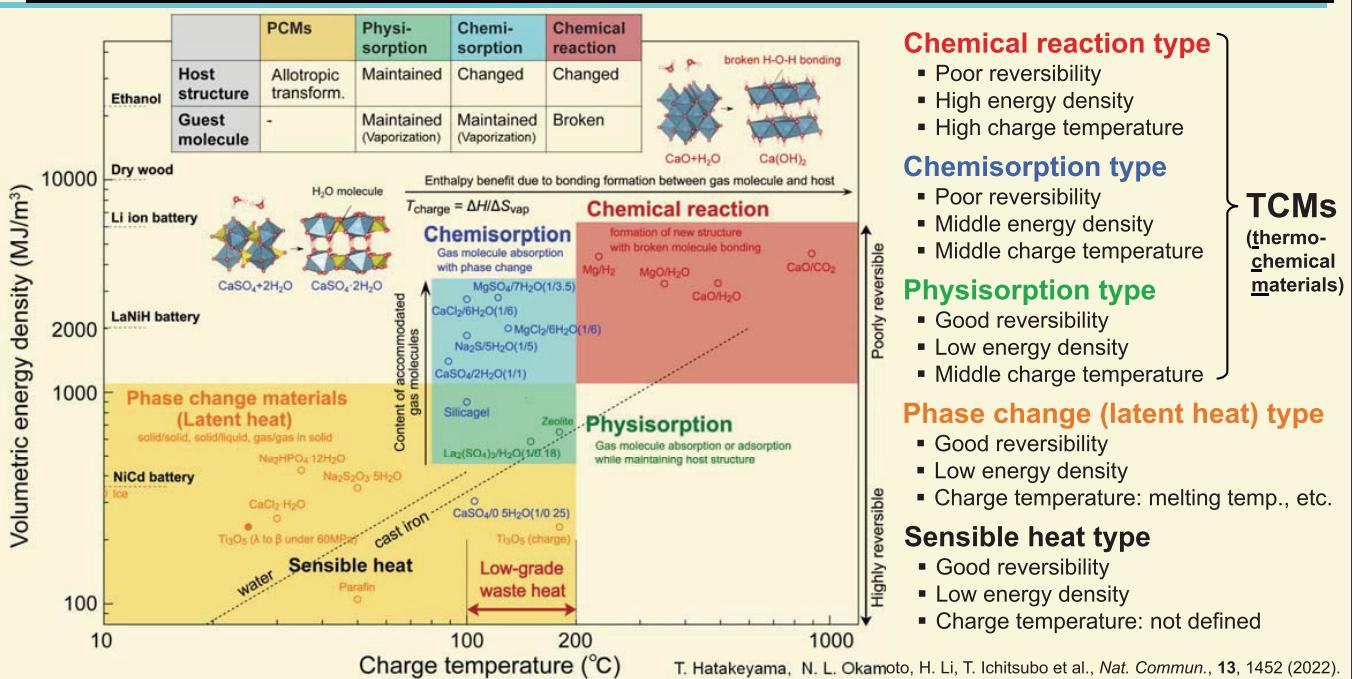


The KEY for long-term heat storage is how to freeze the high entropy (enthalpy) state, and how to trigger the exothermic reaction on demand.

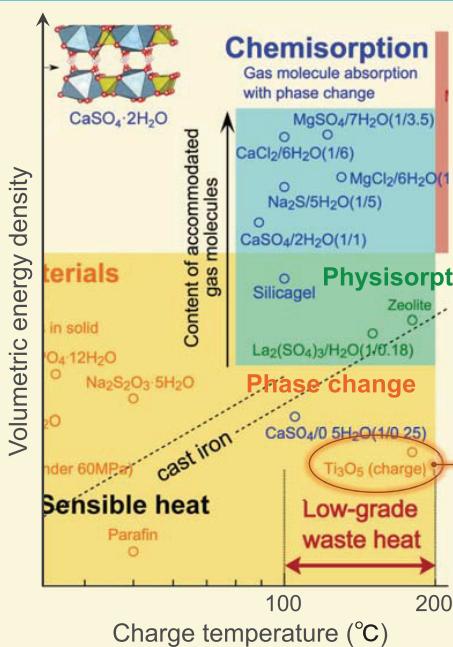
Good heat-storage materials require:

- ❖ **High energy density**  
Utilizing reaction with large  $\Delta S$  : Solid  $\longleftrightarrow$  Gas
- ❖ **High reaction rate & reversibility**
- ❖ **Material's stability, safety & abundance**

## Classification of Heat-Storage Materials by Reaction Mechanisms



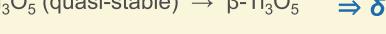
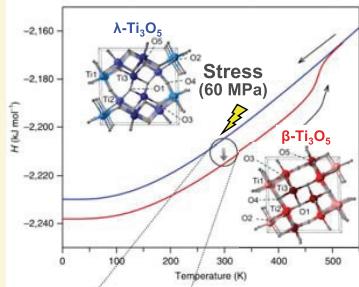
# Phase Change (Latent Heat) Type



Latent heat between solid/liquid or liquid/gas: **good reversibility**  
However, maintaining the supercooled (high entropy) state is difficult.

## Solid-solid allotrophic transformation

H. Tokoro, S. Ohkoshi et al., *Nat. Commun.*, **6**, 7037 (2015).



$$\Delta H = T_{\text{charge}} \Delta S_{\beta \rightarrow \lambda} = 460 \text{ K} \times 25 \text{ J/K}\cdot\text{mol-Ti}_3\text{O}_5$$

cf. Ice's melting entropy: 22 J/K·mol-H<sub>2</sub>O

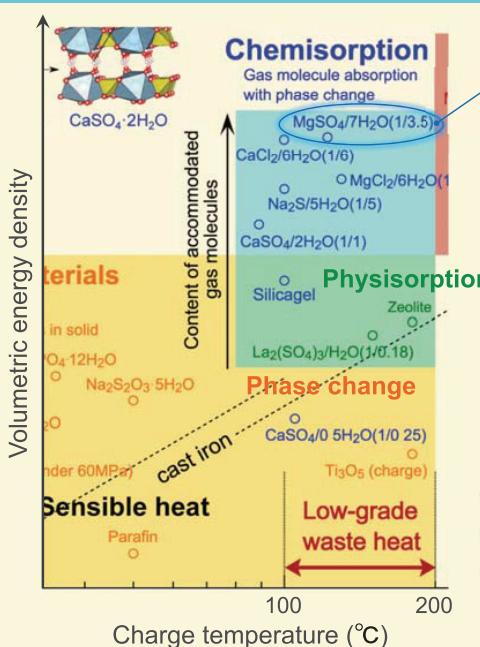
Energy density: 320 MJ/m<sup>3</sup>

Entropy gap ( $\Delta S$ ) between solid states is usually small.

$\Rightarrow \delta Q (\sim \Delta H)$  is inevitably small.

**Utilization of gas phase (large  $\Delta S$ ) is desired!**

# Chemisorption Type



## Example of water absorption



$$\Delta H = T_{\text{discharge}} \Delta S_{\text{vap}} = 300 \text{ K} \times \frac{\sim 145 \text{ J/K}\cdot\text{mol-H}_2\text{O} \times 5}{\text{Sublimation entropy (gas} \leftrightarrow \text{solid)}}$$

### [Merit]

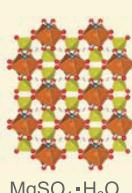
- Sublimation entropy for water absorption is large.
- Large amount of H<sub>2</sub>O (5 mol) absorbed per mol-MgSO<sub>4</sub>

**→ High energy density**

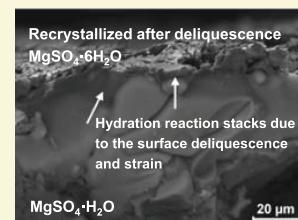
### [Demerit]

- Highly deliquescent
- Large structural/volumetric changes upon water absorption/release

**→ Sluggish & irreversible**

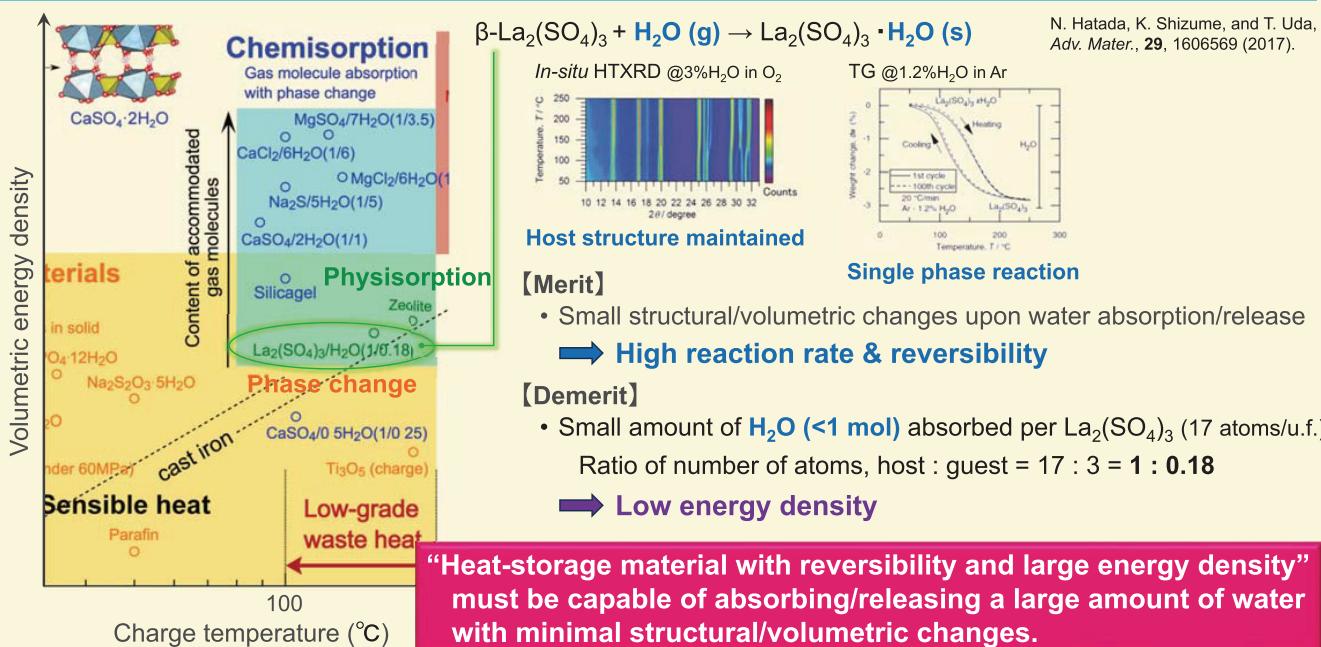


Sluggish  
Host structure change  
Irreversible



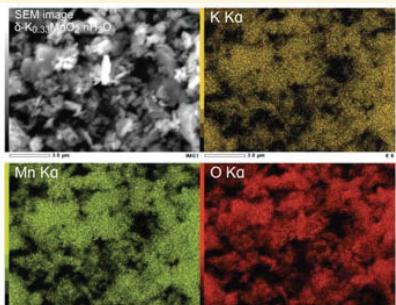
M. Steiger et al., *Crystal Growth & Design*, **8**, 1 (2008).

# Physisorption Type



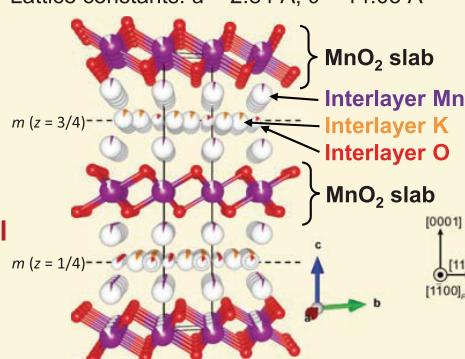
# Layered Manganese Dioxide ( $\delta\text{-K}_{0.33}\text{MnO}_2$ )

Pyrolysis of  $\text{KMnO}_4$  at  $700^{\circ}\text{C}$



Reported structure ( $\text{K}_{0.33}\text{MnO}_2$ )

Space group:  $P6_3/mmc$  (#194)  
Lattice constants:  $a = 2.84 \text{ \AA}$ ,  $c = 14.03 \text{ \AA}$

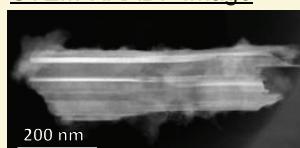


A.C. Gaillot et al., *Chem. Mater.*, **15**, 4666 (2003).

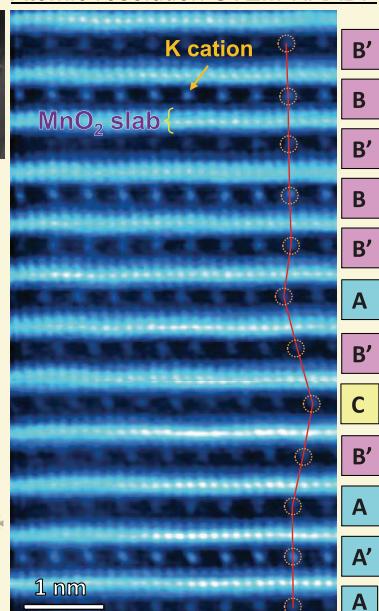
N.L. Okamoto et al., *Energy Storage Mater.*, **61**, 102912 (2023).

"Crystal water" has been known to exist in  $\delta\text{-MnO}_2$ , but is it released without structural change (intercalation)?  
Water release temperature?  
Hydration enthalpy?

STEM-HAADF Image

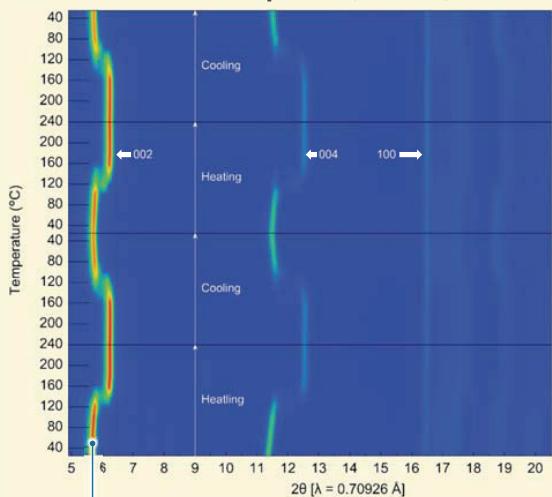


Atomic resolution STEM-HAADF



## Structural Changes during Hydration/Dehydration: In-Situ XRD

In ambient atmosphere (R.H. 60% @ 25°C = 1.8% H<sub>2</sub>O)

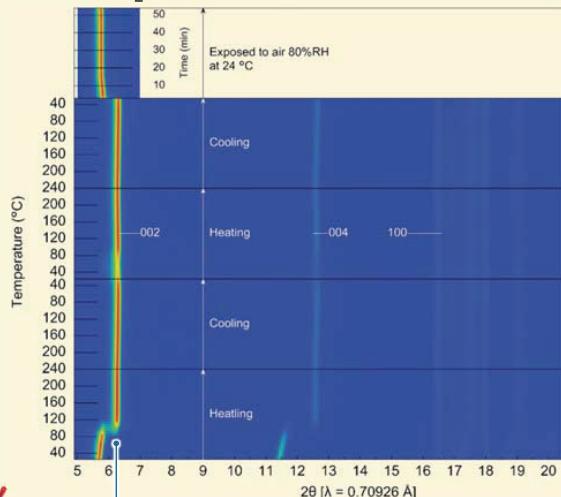


Interlayer distance shrinks/expands by 9% upon hydration/dehydration.

The layered structure is maintained.

**“Water intercalation”**

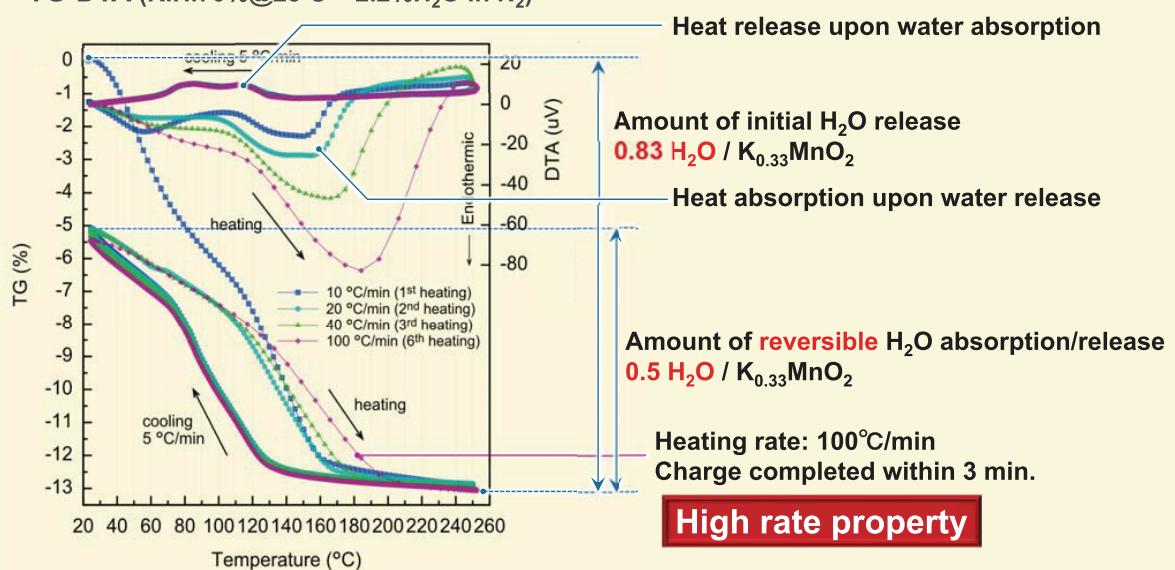
In dry N<sub>2</sub>



Interlayer distance is irreversible due to the absence of water molecules.

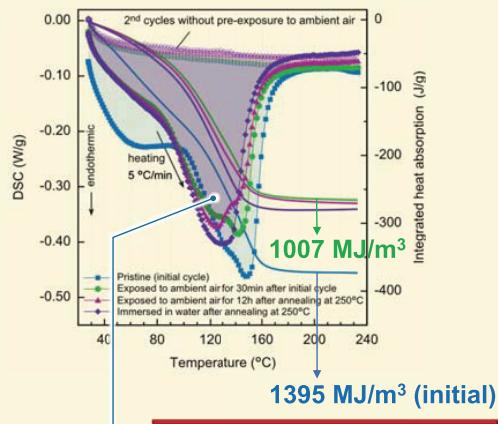
## Water Absorption/Release Behavior under Controlled Humidity

TG-DTA (R.H. 70% @ 25°C = 2.2% H<sub>2</sub>O in N<sub>2</sub>)



## Amount of Heat Storing/Release Upon Water Release/Absorption

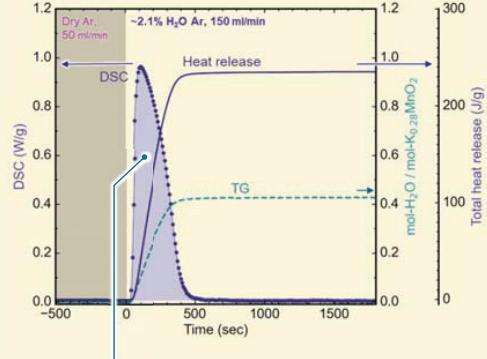
Heat storage (DSC in dry Ar)



**High energy density**

The amount of absorbed heat: **61.7 kJ/mol-H<sub>2</sub>O**  
(corresponding to the reversibly released/absorbed water = 0.50 mol-H<sub>2</sub>O)

Heat release (exposed to humidified Ar @28°C in DSC)

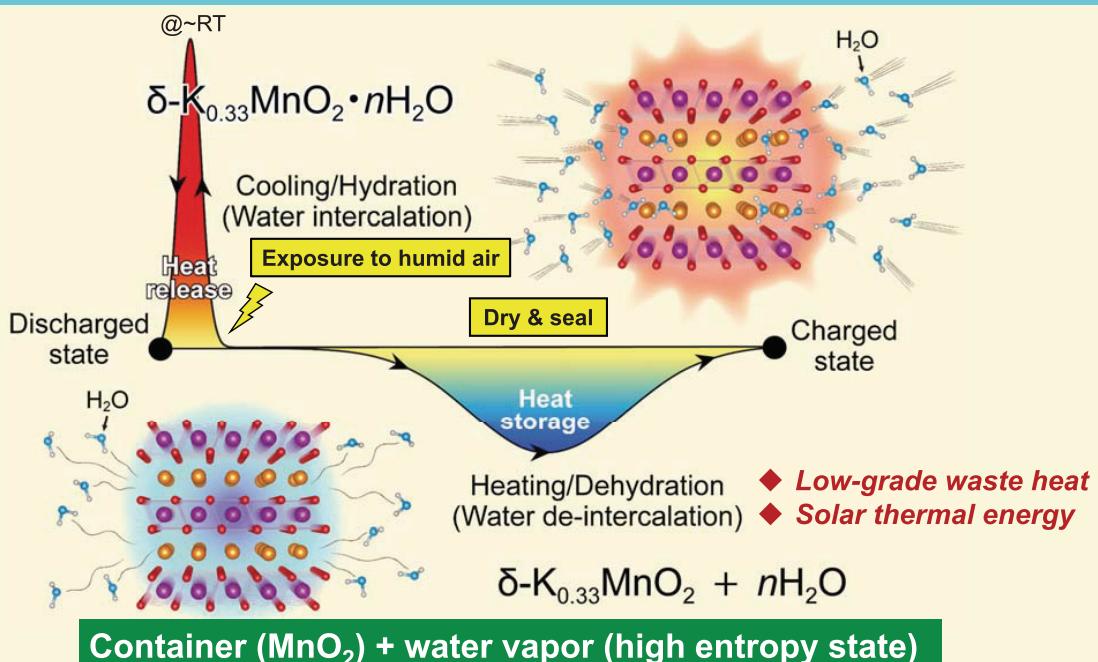


The amount of released heat: **59.4 kJ/mol-H<sub>2</sub>O**  
⇒  $\Delta S = 145.0 \text{ J/K/mol-H}_2\text{O}$

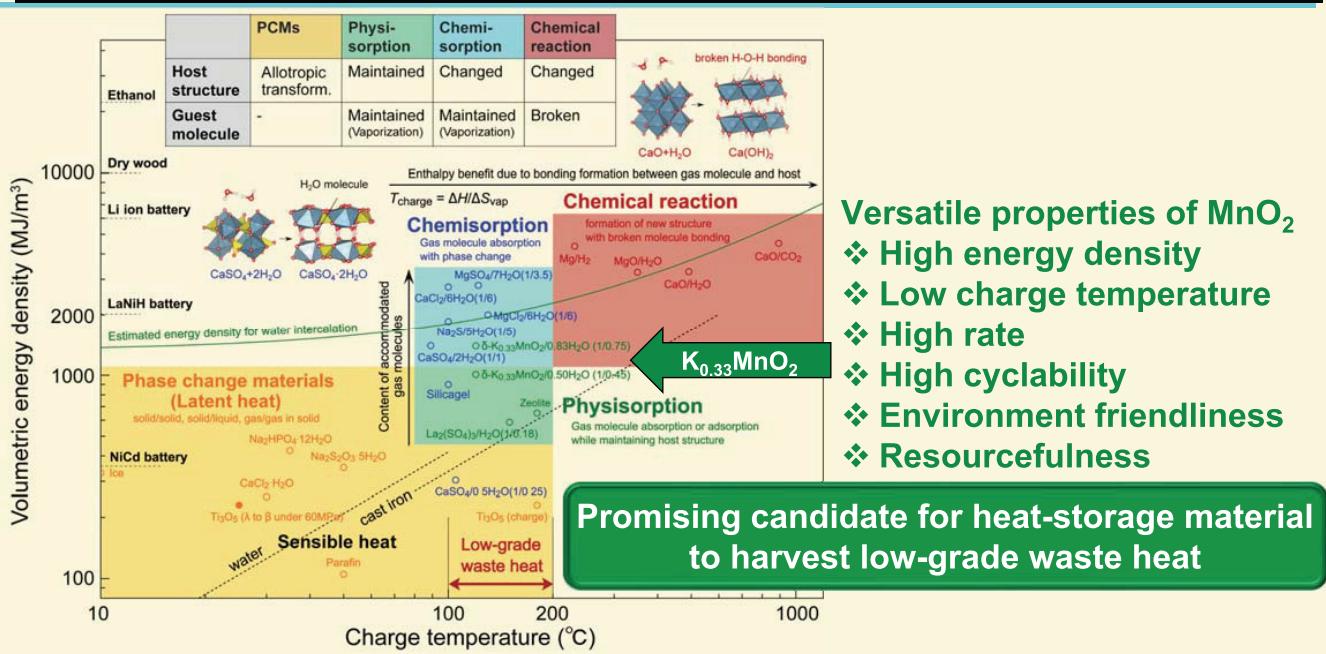
≒ Sublimation entropy of ice (0°C): 140.5 J/K/mol  
State of the interlayer water molecules in K<sub>0.33</sub>MnO<sub>2</sub> is: "**Solid-like**"

c.f. Evaporation entropy of water (100°C): 109 J/K/mol  
Melting entropy of ice (0°C): 22 J/K/mol

## Heat Storage/Release Mechanism Utilizing H<sub>2</sub>O Molecules in Air



# Comparison with Existing Materials



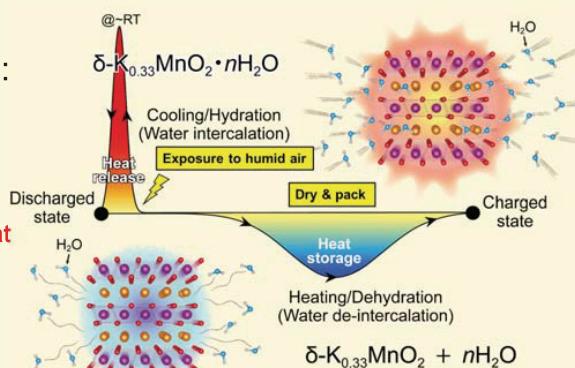
T. Hatakeyama, N. L. Okamoto, H. Li, T. Ichitsubo et al., *Nat. Commun.*, 13, 1452 (2022).

## Summary

Layered  $\text{MnO}_2$  is a promising candidate for heat-storage material to harvest low-grade waste heat.

The salient features of the K-containing layered  $\text{MnO}_2$  are:

- It can store/release heat via the **water intercalation mechanism**, in which water molecules in a moist atmosphere are inserted into and removed from the interlayers.
- It exhibits an **excellent balance** of various properties required for heat-storage materials:
  - ❖ High energy density: 1000 MJ/m<sup>3</sup>
    - ➔ Large entropy gap between water vapor and “ice”
  - ❖ Low charge temperature: 100-160°C
    - ➔ Suitable for harvesting low-grade waste (solar) heat
  - ❖ High rate & cyclability
    - ➔ Subtle structural change upon water intercalation
  - ❖ Excellent environmentality & resourcefulness
    - ➔ Water, oxide & abundant Mn



# MEMO

# A new AB<sub>3</sub>-based alloy with reversible hydrogen absorption/desorption reactions and less degradation

T. SATO<sup>1</sup>, H. SAITO<sup>2</sup>, R. UTSUMI<sup>2</sup>, J. ITO<sup>3</sup>, K. OBANA<sup>3</sup>, Y. NAKAHIRA<sup>2</sup>,  
D. SHEPTYAKOV<sup>4</sup>, T. Honda<sup>5, 6</sup>, H. SAGAYAMA<sup>6</sup>, S. TAKAGI<sup>1</sup>, T. KONO<sup>1</sup>,  
H. YANG<sup>7, 8</sup>, W. LUO<sup>9</sup>, L. LOMBARDO<sup>10</sup>, A. ZÜTTEL<sup>7, 8</sup>, S. ORIMO<sup>6, 11</sup>

<sup>1</sup>Institute for Materials Research, Tohoku University, Japan,

<sup>2</sup>National Institutes for Quantum Science and Technology (QST), Japan

<sup>3</sup>Shibaura Institute of Technology, Japan

<sup>4</sup>Paul Scherrer Institut, Switzerland

<sup>5</sup>J-PARC Center, High Energy Accelerator Research Organization (KEK), Japan

<sup>6</sup>Institute of Materials Structure Science, High Energy Accelerator Research Organization (KEK), Japan

<sup>7</sup>École Polytechnique Fédérale de Lausanne (EPFL) Valais/Wallis, Switzerland

<sup>8</sup>Empa Materials Science and Technology, Switzerland

<sup>9</sup>School of Environmental and Chemical Engineering, Shanghai University, China

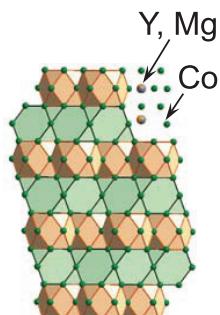
<sup>10</sup>Department of Chemistry, Graduate School of Science, Kyoto University, Japan

<sup>11</sup>Advanced Institute for Materials Research (WPI-AIMR), Tohoku University, Japan

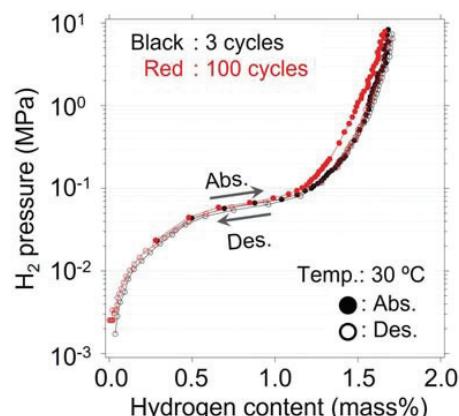
E-IMR International Workshop 2024, Nov. 26, 2024

## Today's contents

- Hydrogen storage materials
- Research backgrounds  
 $(Y, Mg)Co_3$ :  
Reversible hydrogen absorption and desorption reactions  
Narrow hysteresis  
Less degradation up to 100 cycles  
Gravimetric hydrogen density: 1.68 mass% (< 10 MPa)



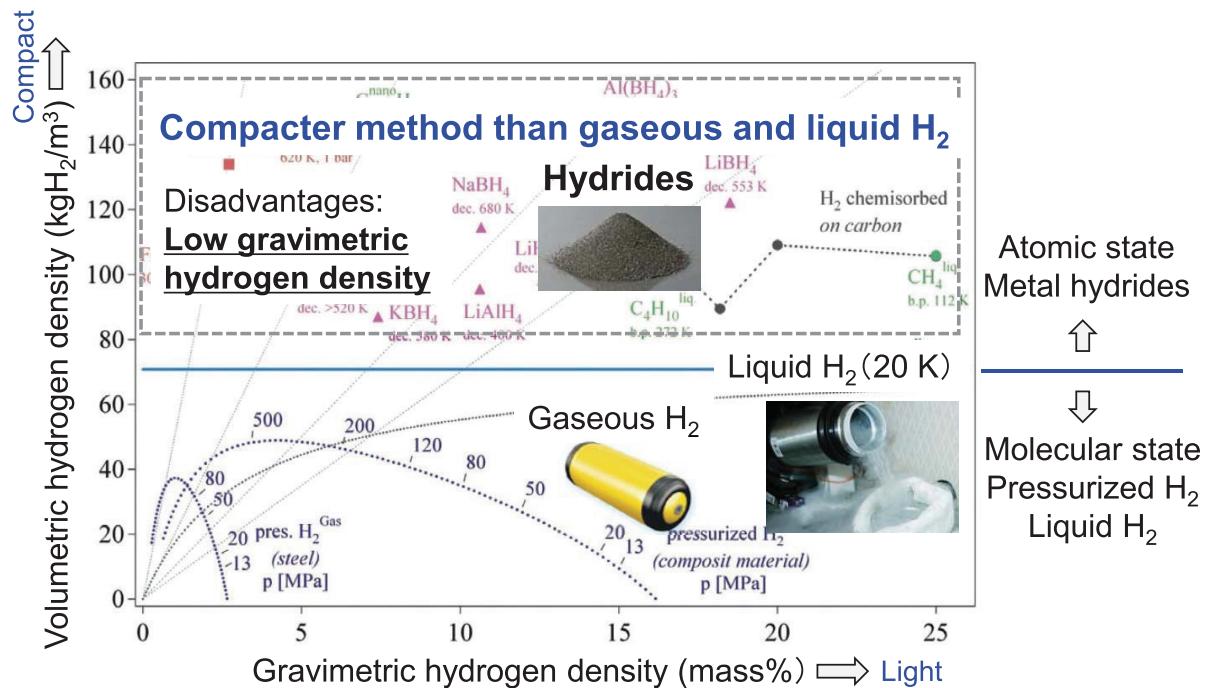
- Results  
Syntheses  
Hydrogen storage properties (< 10 MPa)  
Crystal structure
- Conclusions



## Hydrogen storage methods

	Hydrogen storage as Molecular state		Hydrogen storage as Atomic state
	Gaseous H <sub>2</sub>	Liquid H <sub>2</sub>	Hydride
Adv.	Gravimetric density	Volumetric density	Volumetric density
DA.	Volumetric density	Temperature	Gravimetric density
Ex.			
<a href="https://toyota.jp/mirai/gallery/?padid=from_mirai_forexecutive_navi-menu_gallery">https://toyota.jp/mirai/gallery/?padid=from_mirai_forexecutive_navi-menu_gallery</a> <a href="https://www.khi.co.jp/news/detail/20220725_1.html">https://www.khi.co.jp/news/detail/20220725_1.html</a> <a href="https://www.global.toshiba/jp/news/corporate/2017/06/pr2001.html">https://www.global.toshiba/jp/news/corporate/2017/06/pr2001.html</a>			

## Hydrogen storage capacity

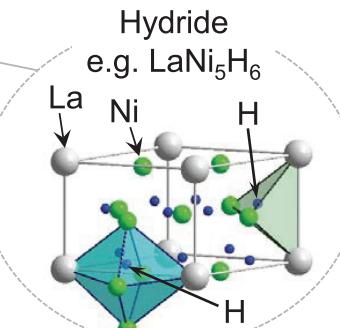
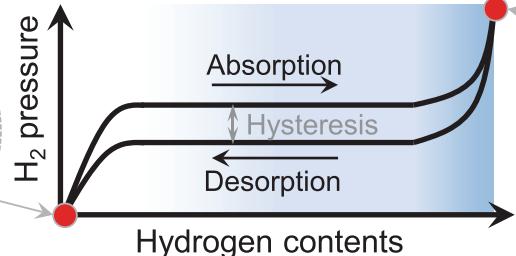
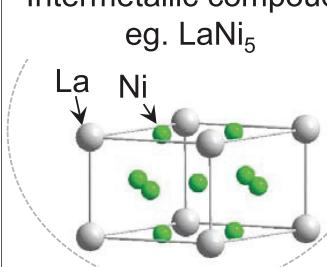


A. Züttel Mater. Today 6, 24–33, (2003).

## Candidates for hydrogen storage materials

### Hydrogen absorption/desorption reactions

Intermetallic compounds  
eg.  $\text{LaNi}_5$



### Key issues

- Low gravimetric hydrogen density ( $\text{LaNi}_5$ : 1.4 mass%)
- Temperature
- Hysteresis
- Degradation after hydrogen absorption/desorption reactions

### Candidate materials

Intermetallic compounds (e.g.  $\text{LaNi}_5\text{H}_6$ ), Complex hydrides (e.g.  $\text{NaAlH}_4$ ),  
Ionic hydrides (e.g.  $\text{MgH}_2$ ), Porous materials (e.g. MOF-5), etc.

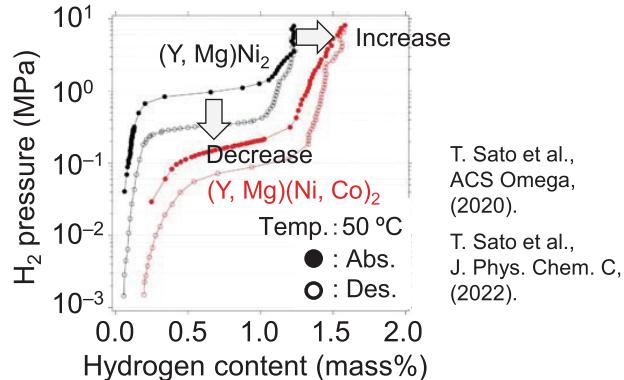
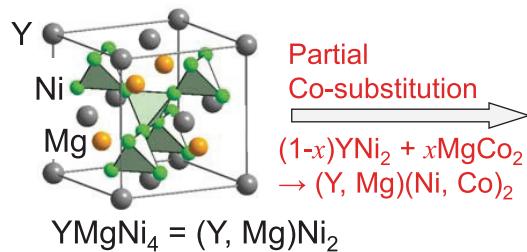
## Intermetallic compounds for hydrogen storage material

Typical intermetallic compounds for hydrogen storage materials:  $\text{AB}_2$ ,  $\text{AB}_3$ ,  $\text{AB}_5$

Higher affinity to hydrogen					Lower affinity to hydrogen												
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
H																	He
Li																	Ne
Na	Mg																Ar
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe
Cs	Ba	La	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn
Component A					Component B												
$\text{AB}_x$ ( $x = 1-5$ )																	

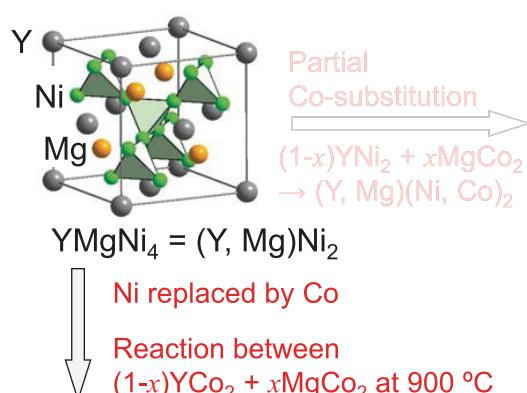
## Our studies on $(Y, Mg)Co_3$ as a hydrogen storage material

- Studies on  $YMgNi_4$ -based alloys ( $\approx AB_2$ ) for hydrogen storage materials

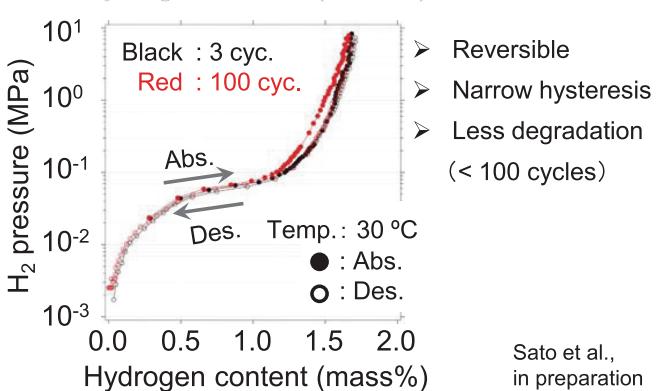
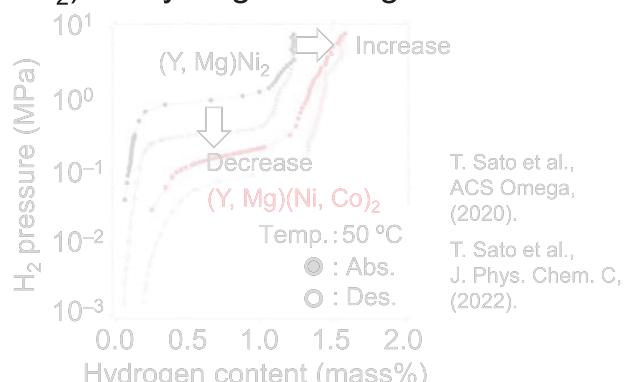


## Our studies on $(Y, Mg)Co_3$ as a hydrogen storage material

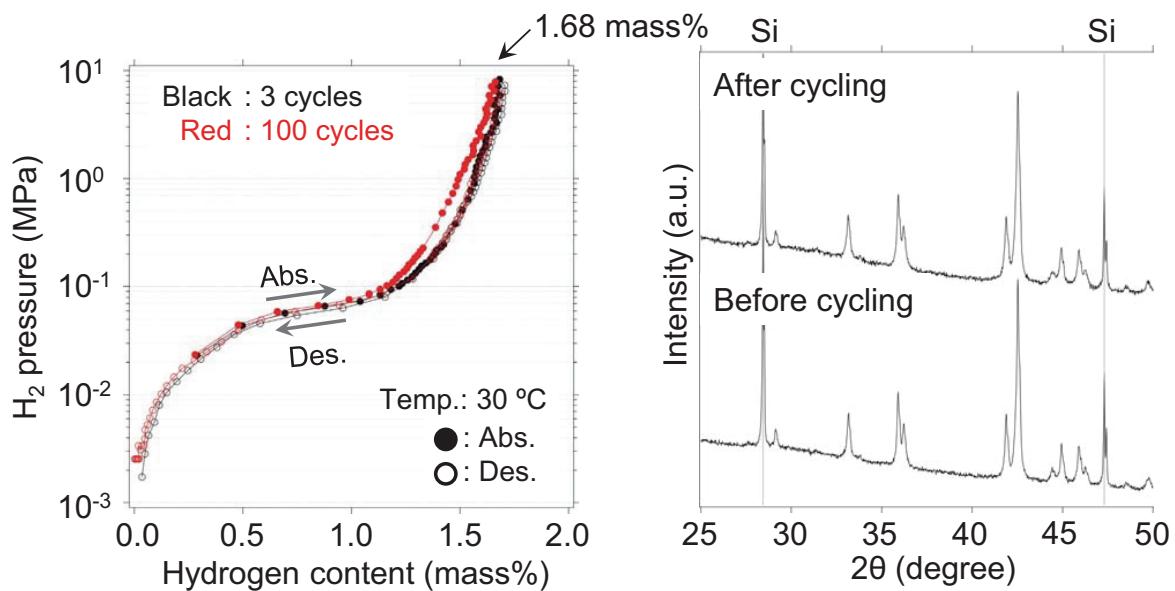
- Studies on  $YMgNi_4$ -based alloys ( $\approx AB_2$ ) for hydrogen storage materials



- $(Y, Mg)Co_3$  ( $AB_3$ -based alloy)

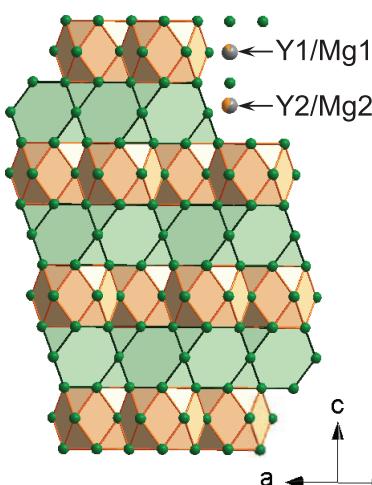
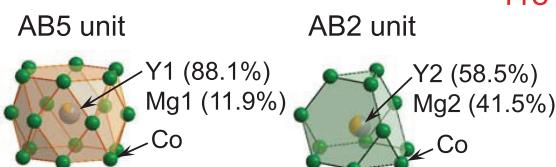
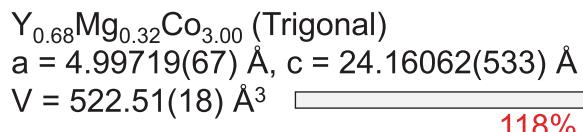


## Cycling at 30 °C ( $1.2\text{YCo}_2 + 0.8\text{MgCo}_2$ )

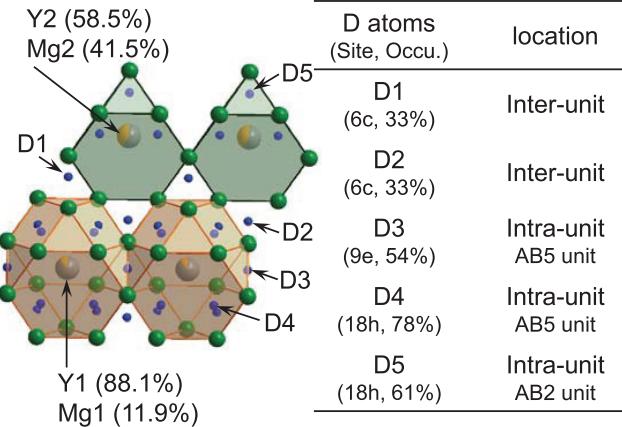
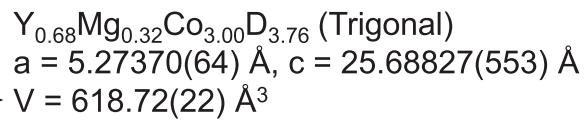


Less degradation after the cycling up to 100 cycles

## Crystal structures (Neutron diffraction in $\text{D}_2$ pressure)



118%



## Conclusions

### ➤ Syntheses

$AB_3$  based alloys,  $(Y, Mg)Co_3$  : Synthesized from  $YCo_2$  and  $MgCo_2$   
(Y and Mg ratios: controlled by initial material ratios)

### ➤ Hydrogen storage properties

Cycling : Less degradation up to 100 cycles

Hydrogen storage capacity : 1.68 mass% at 30 °C

### ➤ Crystal structure

Mg atomic positions : The same positions with Y atoms

D atomic positions : spaces in the inter- and intra-  $AB_2$  and  $AB_5$  units  
(D atoms:  $AB_5$  units >  $AB_2$  units)

## Acknowledgements

This research was supported by

the JST SICORP (grant number JPMJSC1802)

the JSPS KAKENHI (grant numbers 16K06766, 18H05513, 18H05518, 19K05051),

the GIMRT Program of the Institute for Materials Research, Tohoku University

the JST GteX (grant number JPMJGX23H1)



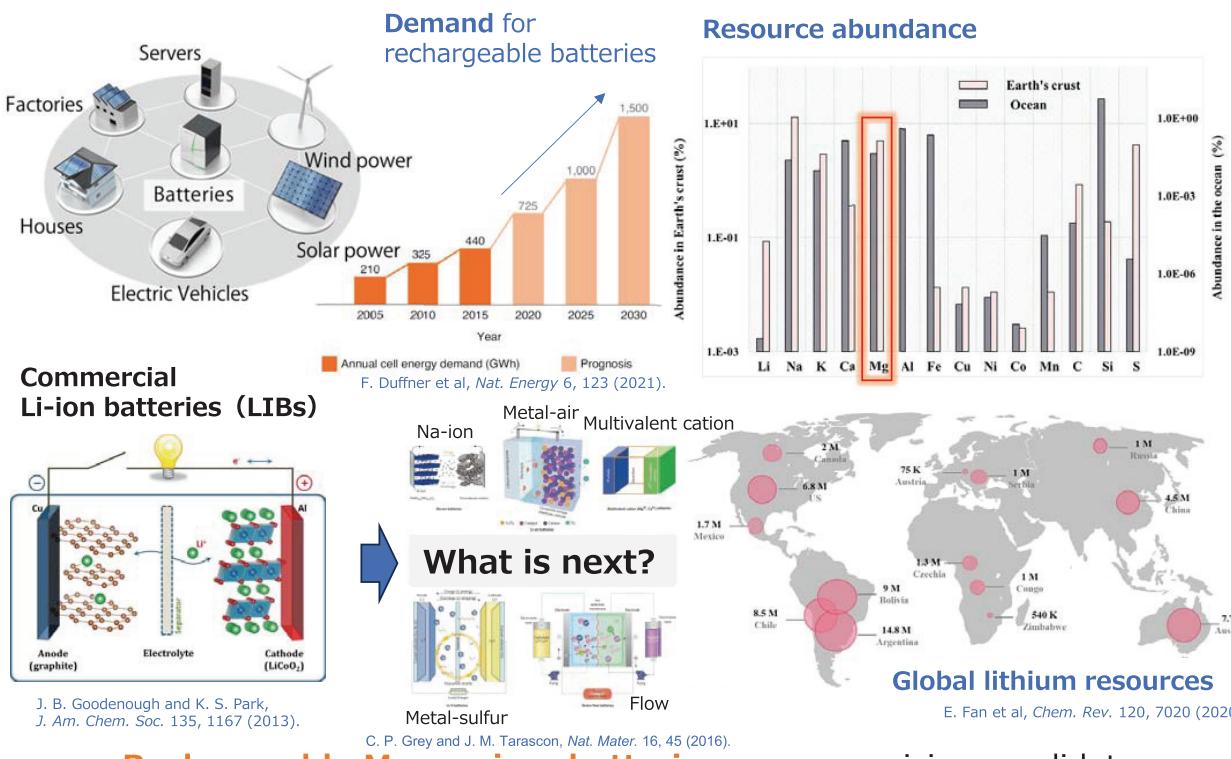
# Interface Design for Room-Temperature Rechargeable Magnesium Batteries with Transition Metal Oxide Cathodes

Hongyi Li, Xiatong Ye, Yue Qi,  
Norihiko L. Okamoto, Tetsu Ichitsubo

*Institute for Materials Research, Tohoku University*

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## Rechargeable batteries with minimal resource constraints

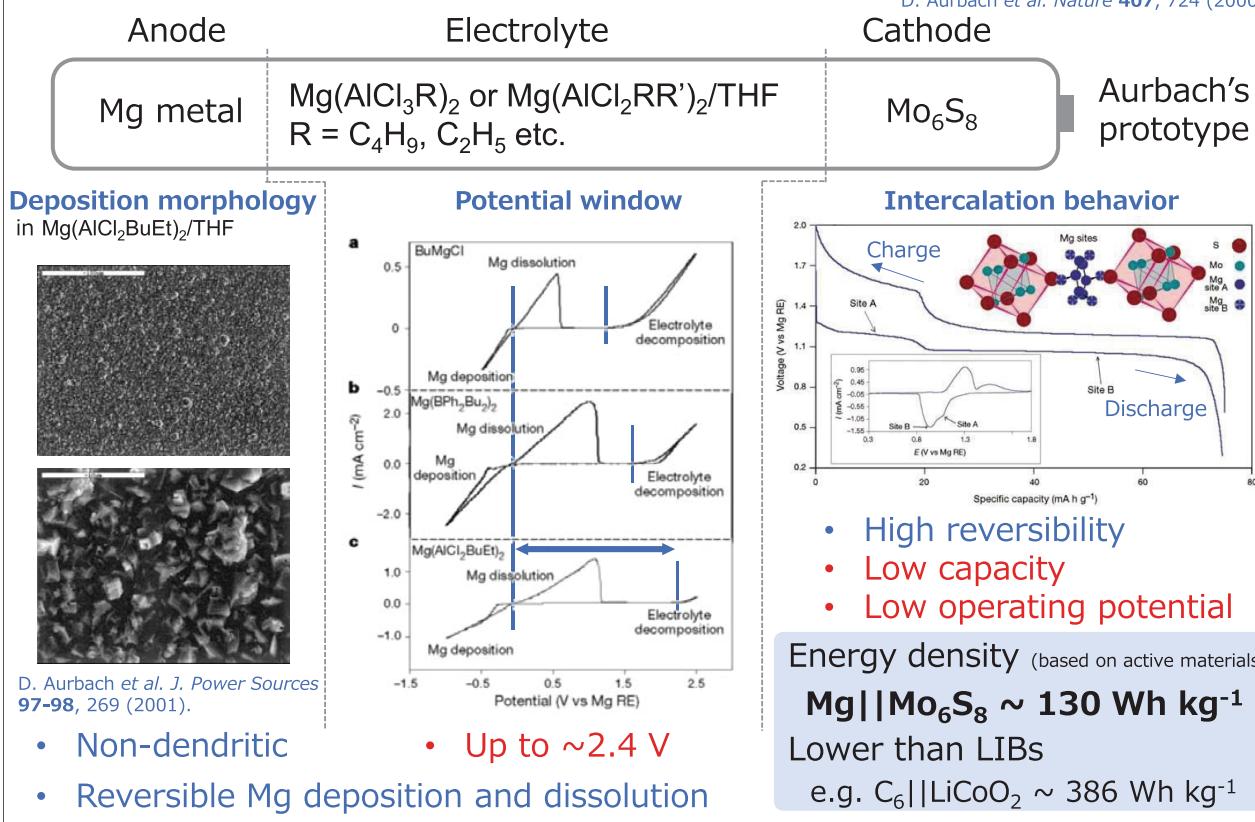


**Rechargeable Magnesium batteries** are a promising candidate for constructing low-cost large-scale energy storage systems.

# Prototype of rechargeable magnesium batteries



D. Aurbach et al. *Nature* 407, 724 (2000).



D. Aurbach et al. *J. Power Sources* 97–98, 269 (2001).

- Non-dendritic
- Up to  $\sim 2.4 \text{ V}$
- Reversible Mg deposition and dissolution

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## Developing electrolytes with reversible Mg anode and high stability

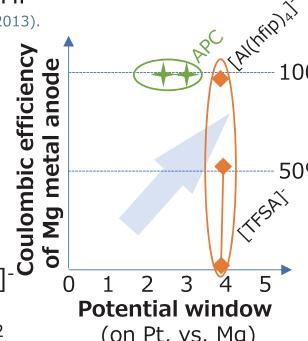
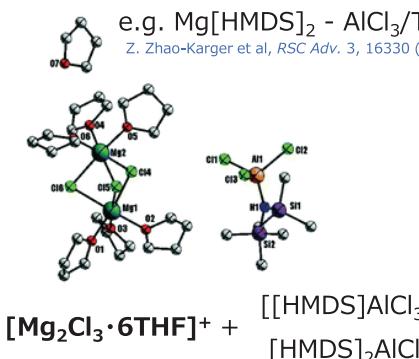


### Cl-contained

- $\text{PhMgCl} + \text{AlCl}_3$  (APC) O. Mizrahi et al, *J. Electrochem. Soc.* 155, A103 (2008).  
 $\text{Mg}[\text{HMDS}]_2 - \text{AlCl}_3$  Z. Zhao-Karger et al, *RSC Adv.* 3, 16330 (2013).  
 $\text{MgCl}_2 - \text{AlCl}_3$  (MACC) R. E. Doe et al, *Chem. Commun.* 50, 243 (2014).  
 $\text{MgTFSA}_2 - \text{MgCl}_2$  I. Shterenberg et al, *J. Electrochem. Soc.* 162, A7118 (2015).

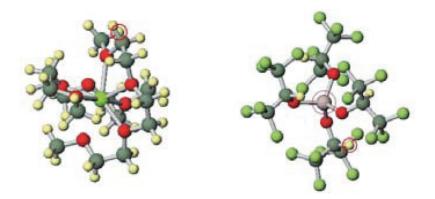
Solvent: THF, DME, Triglyme(G3) etc.

- $\text{Mg}(\text{BH}_4)_2$  R. Mohrtadi et al, *Angew. Chemie Int. Ed.* 51, 9780 (2012).  
 $\text{Mg}[\text{TFSA}]_2$  B. Gao et al, In *Molten Salts Chemistry and Technology*, 365–372. John Wiley & Sons, Ltd, 2014.  
 $\text{Mg}[\text{Al(hfip)}_4]_2$  J. T. Herb et al, *ACS Energy Lett.* 1, 1227 (2016).  
 $\text{Mg}[\text{B(hfip)}_4]_2$  Z. Zhao-Karger et al, *J. Mater. Chem. A* 5, 10815 (2017).



- Reversible Mg deposition
- Corrosive • Poor stability

- Good stability
- Easily passivation



Solvent-separated ion pair (SSIPs)

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# Exploring cathode materials with high capacity and potential



## Spinel-type oxides



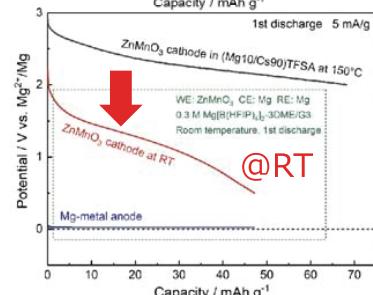
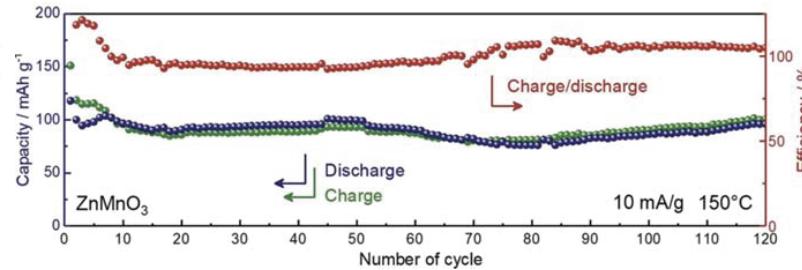
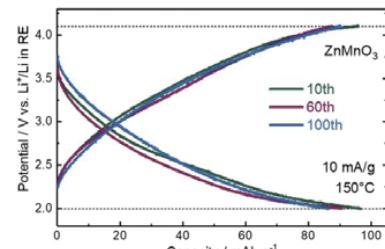
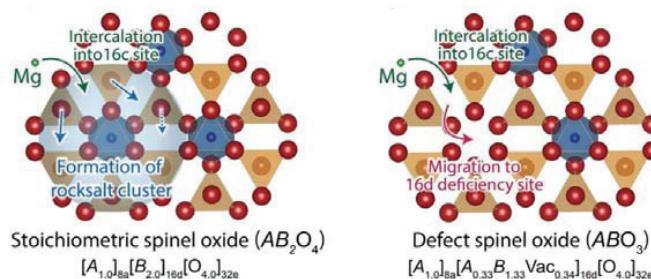
S. Okamoto, T. Ichitsubo et al,  
*Adv. Sci.* 2, 1500072 (2015)



K. Shimokawa, T. Ichitsubo et al,  
*J. Mater. Chem. A* 7, 12225 (2019)



K. Shimokawa, T. Ichitsubo et al,  
*Adv. Mater.* 33, 2007539 (2021)



- Reversible  $\text{Mg}^{2+}$  intercalation has demonstrated at elevated temperature ( $\sim 150^\circ\text{C}$ ).
- Operating potential and capacity are markedly decreased at room temperature due to the sluggish  $\text{Mg}^{2+}$  migration in host structure.

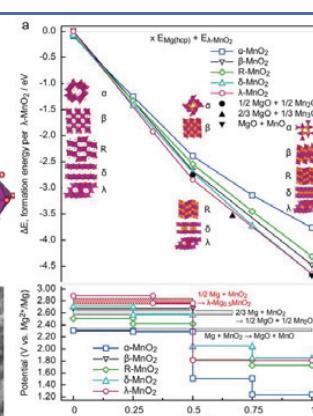
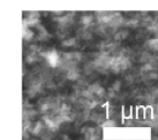
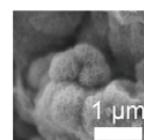
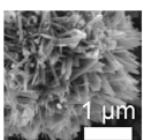
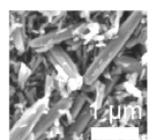
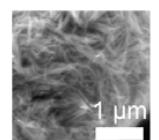
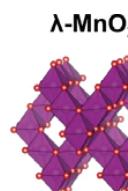
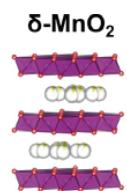
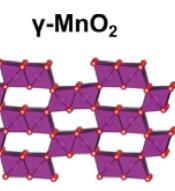
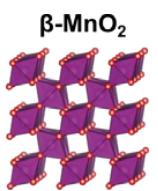
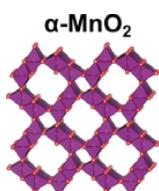
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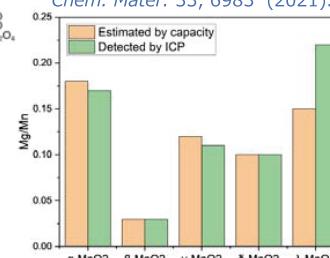
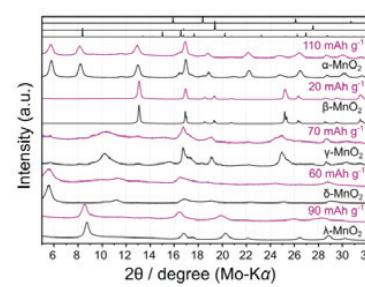
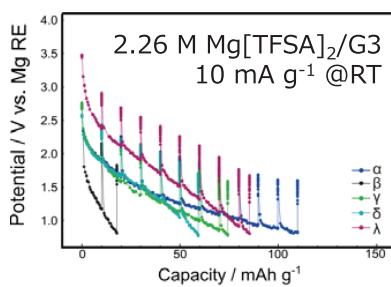
## Suitable host structure for room-temperature operation



### $\text{MnO}_2$ polymorphs



T. Hatakeyama, H. Li, T. Ichitsubo et al,  
*Chem. Mater.* 33, 6983 (2021).



X. Ye, H. Li, T. Ichitsubo et al, *ACS Appl. Mater. Interfaces* 14, 56685 (2022).

$\alpha\text{-MnO}_2$  showed a metastable phase transformation pathway for genuine  $\text{Mg}^{2+}$  intercalation and a reversible capacity over  $100 \text{ mAh g}^{-1}$  at RT.

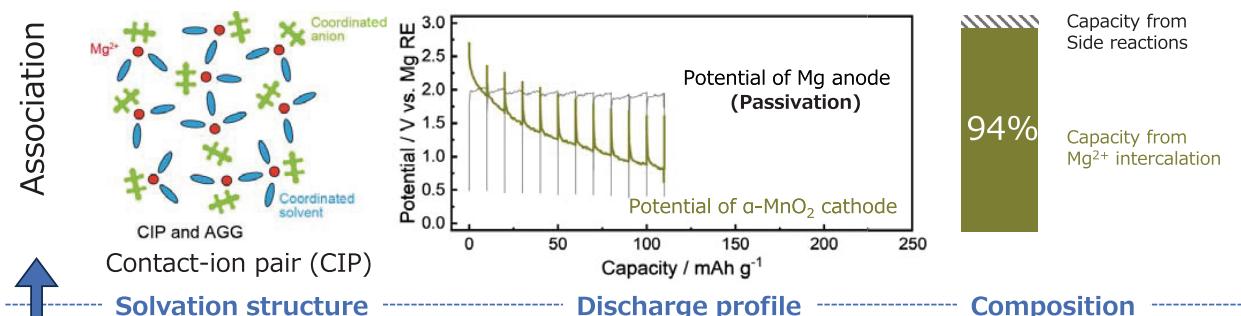
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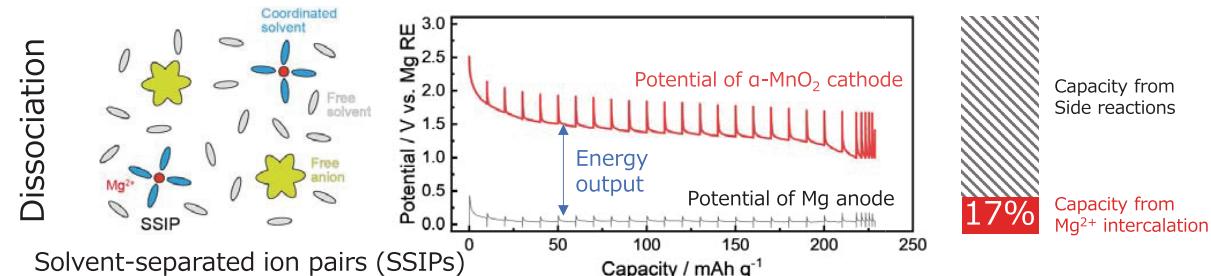
# Combination of $\alpha\text{-MnO}_2$ cathode and SSIP-dominated electrolytes



In CIP-dominated 2.26 M Mg[TFSA]<sub>2</sub>/G3 electrolyte



In SSIP-dominated 0.3 M Mg[Al(hfip)<sub>4</sub>]<sub>2</sub>/G3 electrolyte

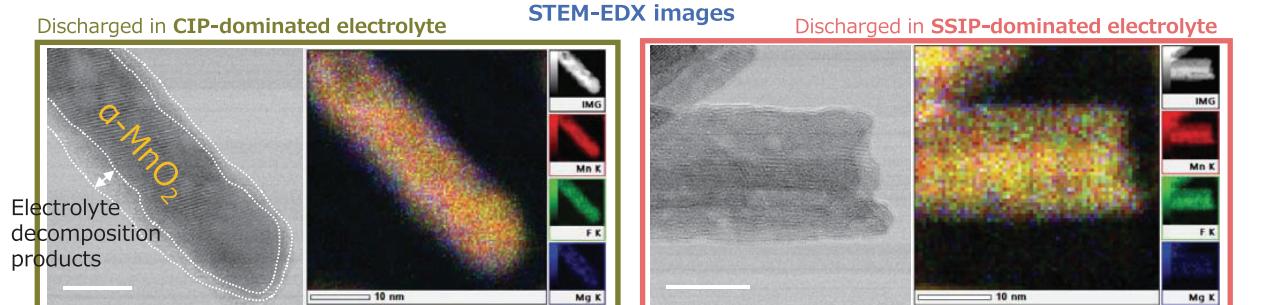
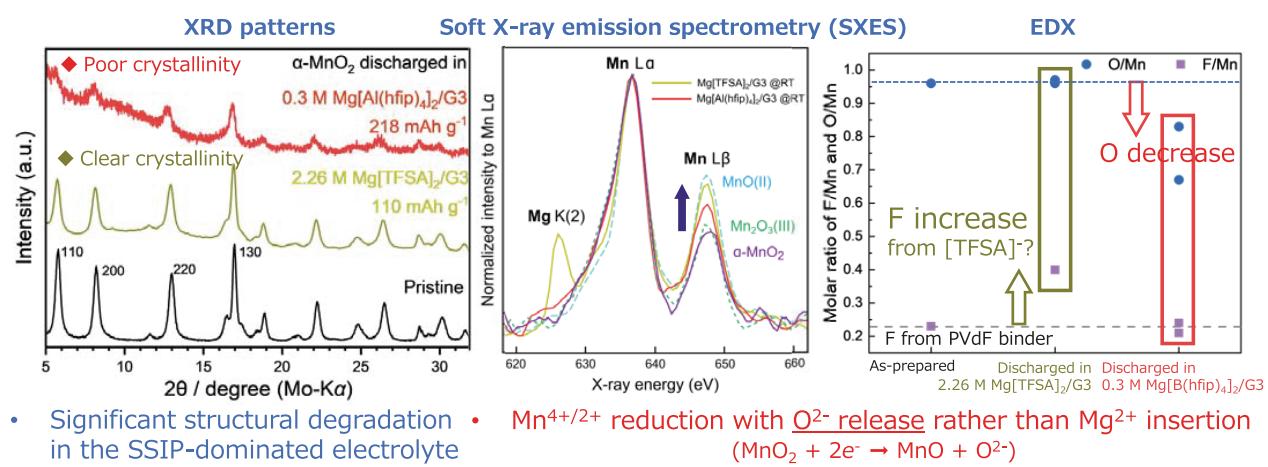


Large discharge capacity was obtained without corresponding  $\text{Mg}^{2+}$  intercalation, suggesting the occurrence of side reactions.

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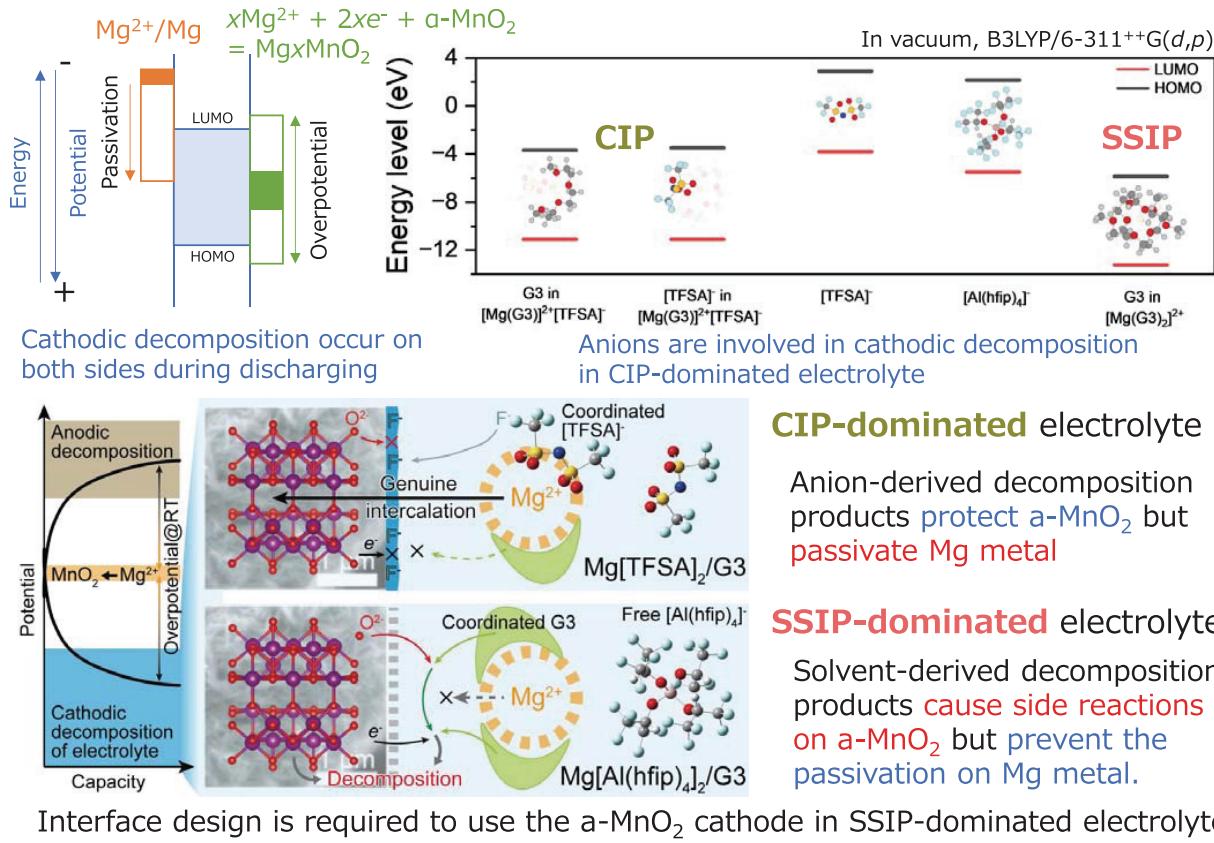
## Clarifying side reactions in SSIP-dominated electrolytes



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# Origin of the side reactions in SSIP-dominated electrolytes



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## Summary



- The combination of transition metal oxide cathodes ( $\alpha\text{-MnO}_2$ ) and SSIP-dominated electrolytes is a promising approach for the construction of room-temperature rechargeable magnesium batteries.
- In SSIP-dominated electrolytes, ether solvent is involved in the cathodic decomposition during discharging, where a stable passivation film is difficult to form to block the electron and oxygen (ion) conduction from the oxide cathodes to the electrolyte.
- These side reactions cause significant structural degradation of the oxide cathode and impede the genuine  $Mg^{2+}$  intercalation.
- Preparing an artificial interface on  $\alpha\text{-MnO}_2$  cathodes is a promising strategy to suppress the side reactions and enhance the performance.

## Acknowledgements

This work is supported by Green Technologies of Excellence (GteX) Program.



Thank you for the kind attention!

# MEMO



## Recent advances of silicon crystal for solar cells

Deren YANG

State Key Laboratory of Silicon and Advanced Semiconductor Materials,  
Zhejiang University



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- 01 Si for solar cells
- 02 Polycrystalline Si
- 03 Crystalline Si
  - 01 Czochralski (Cz) Si
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  - 03 Si growth technology





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02

Impurity (oxygen) in Cz Si

03

Si growth technology

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## Si solar cells



- In 1954, Si solar cells with the efficiency of ~ 6% were invented in Bell Lab by D. M. Chapin et al.



Gerald Pearson, Daryl Chapin, and Calvin Fuller (left-to-right), inventors of the Bell Solar Battery. Photo credit: NREL, *The Silicon Cell, Turns 50*, with permission from AT&T Bell Labs

#### SIMPLE AND EFFICIENT - The Bell Solar Battery is made of thin, specially treated strips of silicon, an inexpensive material. It can be bent easier than the light from the sun itself. Since it has no moving parts and nothing is consumed or destroyed, the Bell Solar Battery should theoretically last indefinitely.

#### New Bell Solar Battery Converts Sun's Rays Into Electricity

Bell Telephone Laboratories Demonstrate new device for using power from the sun

Scientists have long reached for the secret of the sun's energy. Now they have found it - in nearly as much energy daily as is contained in all the oil reserves in the world.

If this energy could be put to use there would

be enough to run every wheel and light every lamp in the world.

Now the dream of the gods is closer to realization. For out of the Bell Telephone Laboratories has come a new device which can convert energy from the sun directly and efficiently into usable amounts of electricity.

Though much development remains to be

done, this battery gives a glimpse of future

possibilities for man.

(The battery was invented at Bell Laboratories)

offers many opportunities for improvements and economies in development work.

Although much development remains to be done, this battery gives a glimpse of future possibilities for man.

(The battery was invented at Bell Laboratories)

offers many opportunities for improvements and economies in development work.

A small Bell Solar Battery has shown that

it can with today's telephone wires

and power transmission lines. To cover a square yard, it can deliver enough power

for a family of four to live comfortably.

Great benefits for telephone users and for all

mankind will come from this forward step in

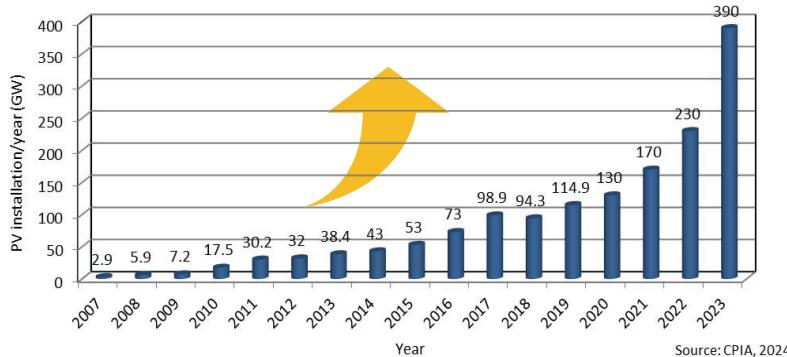
increasing the useful power of the sun.

BELL TELEPHONE SYSTEM

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# PV Installation



➤ Annual Installation: ~ 130

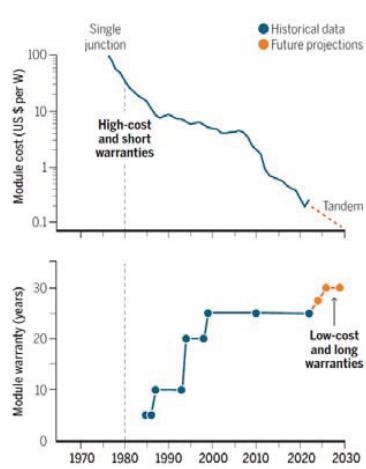
- In 2007, 2.9 GW
- In 2023, 390.0 GW

➤ Accumulated installation: ~140

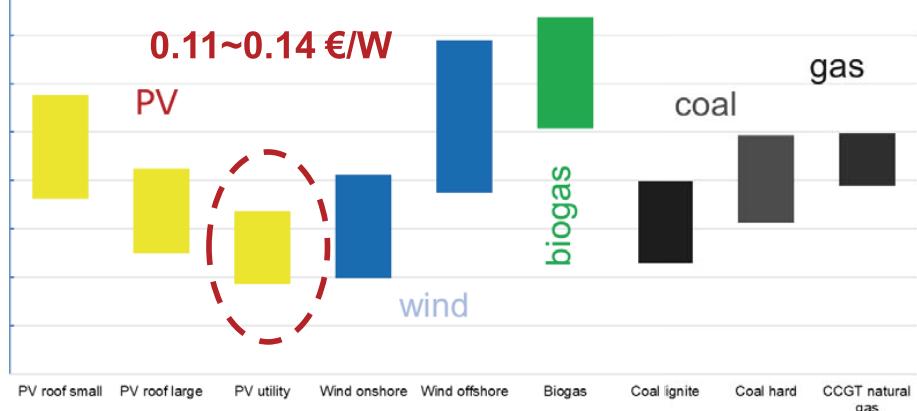
- 2007年
- 2023年, 1546 GW



# Levelized cost



Levelized cost of electricity for Germany  
In EuroCent/kWh, source: Fraunhofer ISE, March 2018



Aydin et al., Science 383, 162 (2024)

# Crystal Si for solar cells



➤ Crystalline Si

➤ Thin film

- GaAs
- CdTe
- CuInGaSe (CIGS)

➤ New concept

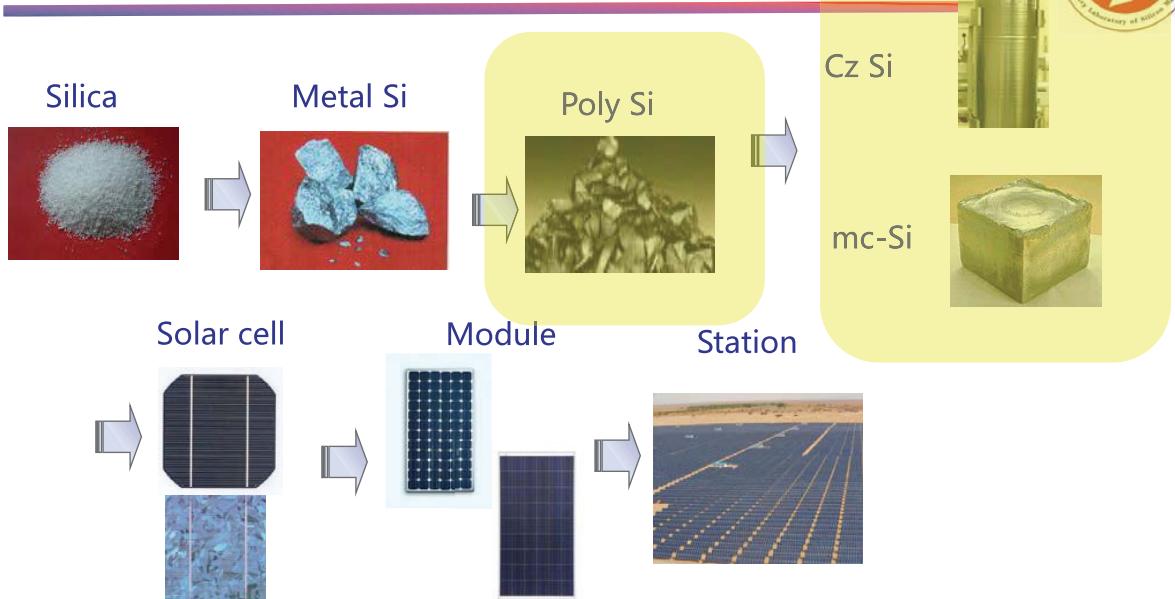
- Perovskite
- Organic



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## Si PV industry chain



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## Polycrystalline Si



➤ Trichlorosilane (TCS) process:  
rod -- (Siemens process)



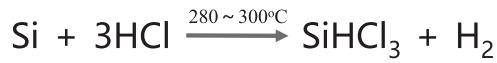
➤ Fluidized bed silane (FBS) process:  
granular



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## Trichlorosilane (TCS) process



- Large production (200 kiloton /line)
- Low energy consumption (~50 kilowatt/kg)
- Lower cost (~4 US\$/kg)

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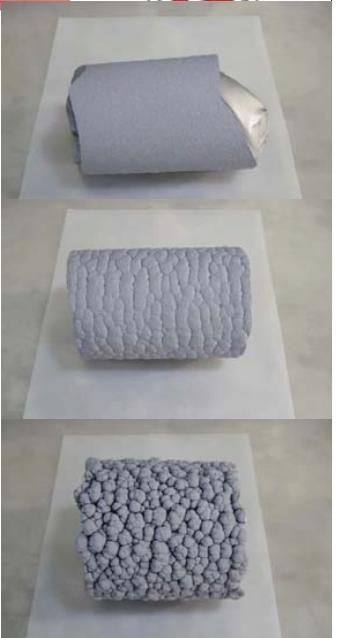
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## Trichlorosilane (TCS) process



- 48 or 72 pair rods
- 600~1000 kg/furnace
- more than 50% for N-type Cz Si
- Reduction power consumption: 37~44 kWh/kg-Si
- Total power consumption: 50~56 kWh/kg-Si
- Si consumption: ~1.08 kg/kg-Si

Source: 2024 Development Report on Chinese PV Technology



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## Fluidized bed silane (FBS) process:

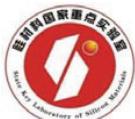


- Simple process
- More lower energy consumption (~40~50% TCS)
- Easy treatment of waste gases
- Continous working process (> 200 days)      **Drawback: fire and explosion**

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## Fluidized bed silane (FBS) process:



- Technical challenge:
  - Reduce Si powder
  - Decrease hydrogen conc.
  - Decrease surface metal conc. and carbon conc.

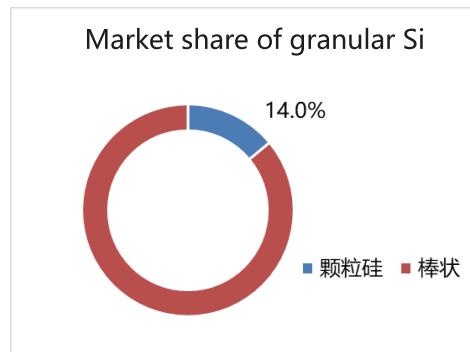
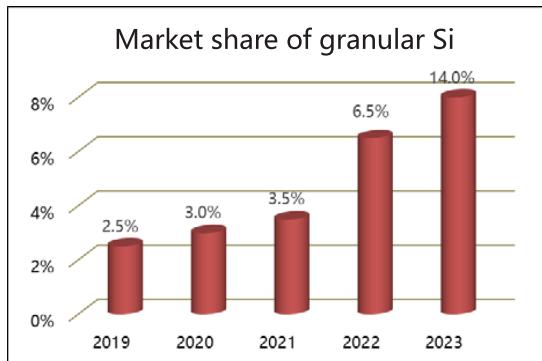


Diameter: ~ 2 mm

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# Granular (FBS) Si (2023)



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**Si for solar cells**

**Polycrystalline Si**

**Crystalline Si**

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- 02 Oxygen in Cz Si
- 03 Si growth technology



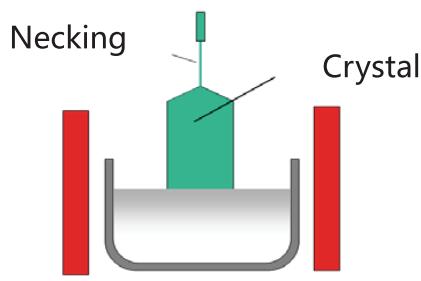
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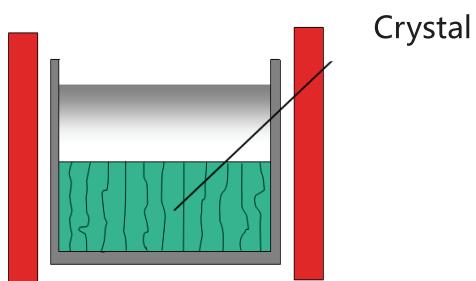
## Cz Si and mc-Si



**Cz-Si**



**mc-Si**



- Cz-Si: better crystal quality, high efficiency, but high cost
- mc-Si: low cost, but inferior quality, low efficiency

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### 3.1 Czochralski silicon (Cz-Si)

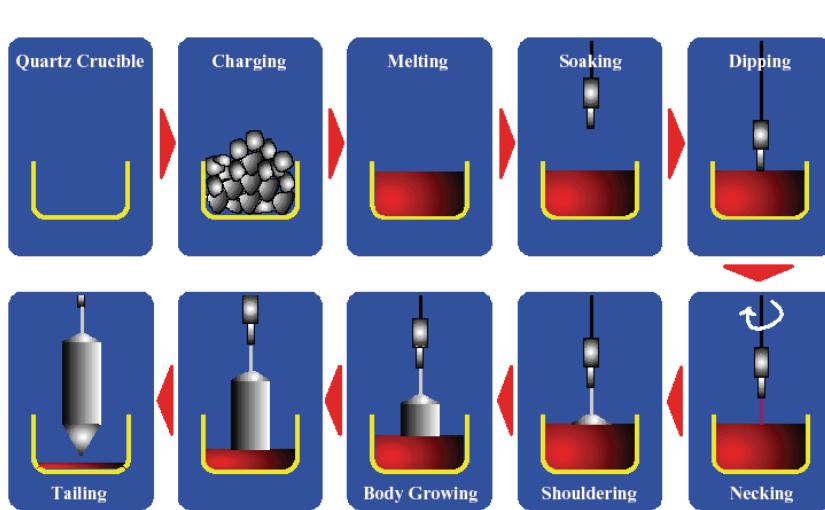


- In 1917, Polish scientist Czochralski invented.
- In 1952, Teal and Buehler successfully grew Czochralski (Cz) single-crystal silicon.
- In 1958, Dash proposed the dislocation free single-crystal silicon growth process, known as the "necking" technique.

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# Progress of Cz Si



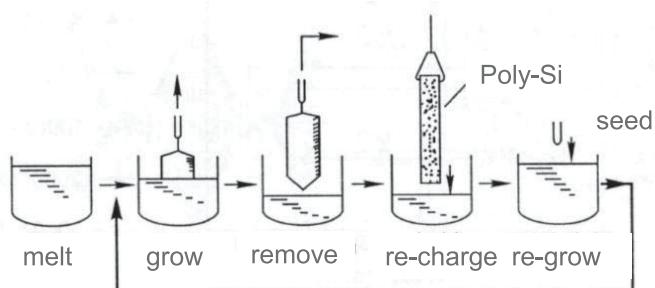
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## Cz-Si Growth Technology: RCz-Si



### ➤ Recharge Czochralski (RCz-Si)



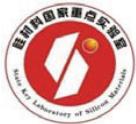
6100 mm (Liton)



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## RCz-Si Growth



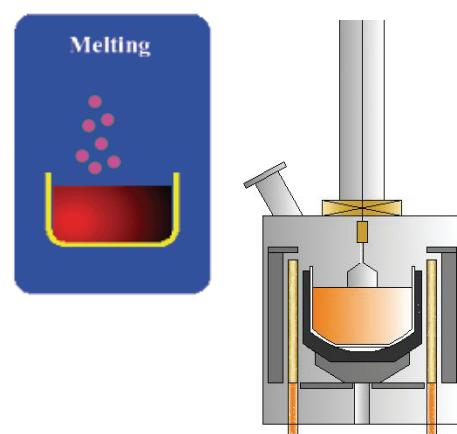
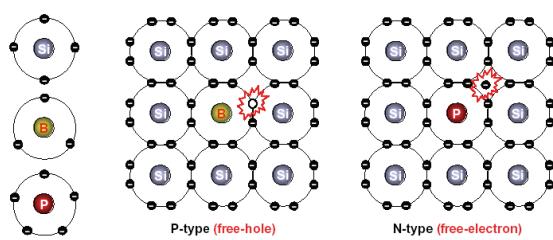
- Inner diameter of furnace: 1600 ~1800 mm
- Crucible size: 32/36 Inch
- Pulling time: > 500 hours
- Production yield: 6 ~ 7 kg/h
- Power consumption: 23.9 kWh/kg-Si



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## Dopant of Cz-Si

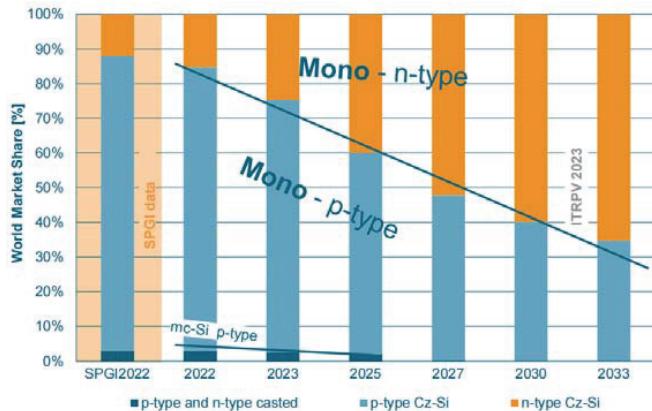


- P type: Ga instead of B
- N type: Sb instead of P

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## p-type and n-type Cz Si



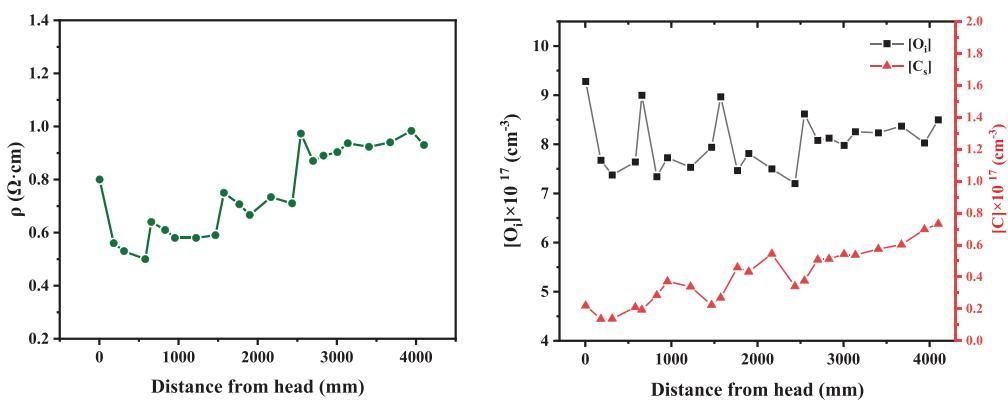
ITRPV 2023 International Technology Roadmap for Photovoltaic

- p-type Si will stay mainstream as base for p-PERC technology at least until 2025.
- n-type Si expected to dominate after 2026.

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## Property of CCz-Si

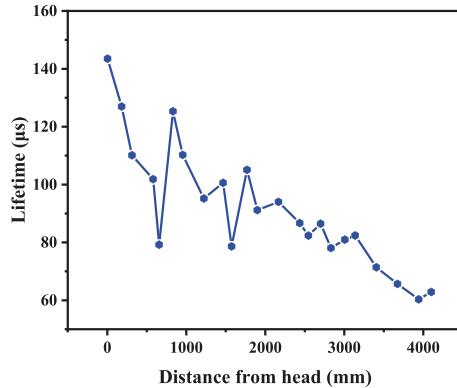
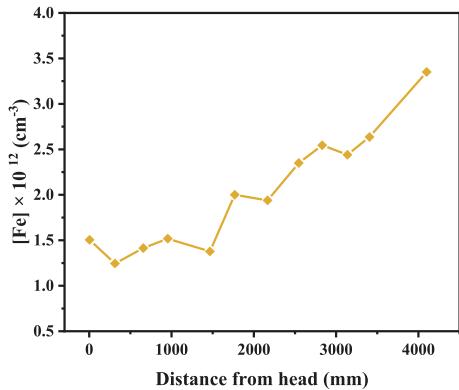


- 8-inch p-type CCz-Si, Ga-doped, pulling rate 1.45 mm/min

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## Property of CCz-Si

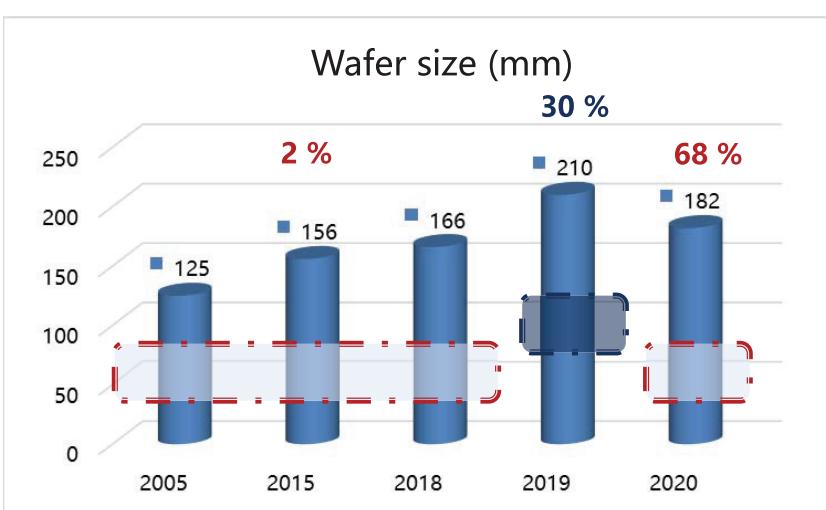


- 8-inch  $p$ -type CCz-Si, Ga-doped, pulling rate 1.45 mm/min

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## Wafer size of Cz-Si (2023)

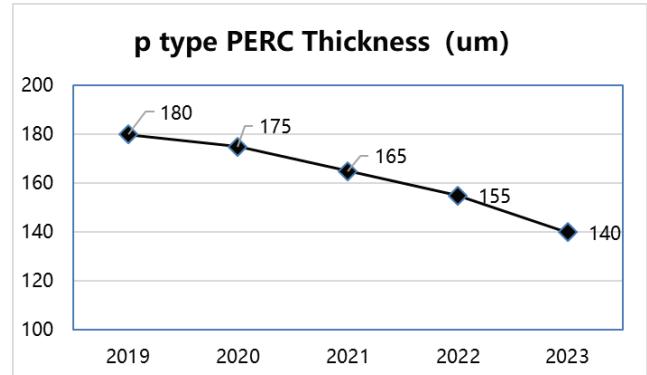
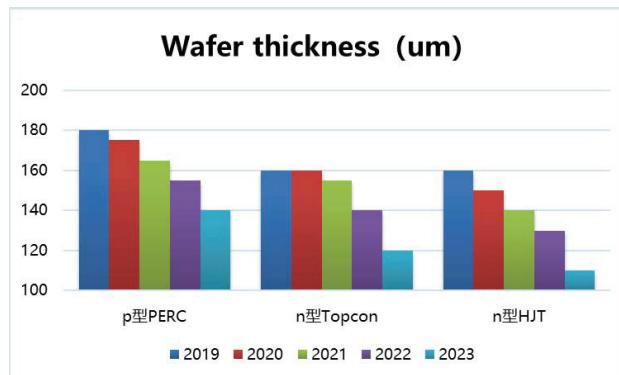


- Compare to M2 wafer, area increase:  
12.2%, 80%,  
35.1%, respectively

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# Wafer thickness of Cz-Si (2023)



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**Si for solar cells**

**Polycrystalline Si**

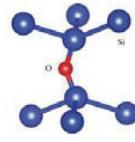
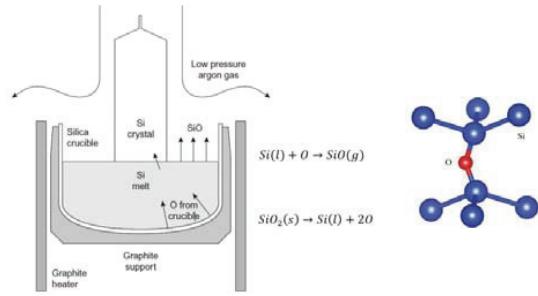
**Crystalline Si**

- 01 Czochralski (Cz) Si
- 02 Oxygen in Cz Si
- 03 Si growth technology

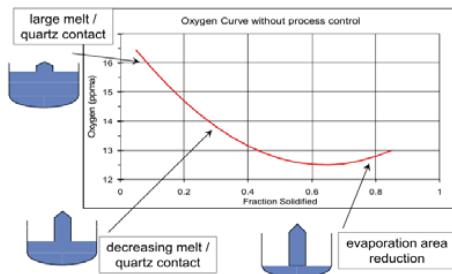
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## 3.2 Oxygen in Cz-Si



$< 5.5 \times 10^{17} \text{ cm}^{-3}$



- Oxygen mainly comes from the quartz crucible.
- Interstitial oxygen concentration  $[\text{O}_i] = 10^{17} - 10^{18} \text{ cm}^{-3}$ .
- $[\text{O}_i]$  distribution: High  $[\text{O}_i]$  in head, low  $[\text{O}_i]$  in tail.

A. Borghesi et al. *Journal of Applied Physics*, 1995, 77(9): 4169-4244.

M. Tilli et al. *Handbook of silicon based MEMS materials and technologies*. Elsevier, 2020.

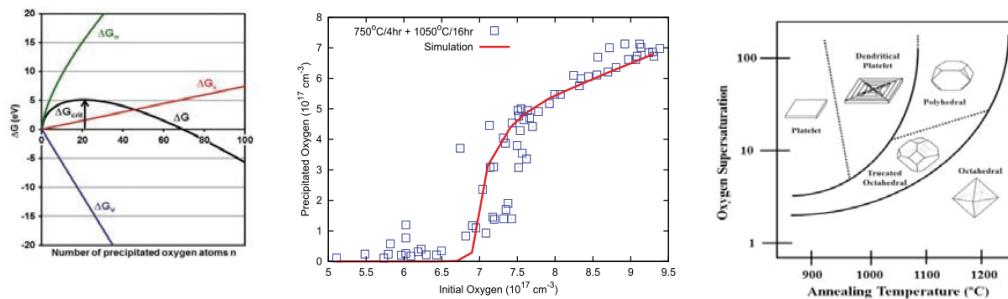
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## Oxygen precipitate (OP)



- Formation temperature: 600~1100°C



Y. Yoshida et al. *Defects and impurities in silicon materials [M]*. Springer, 2015.  
 B. Trzynadlowski et al. *Journal of Applied Physics*, 2013, 114(24): 243508.  
 H. Fujimori. *Journal of the Electrochemical Society*, 1997, 144(9): 3180-3184.

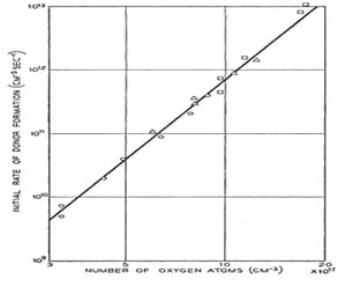
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# Thermal donor (TD)



- Formation temperature: 300~500°C



Kaiser et al. Physical Review, 1958, 112(5).

NH  
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Solar Energy Materials & Solar Cells 72 (2002) 133–138  
www.elsevier.com/locate/solmat

◆ Micro...  
◆ Sem...  
◆ Solia...  
◆ Semia...  
◆ Journ...  
◆ Phys...  
◆ Mater...  
◆ Journ...  
◆ Semia...  
◆ Journ...  
◆ Thin...  
◆ 324,...  
◆ Acta...  
◆ Journ...  
◆ Journ...

Oxygen in Czochralski silicon used for solar cells  
Deren Yang\*, Dongsheng Li, Lirong Wang, Xiangyang Ma,  
Duanlin Que  
State Key Lab of Silicon Material, Zhejiang University, Hangzhou 310027, People's Republic of China

**Abstract**  
Compared to the Czochralski (CZ) silicon used in microelectronic industry (M-CZ Si), the annealing behavior of oxygen in the CZ silicon used for solar cells (S-CZ Si) was investigated by means of FTIR and SEM. It was found that the oxygen concentration in S-CZ Si crystal was lower than in the M-CZ Si crystal. During single-step annealing in the temperature range of 800–1100°C, the oxygen in S-CZ Si was hard to precipitate, even if the material contained higher carbon concentrations. After pre-annealing at 750°C, many more oxygen precipitates were formed. The amount and density of the oxygen precipitates were almost the same as in M-CZ Si annealed in single step. It is considered that oxygen has no significant influence on the efficiency of solar cells made from CZ silicon if it is annealed only by a single step in the range of 800–1100°C. © 2002 Elsevier Science B.V. All rights reserved.

**Keywords:** Oxygen; Czochralski silicon; Annealing; Solar cells

P.600-605

Crystal Growth

139-5044

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# RCz-Si



## ➤ Difference of Cz-Si and RCz-Si

- Ga-doping
- Fast growth rate (1.5-1.8 mm/min)
- 7~10 ingots using one crucible
- Length: ~ 4.5 m

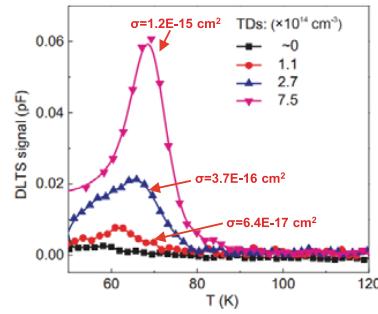
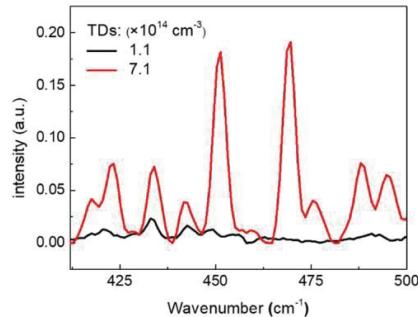
6100 mm (Litton)



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# Thermal donor (TD)



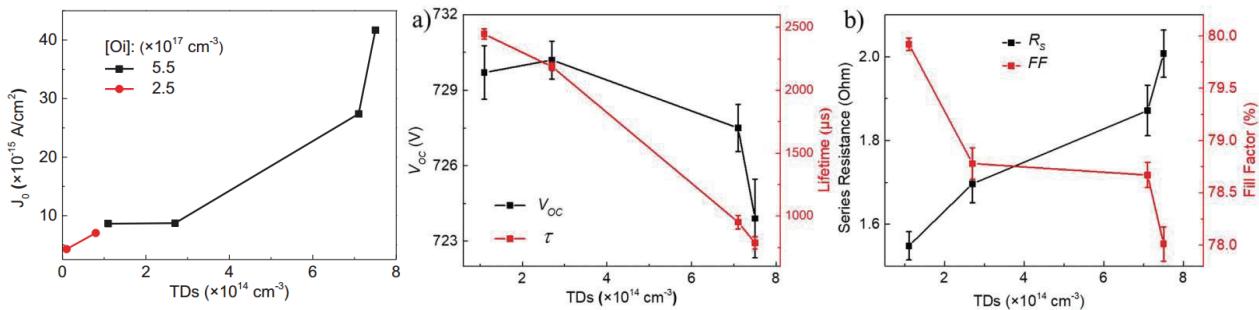
- TDs showing ionization levels at about 0.04–0.07 eV.
- $\sigma$  of TD-related energy level increase with the increase of TD concentrations.

*Solar Energy Materials and Solar Cells* 179 (2018) 17–21  
*Journal of Crystal Growth* 630 (2024) 127602

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# Thermal donor



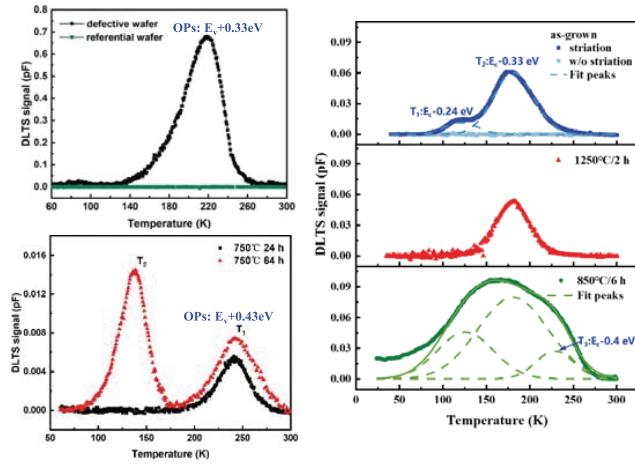
- TDs cause recombination centers. With the increase of [TD],  $J_o$ , and  $R_s$  increase ,  $V_{oc}$  and  $FF$  decrease

*Solar Energy Materials and Solar Cells* 179 (2018) 17–21  
*Journal of Crystal Growth* 630 (2024) 127602

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# Oxygen precipitates: Electrical



## ➤ p-type Cz-Si

- $E_T = E_V + 0.33 \text{ eV}$ ,  $\sigma_p = 2.3 \times 10^{-15} \text{ cm}^2$
- $E_T = E_V + 0.43 \text{ eV}$ ,  $\sigma_p = 4.3 \times 10^{-15} \text{ cm}^2$

## ➤ n-type Cz-Si

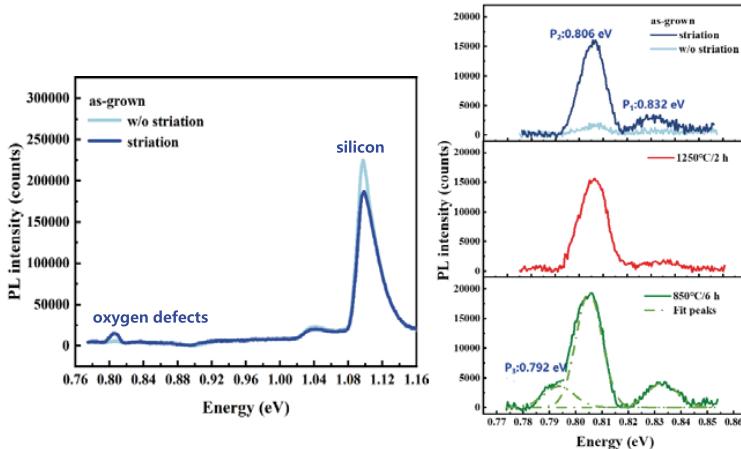
- $E_T = E_C - 0.24 \text{ eV}$ ,  $\sigma_n = 5.1 \times 10^{-16} \text{ cm}^2$
- $E_T = E_C - 0.4 \text{ eV}$ ,  $\sigma_n = 8.8 \times 10^{-15} \text{ cm}^2$

*Solar Energy Materials and Solar Cells 236 (2022) 111533*

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# Oxygen precipitates: PL



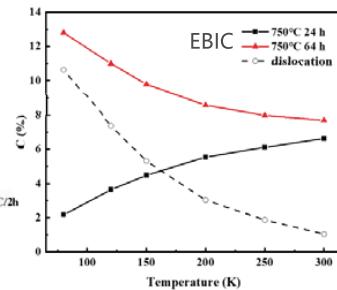
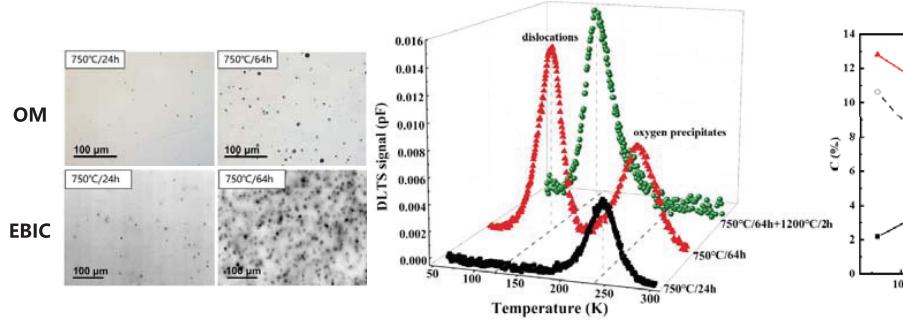
## ➤ PL peaks at 20K:

- OPs: 0.832 eV, 0.792 eV
- OPs induced dislocation: 0.806 eV

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# Oxygen precipitates: dislocation



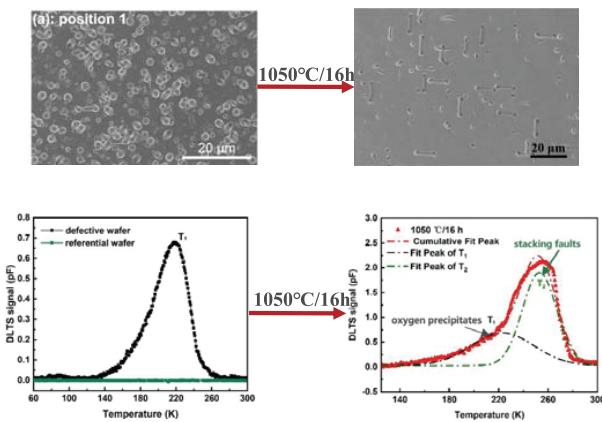
- Dislocations:  $E_T = E_V + 0.26 \text{ eV}$ ,  $\sigma_p = 6.8 \times 10^{-16} \text{ cm}^2$ .
- Dislocations enhance the defect recombination activity at low temperature.

*Appl. Phys. Express* 15, 071004 (2022)

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# Oxygen precipitates: stacking faults



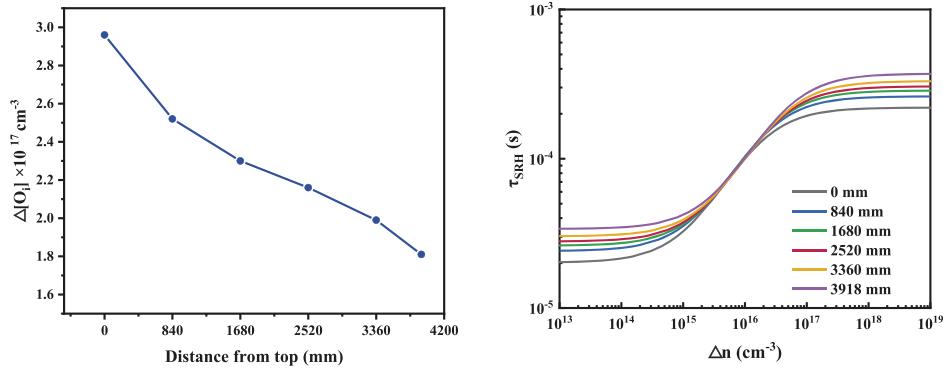
- Stacking faults will be induced during high temperature growth ( $> 1000^\circ\text{C}$ ) of oxygen precipitates.
- Stacking faults:  $E_T = E_V + 0.42 \text{ eV}$ ,  $\sigma_p = 1.0 \times 10^{-14} \text{ cm}^2$ .

*Solar Energy Materials and Solar Cells* 236 (2022) 111533

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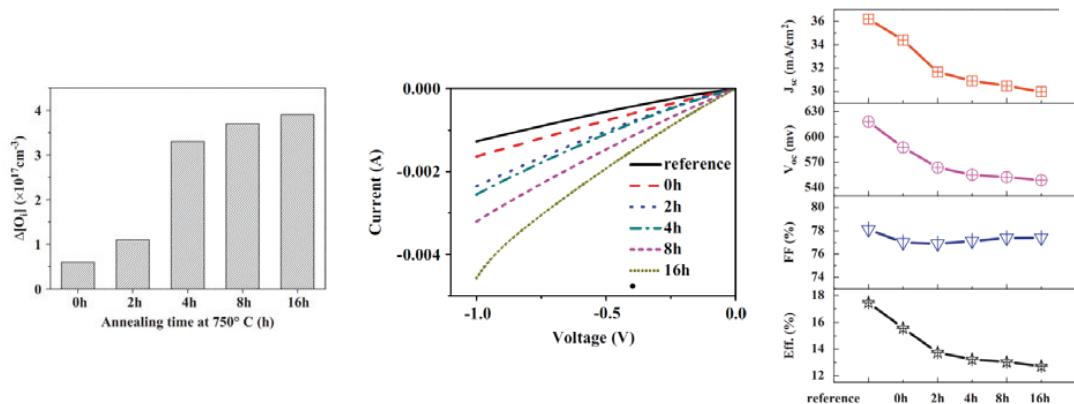
# Oxygen precipitates: lifetime



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# Oxygen precipitates: cell performance



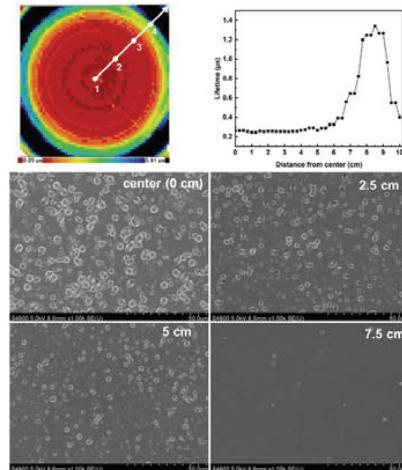
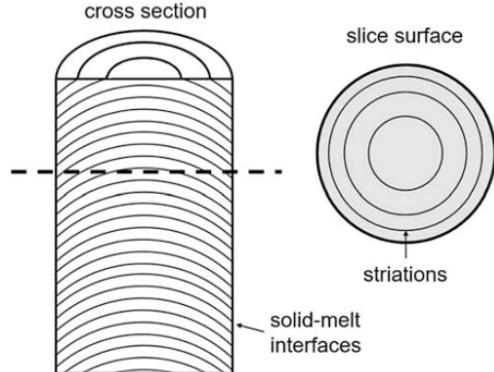
- The higher the OP density, the more pronounced the leakage current.
- OPs reduce the  $J_{sc}$ ,  $V_{oc}$ ,  $FF$ , and efficiency of solar cells.

*Solar Energy Materials & Solar Cells* 95 (2011) 3148–3151

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# Formation of Swirl striations



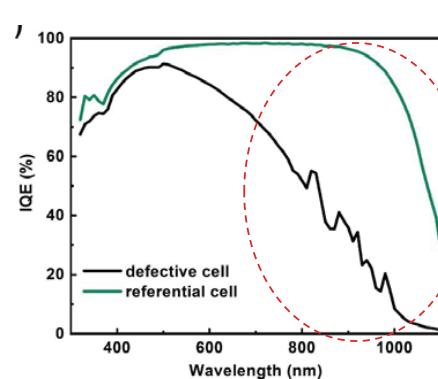
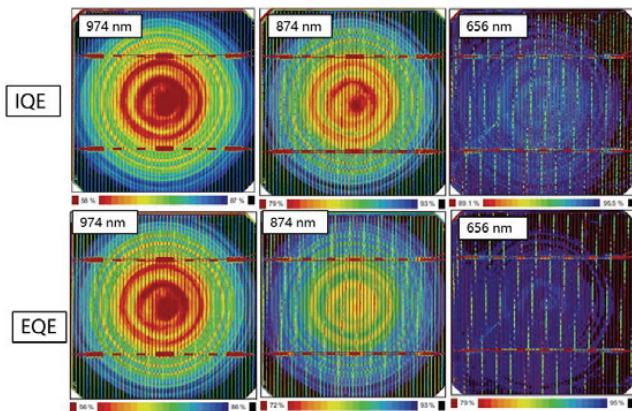
- Oxygen precipitates are the vital component of the striations.

*Solar Energy Materials and Solar Cells 236 (2022) 111533*

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# Swirl Striations



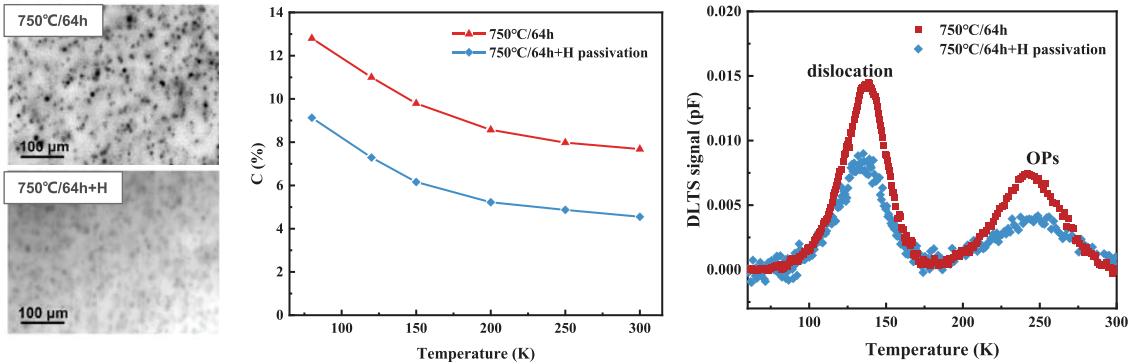
- Swirl defects lead to a decrease in QE, especially in the long wavelength range.

*Solar Energy Materials and Solar Cells 236 (2022) 111533*

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# Oxygen precipitates: H passivation



- Hydrogen can successfully passivate about one-third of the oxygen precipitates defect states and about half of the dislocation defect states.

*Appl. Phys. Express 15, 071004 (2022)*

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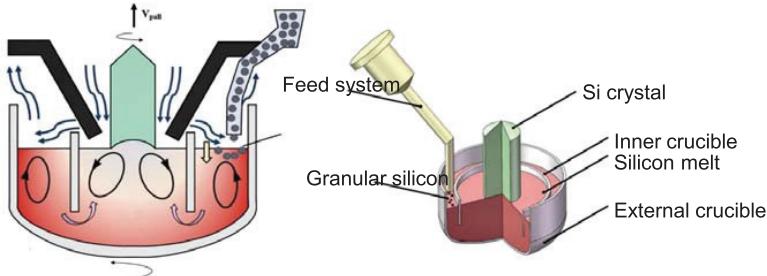
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## Cz-Si Growth Technology: CCz-Si

### ➤ Continuous Czochralski (CCz-Si)



- Better uniformity of resistivity
- Better uniformity of oxygen along with growth direction
- Ingot: 8~10

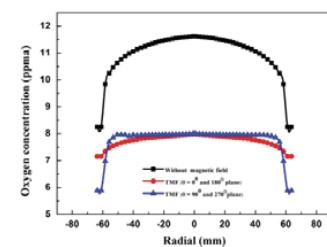
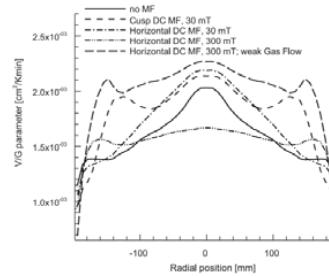
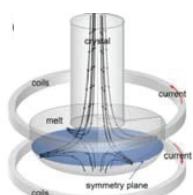
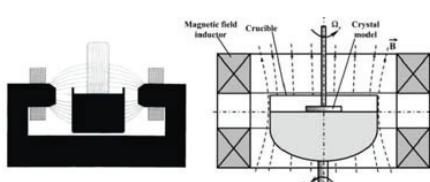
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## Cz-Si Growth Technology: MCz-Si



### ➤ Magnetic field applied Czochralski (MCz)



- Restrain the conversion
- Reduce oxygen content

R. Series et al. *Journal of Crystal Growth*, 1991, 113(1-2): 305-328.  
J. Ding et al. *Physics of Fluids*, 2022, 34(2): 025117.  
V. Kalaev. *Journal of Crystal Growth*, 2007, 303(1): 203-210.  
J. Chen. *Journal of Crystal Growth*, 2014, 401:813-819.

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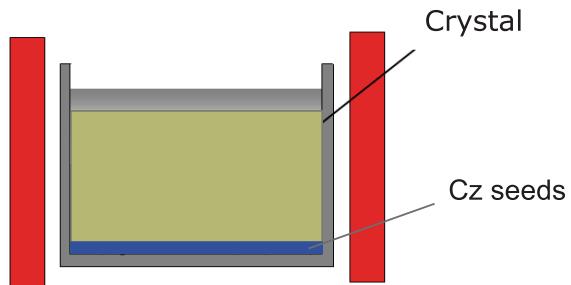
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## Cast mono Si technology

➤ **Cast mono:**

- quasi-single crystalline Si
- mono-like Si



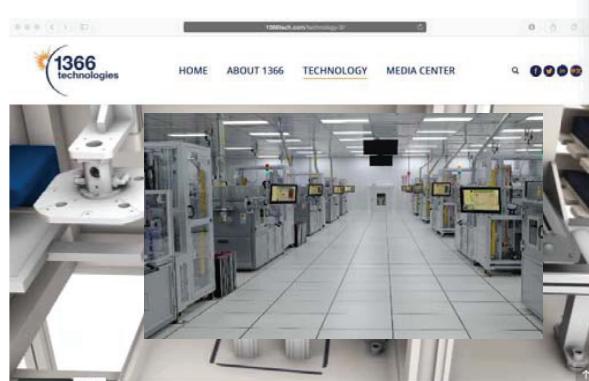
- Inheriting the advantages of both Cz-Si and mc-Si.



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## Direct Si wafer



- Poly Si wafer
- Acid texture
- Effi: 20.1%

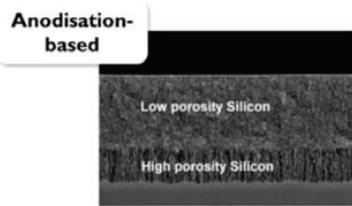
- In 2021, Rebuilt to be Cubic PV, Si based perovskite

Source: <http://1366tech.com>

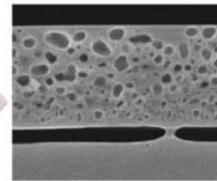
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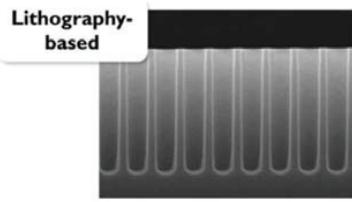
## Epi-Si wafer



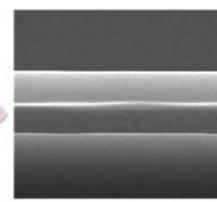
1130°C



Crystal Solar, SPB, NexWafe



1130°C



- 50 um
- 效率: 20.5%

J. Govaerts et al., Energy Procedia 77 (2015) 871 – 880

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## Summary



- Cz-Si is currently the mainstream material for PV.
- Oxygen related defects, including thermal donors, oxygen precipitates and swirl defects have a negative impact on the performance of solar cells.
- Except for Cz technique, several new growth techniques of crystal Si have been developed, but have not applied in mass production.

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Thanks

# Electrical and optical detection of the Berry Curvature Multipole in Magnetization Space

Wenzhi Peng<sup>#</sup>, Zheng Liu<sup>#</sup>, Haolin Pan, Peng Wang, Yulong Chen, Jiachen Zhang, Xuuhao Yu, Jinhui Shen, Mingmin Yang, Qian Niu\*, Yang Gao\*, Dazhi Hou\*

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## Academic and other activities

Group members @USTC



@USTC, China 2024

Serious Skier



@Niseko 2018

Previous member of IMR



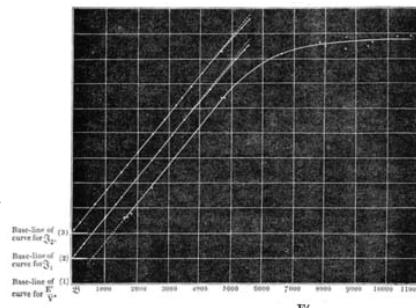
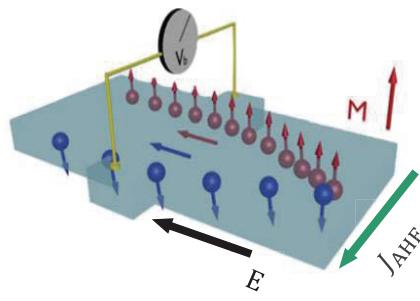
@IMR, Sendai 2017

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## Anomalous Hall effect



Dr. E.H. Hall  
1855 –1938

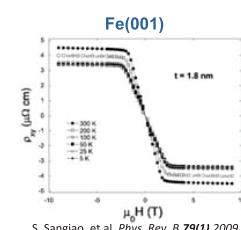


$$\rho_{xy} = R_0 H_z + R_s M_z$$

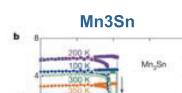
Ph.D. (1881) XVIII. On the “Rotational Coefficient” in nickel and cobalt ,  
Philosophical Magazine Series 5, 12:74, 157-172

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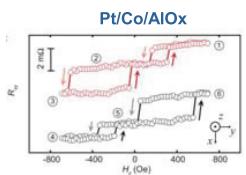
## Anomalous Hall effect: characteristics and applications



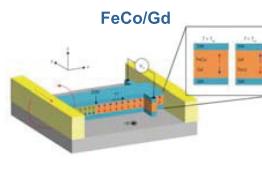
S. Sangiao, et al. Phys. Rev. B, 79(1), 2009.



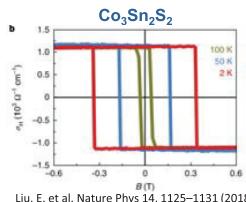
Nakatsuji, S. et.al, Nature 527, 212–215 (2015).



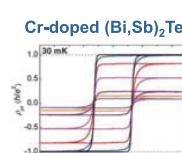
Zhaolu Luo et al.,Science, 363, 1435–1439 (2019)



Kim, K.J. et al. Nature Mater 16, 1187(2017)

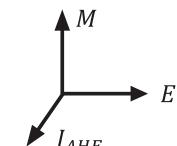


Liu, E. et al. Nature Phys 14, 1125–1131 (2018)



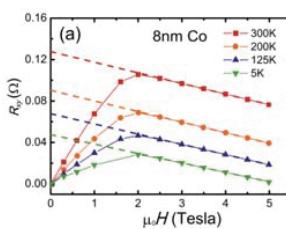
C.Z. Chang, et al. Science,340(6129),2013.

### ① Orthogonality

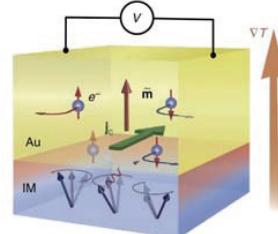


### ② Linear to M

$$J_{AHE} \propto E \times M$$



Dazhi Hou et al., JPCM, 24 482001 (2012)

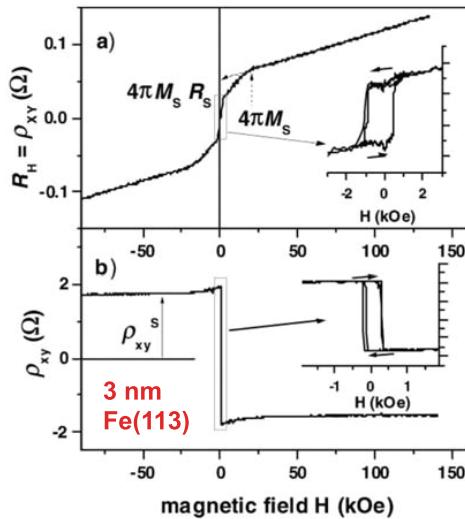


Dazhi Hou et al., Nat. Commun. 7:12265 (2016)

The AHE sensitizes to out-of-plane  $M$

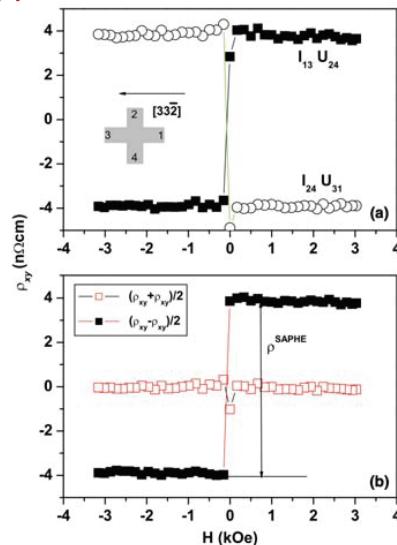
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### AHE signal induced by in-plane $M$ , Why?



K-J Friedland et al., Physica E 10 442 (2001)

### 10nm Fe(113)/GaAs(113)



K-J Friedland et al., JPCM, 18, 2641 (2006)

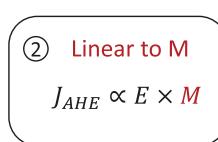
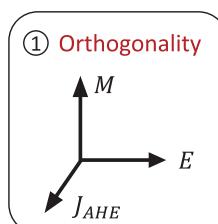
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### Anomalous Hall effect: characteristics and applications

Consider the conductivity tensor:

$$\vec{\sigma} = \begin{pmatrix} \sigma_{xx} & \sigma_{xy} & -\sigma_{zx} \\ -\sigma_{xy} & \sigma_{yy} & \sigma_{yz} \\ \sigma_{zx} & -\sigma_{yz} & \sigma_{zz} \end{pmatrix}$$

$$\vec{\sigma}_{AHE} = (\sigma_{yz}, \sigma_{zx}, \sigma_{xy})$$



Phenomenological formulation of the AHE

$$\mathbf{J}_{AHE} = \sigma_{AHE} \times \mathbf{E}$$

Assuming a linear response of  $M$  in  $\sigma_{AHE}$ :

$$\sigma_{AHE} = pM = \sigma_{AHE} \hat{M}$$

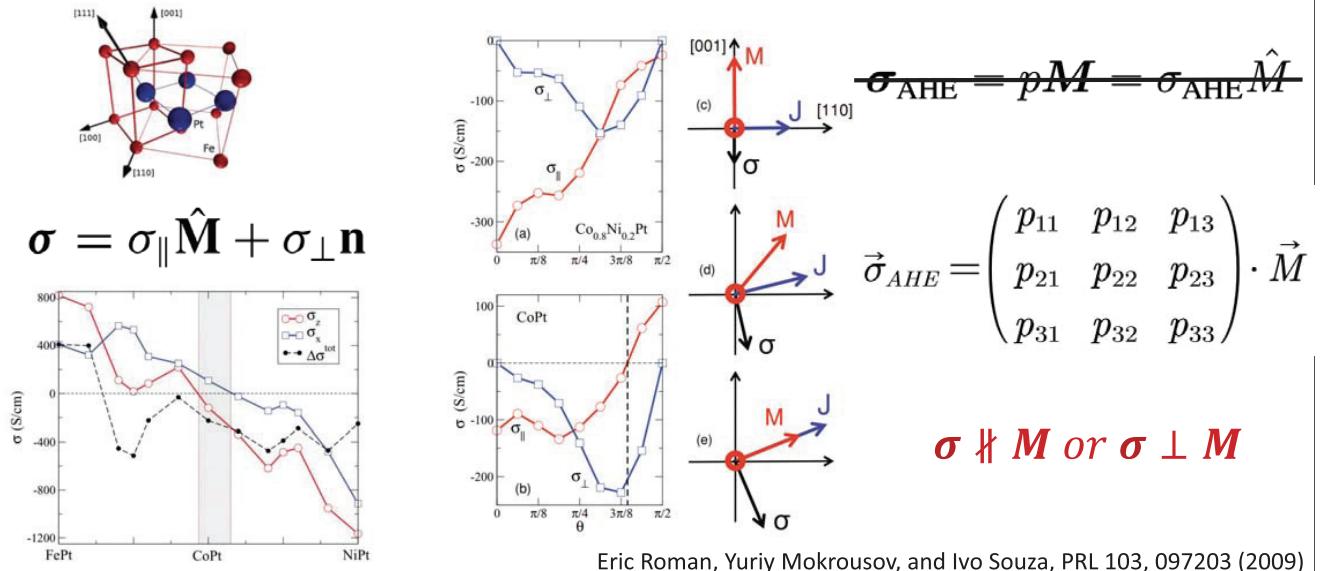
Effectively we have:

$$\mathbf{J}_{AHE} = \sigma_{AHE} \hat{M} \times \mathbf{E}$$

That is why AHE sensitizes to out-of-plane  $M$

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## Anisotropy of the Anomalous Hall effect



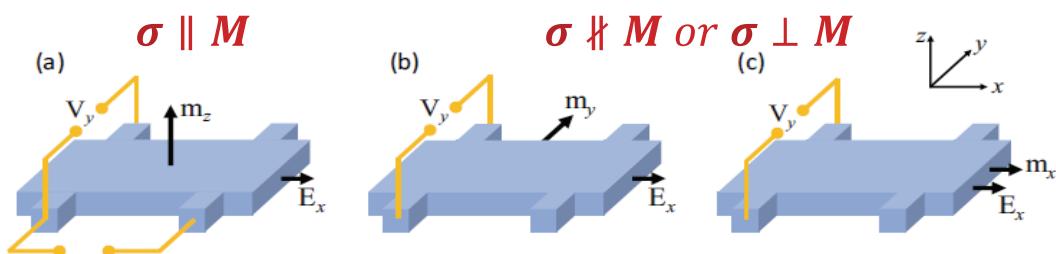
Eric Roman, Yuriy Mokrousov, and Ivo Souza, PRL 103, 097203 (2009)  
 Hongbin Zhang, Stefan Blügel, and Yuriy Mokrousov, Phys. Rev. B 84, 024401 (2011)

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## Recent proposal of the In-plane Anomalous Hall effect



When symmetry of the ferromagnet is low enough, in-plane AHE can appear



H.X. Tan, Y.Z. Liu, and B.H. Yan. Phys. Rev. B, 103(21), 2021

$$\sigma_{\text{AHE}} = p \mathbf{M} = \sigma_{\text{AHE}} \hat{\mathbf{M}}$$

$$\vec{\sigma}_{\text{AHE}} = \begin{pmatrix} p_{11} & p_{12} & p_{13} \\ p_{21} & p_{22} & p_{23} \\ p_{31} & p_{32} & p_{33} \end{pmatrix} \cdot \vec{M}$$

But most ferromagnets, e.g. Fe and Ni, are highly symmetric.....

in-plane AHE seems impossible

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Let us have a close look at the linear dependence:

$$\sigma_{\text{AHE}} = p \mathbf{M} = \sigma_{\text{AHE}} \hat{\mathbf{M}}$$

The Onsager relation dictates that:

$$\sigma_{\text{AHE}}(-\mathbf{M}) = -\sigma_{\text{AHE}}(\mathbf{M})$$

So there can also be higher-order terms of  $\mathbf{M}$  in  $\sigma_{\text{AHE}}$

$$\sigma_H = \frac{e^2}{h} \sum_n \int \frac{dk_x dk_y}{2\pi} \Omega_n f(E_{n\mathbf{k}})$$

Multipolar Anisotropy in Anomalous Hall Effect from Spin-Group Symmetry Breaking Arxiv: 2408.08810

Zheng Liu,<sup>1</sup> Mengjie Wei,<sup>1</sup> Dazhi Hou,<sup>2</sup> Yang Gao,<sup>1, 2, \*</sup> and Qian Niu<sup>1</sup>

<sup>1</sup>CAS Key Laboratory of Strongly-Coupled Quantum Matter Physics, and Department of Physics,

University of Science and Technology of China, Hefei, Anhui 230026, China

<sup>2</sup>ICQD, Hefei National Laboratory for Physical Sciences at Microscale,

University of Science and Technology of China, Hefei, Anhui 230026, China

(Dated: August 19, 2024)

Berry curvature multipole in magnetization space

$$\sigma_{\text{AHE}}^i = p_{ii_1}^{(1)} P_{i_1}^{(1)} + \sum_{N \geq 3}^{\text{odd}} p_{ii_1 i_2 \dots i_N}^{(N)} P_{i_1 i_2 \dots i_N}^{(N)}$$

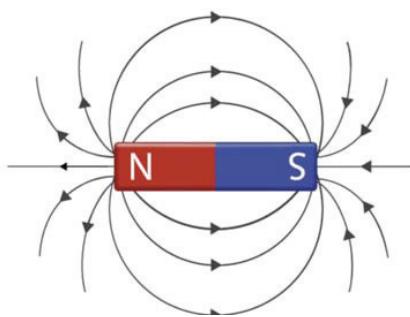
TABLE I. The Hall-type current due to the multipole of the Berry curvature in the momentum and magnetic-order space.

	in momentum space	in magnetic-order space
Monopole $c_i$	$\mathbf{J} = \mathbf{E} \times \mathbf{c}$ [6]	Zero
Dipole $p_{ij}$	$\mathbf{J} = \tau \mathbf{E} \times \mathbf{p} \cdot \mathbf{E}$ [62]	$\mathbf{J} = \mathbf{E} \times \mathbf{p} \cdot \hat{\mathbf{M}}$
Quadrupole $q_{ijk}$	$\mathbf{J} = \tau^2 \mathbf{E} \times \mathbf{q} \cdot \mathbf{EE}$ [63, 64]	Zero
Octupole $o_{ijkl}$	$\mathbf{J} = \tau^3 \mathbf{E} \times \mathbf{o} \cdot \mathbf{EEE}$ [64]	$\mathbf{J} = \mathbf{E} \times \mathbf{o} \cdot \hat{\mathbf{M}} \hat{\mathbf{M}} \hat{\mathbf{M}}$

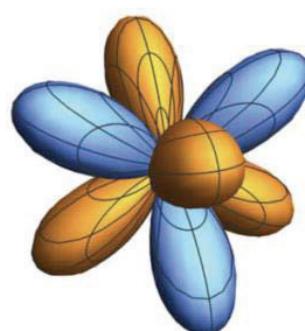
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How to imagine the multipolar structure of Berry curvature in M-space?

Dipole



Octupole



$$\sigma_{\text{AHE}}^i = p_{ii_1}^{(1)} P_{i_1}^{(1)} + \sum_{N \geq 3}^{\text{odd}} p_{ii_1 i_2 \dots i_N}^{(N)} P_{i_1 i_2 \dots i_N}^{(N)}$$

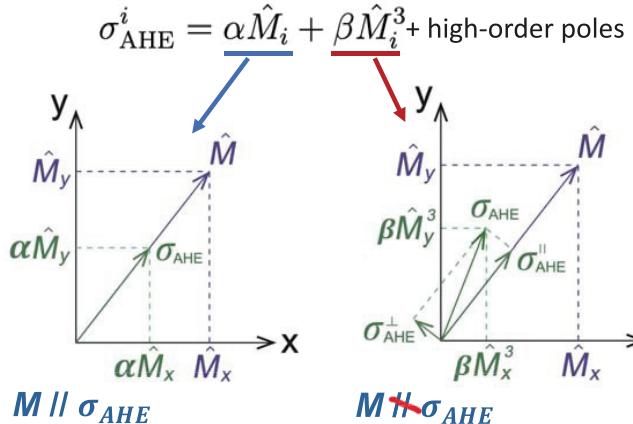
Dipole      Higher-order poles

cubic FM, e.g. Fe, as example:

$$\sigma_{\text{AHE}}^i = \alpha \hat{M}_i + \beta \hat{M}_i^3 + \text{high-order poles}$$

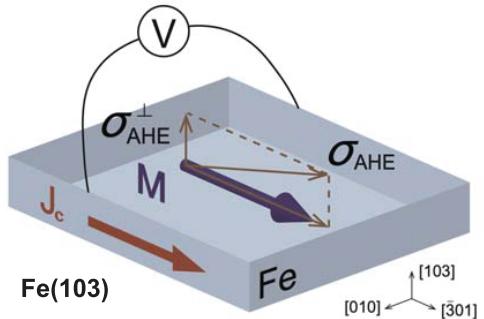
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Let us reconsider the AHE in Fe:

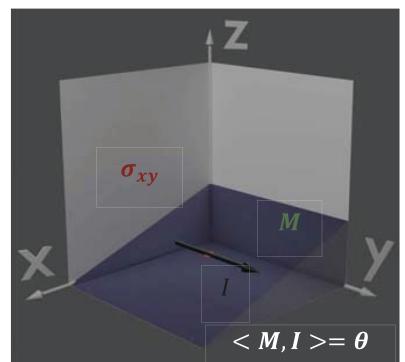
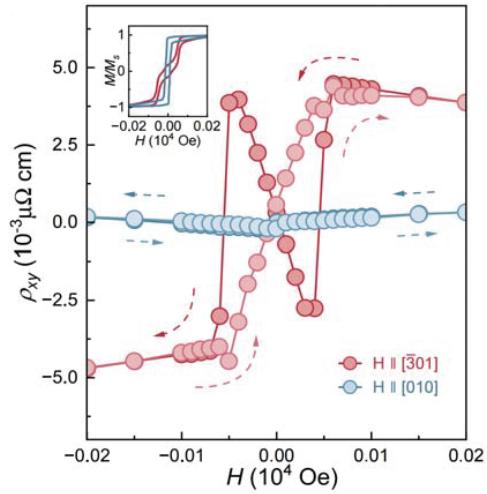
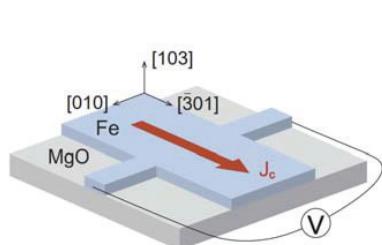


$M$	$(M_x^3, M_y^3, M_z^3)$	alignment between $M$ and $(M_x^3, M_y^3, M_z^3)$
(001)	(001)	Collinear
(111)	(111)	Collinear
(103)	(1,0,27)	Non-collinear
(112)	(118)	Non-collinear

Practical design for the in-plane AHE measurement in Fe

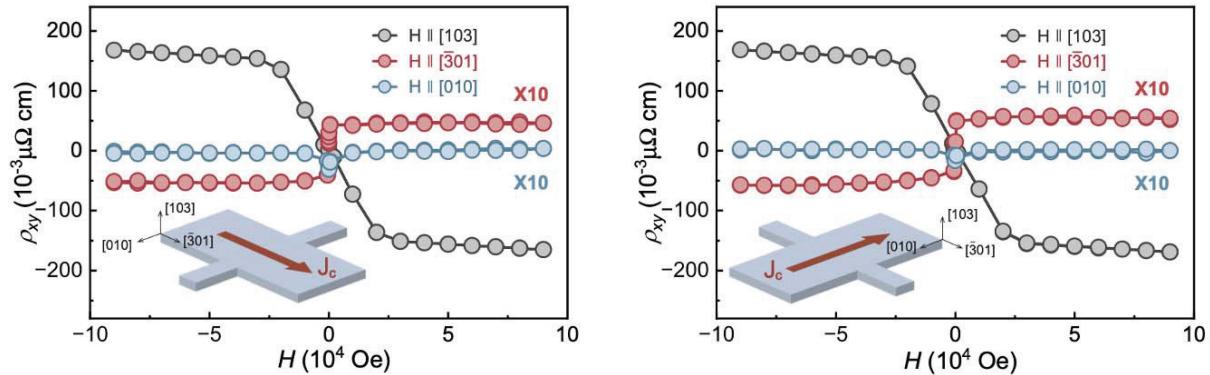


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Wenzhi Peng et al., arXiv:2402.15741 (2024)

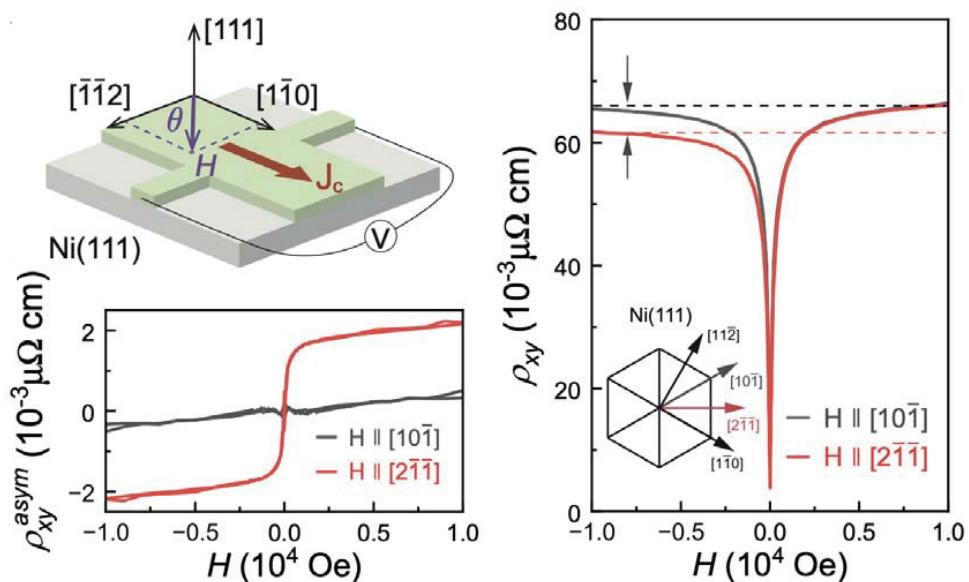
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### Excluding the trial origin of the AHE by tilting out-of-plane $M$

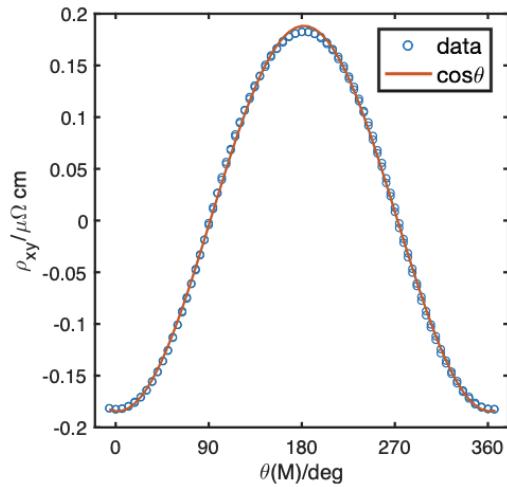
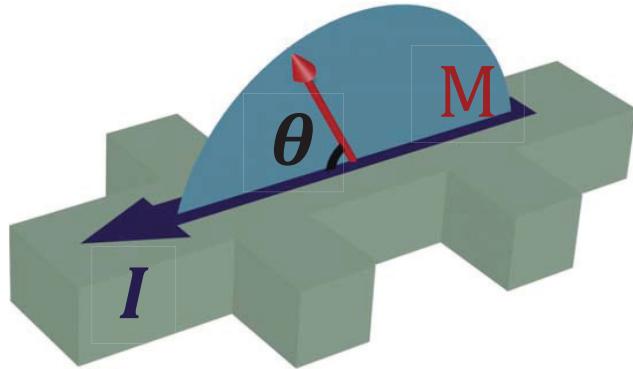
Wenzhi Peng et al., arXiv:2402.15741 (2024)

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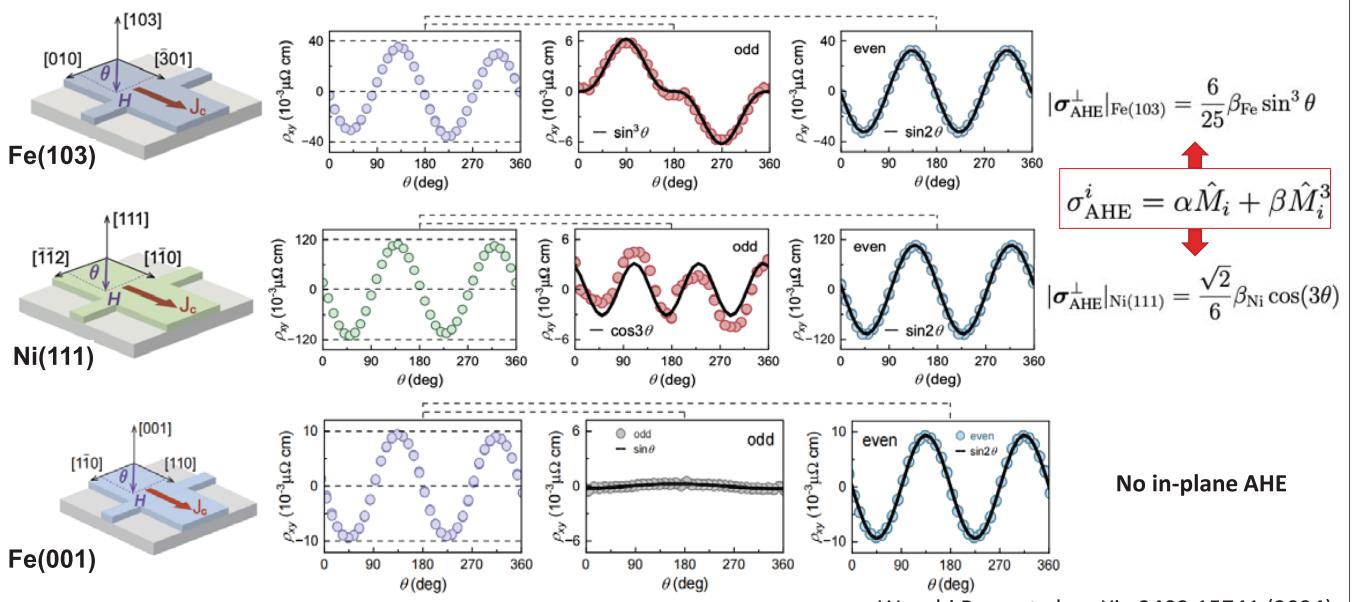


Wenzhi Peng et al., arXiv:2402.15741 (2024)

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Fe(001) @ $|H| = 90000$  Oe

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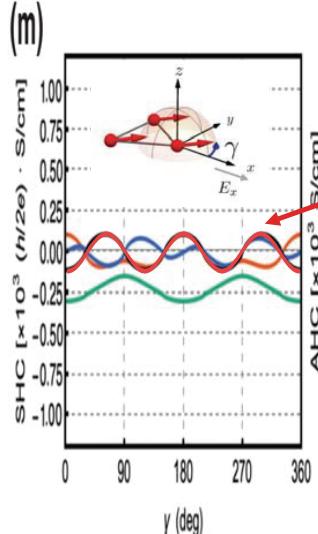
In-plane AHE: various  $M$  angle dependence

Wenzhi Peng et al., arXiv:2402.15741 (2024)

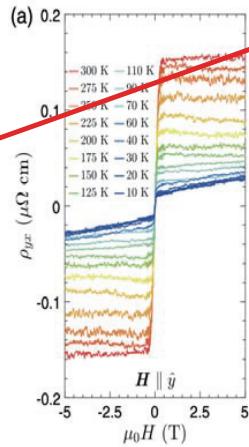
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Consistent with in-plane AHE found recently in various ferromagnets

### $\text{Co}_3\text{Sn}_2\text{S}_2$



### $\text{Fe}_3\text{Sn}_2$



$$\rho_{\text{AHE}} = A \cos(3\phi)$$

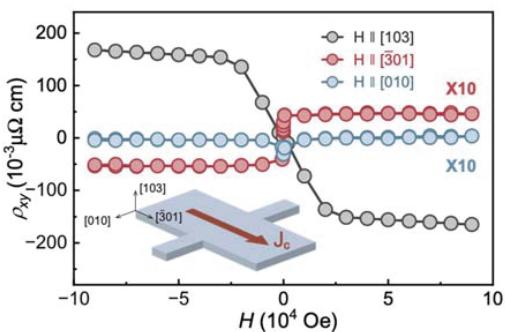
A. Ozawa, K. Kobayashi, K. Nomura, PRA, 21, 014041 (2024)

Lujunyu Wang et. al, Phys. Rev. Lett. 132, 106601 (2024)

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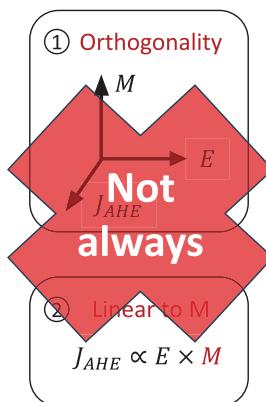
### Discussion

$$\sigma_{\text{AHE}}^i = \alpha \hat{M}_i + \beta \hat{M}_i^3$$



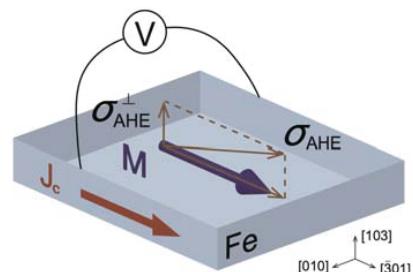
$$\begin{aligned} \alpha &= 840.682 \Omega^{-1} \text{cm}^{-1} \\ \beta &= -136.811 \Omega^{-1} \text{cm}^{-1} \end{aligned} \quad \text{in Fe}$$

Octupole AHE contribution is significant!



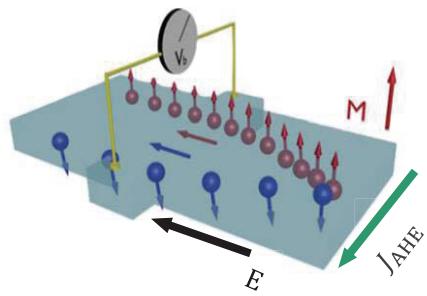
### Features of the Octupole AHE:

- Hall measurement of in-plane  $\mathbf{M}$
- Allowed in all space groups
- Showing various angle dependence



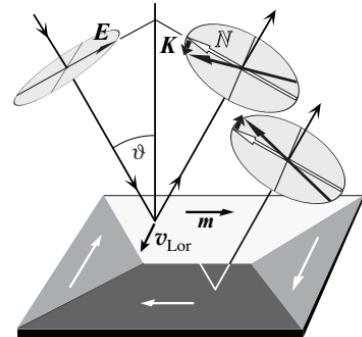
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Anomalous Hall effect



$$E_{xx} \rightarrow E_{xy} @ \text{few Hz}$$

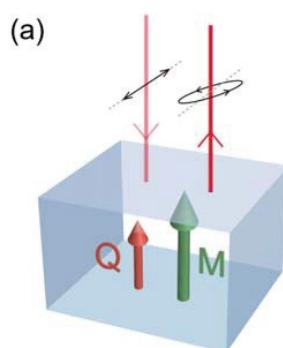
Magneto-optical Kerr effect(MOKE)



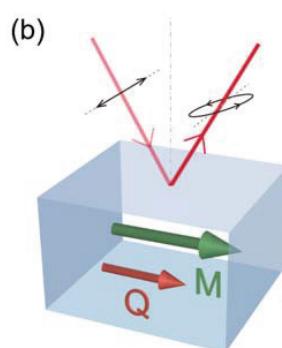
$$E_{xx} \rightarrow E_{xy} @ \sim 10^{14} \text{ Hz}$$

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Orthogonal MOKE: a new geometry of magneto-optical effect

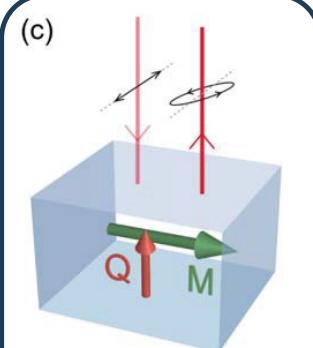


Polar



Longitudinal

$$k \parallel M$$

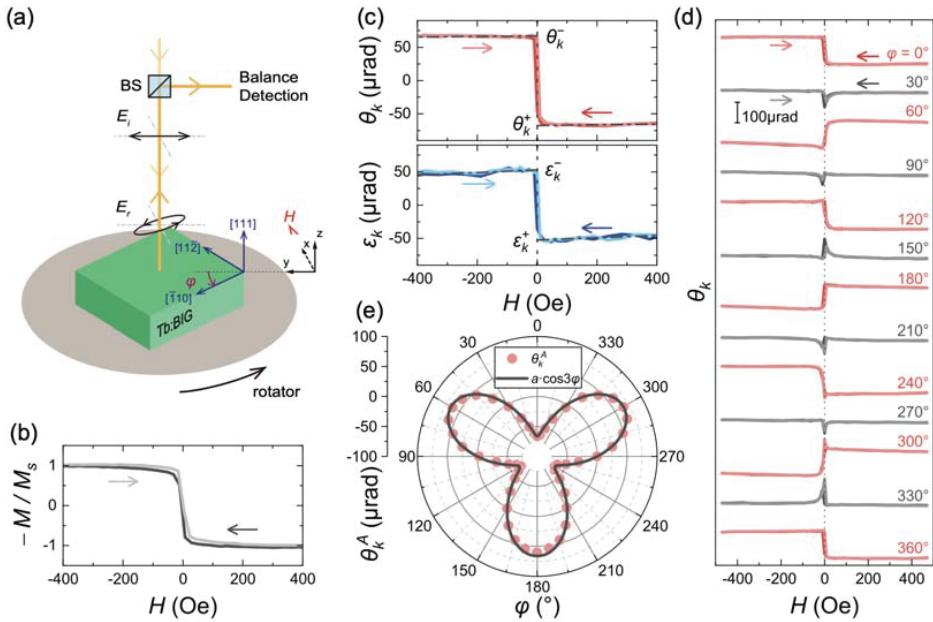


Orthogonal

$$k \perp M$$

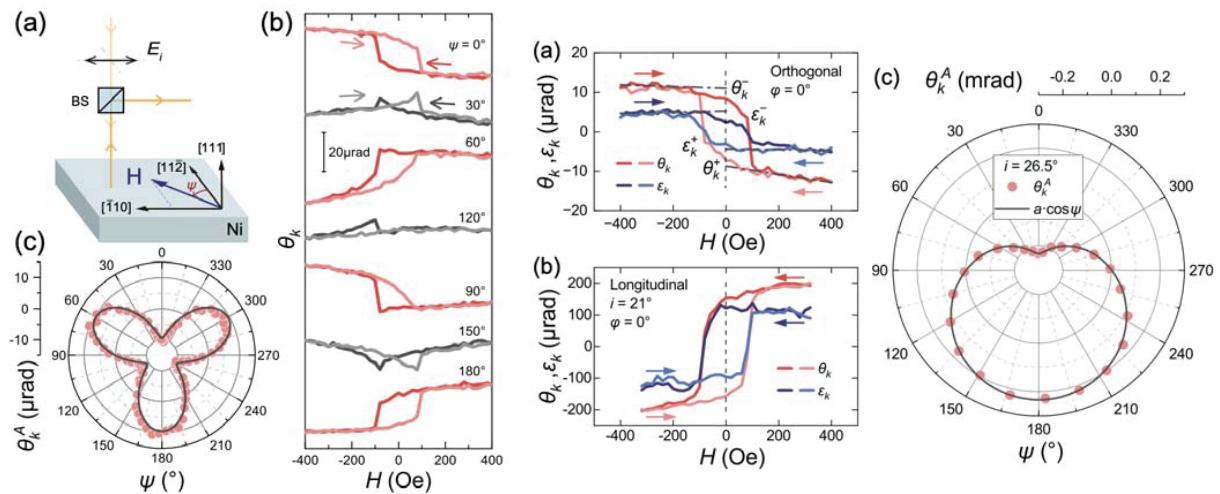
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## Orthogonal MOKE in Tb:BIG



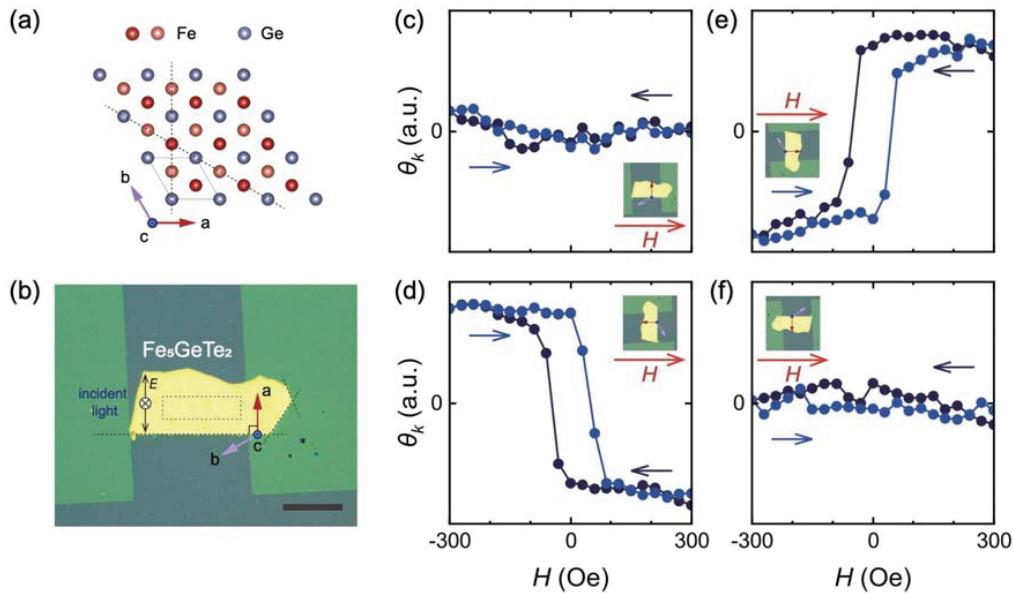
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## Orthogonal MOKE in Ni(111)



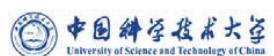
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## Orthogonal MOKE in FGT



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## Summary

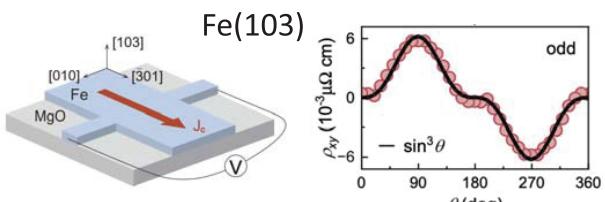


$$\sigma_{\text{AHE}}^i = p_{ij} \hat{M}_i + \frac{1}{15} o_{ijkl} \hat{M}_j \hat{M}_k \hat{M}_l \dots$$

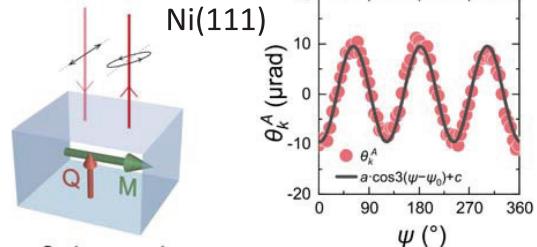
Dipole

Multipolar structure

### In-plane AHE



### Orthogonal MOKE



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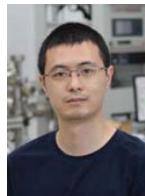
## Acknowledgement

### Hou group

Wenzhi Peng



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### Theorists

Dr. Zheng Liu



Prof. Yang Gao



Prof. Qian Niu

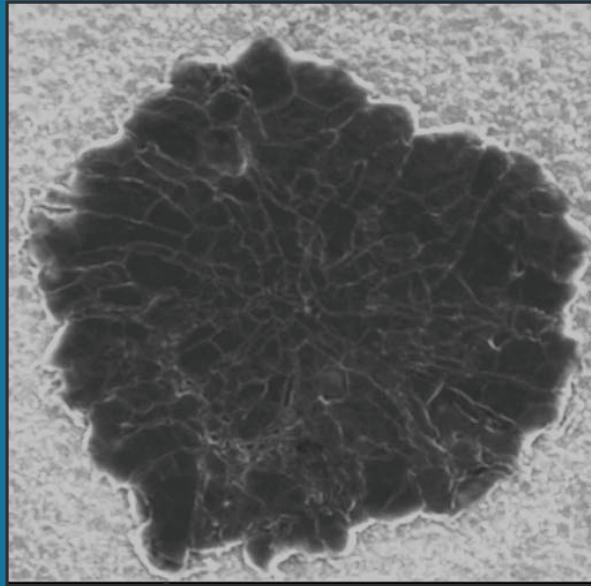


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中华人民共和国  
科学技术部

# MEMO



Lithium plated on Cu

# Formation Rate as a Key Factor in Enhancing the Stability of Anode-Less Lithium Metal Batteries

E-IMR Conference, November 26th, 2024

Soochan Kim, Michael De Volder

University of Cambridge

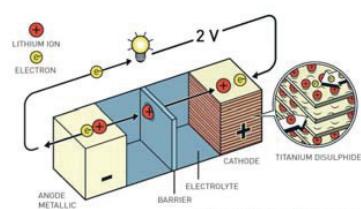
THE FARADAY  
INSTITUTION  
EXTENDING BATTERY LIFE

UNIVERSITY OF  
CAMBRIDGE

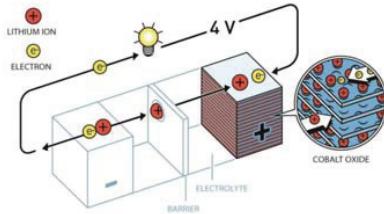
## What are anode-less batteries?

Historic perspective (three contributions to Nobel Prize):

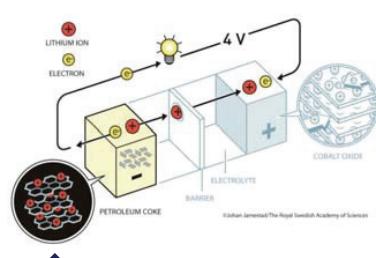
Stanley Whittingham (1973)



John B. Goodenough (1980)



Akira Yoshino (1991)



Dramatic improvements  
possible by revisiting 50 year  
old anode technology

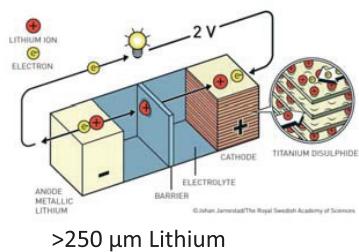
Past 30 years only industrial  
anode development has  
been on adding Si to Gr and  
controlling SEI better

UNIVERSITY OF  
CAMBRIDGE

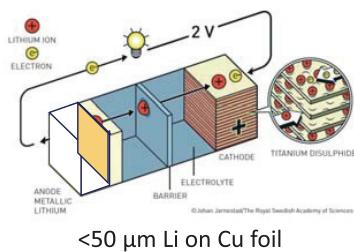
## What are anode-less batteries?

### Historic perspective

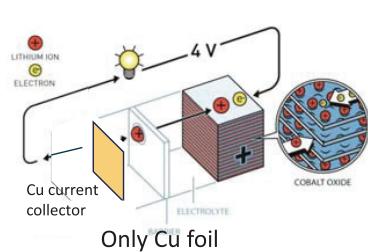
Whittingham (1973) / Half-cell



Li metal anode



Anode-Less

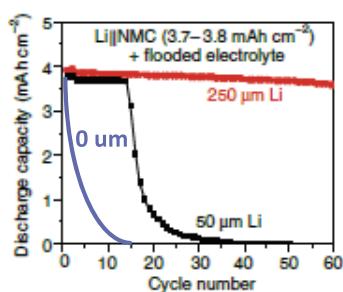
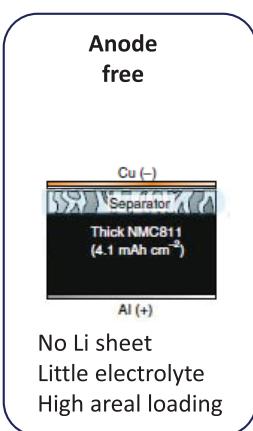
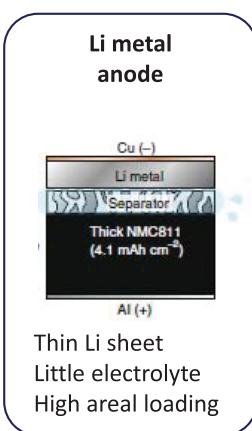
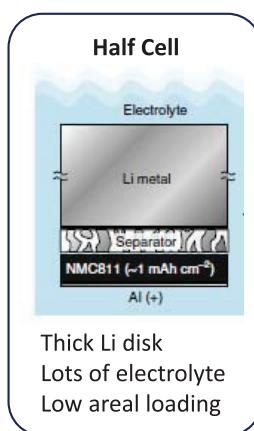


Why Anode-less (anode free) rather than Li metal?

- Manufacturing thin lithium foils (<50 μm thickness) over large areas is challenging
- Processing batteries with Li metal foils requires controlled environment
- N/P ratio = 1, lean design, but no additional Li inventory to artificially increase lifetime



### “Half cells” versus “Li metal anode” versus “Anode free”



Half cells are very different from Anode Free!

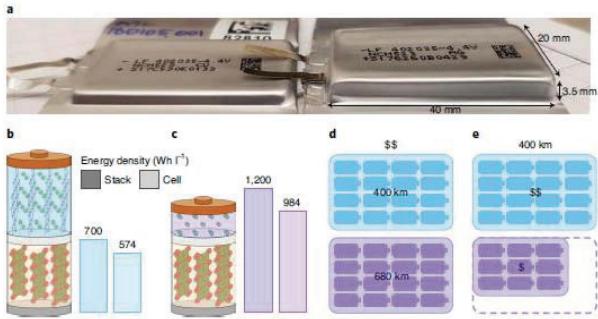
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[Adapted from J. Liu, *Nature Energy* | VOL 4 | MARCH 2019 | 180–186]

What are the benefits if we get anode free batteries right?

The benefits:



[R. Weber, *Nature Energy*, 4, 683–689, 2019]

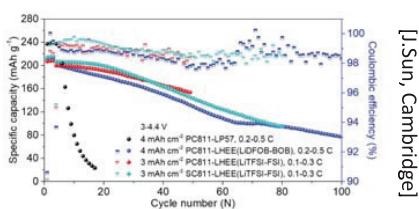
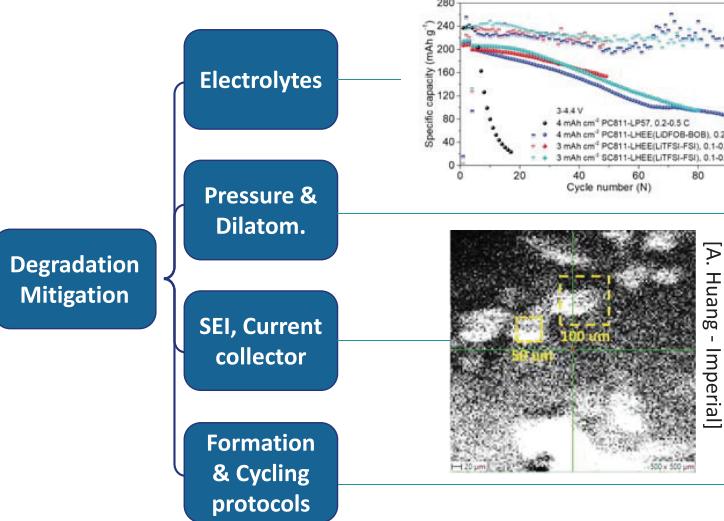
The challenge:



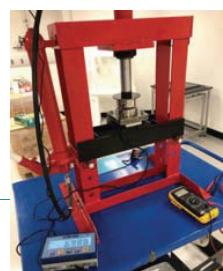
Recording in collaboration  
with Prof H. Arai

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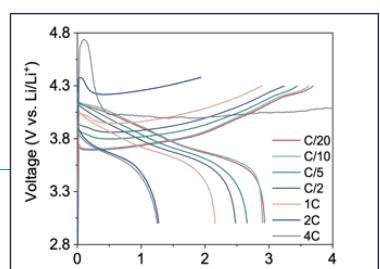
Existing strategies to extend lifetime of anode-less batteries



[J. Sun, Cambridge]



[G. Bree – Warwick]



This presentations

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## Formation protocol background



Cell formation has a strong impact on battery life time

- In academia:

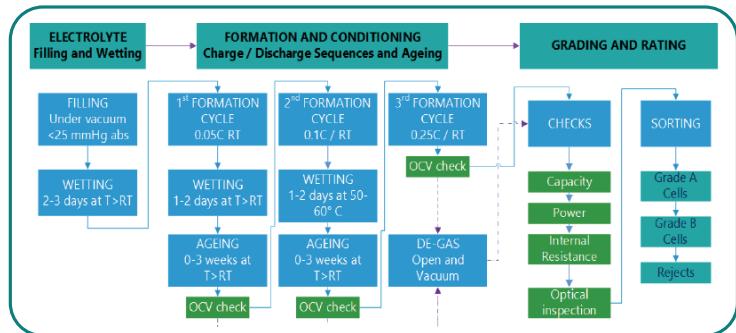
- Rest cells ~ 1 day after electrolyte filling
- Cycle 3 times at C/10

- In industry:

- Protocols are much more complex
- C/20, C/10, C/4 with long rests and de-gassing
- Protocol kept secret

- Common understanding:

- Slow charge/discharge improves Graphite SEI



Anode-less literature: Suggests temperature control is important

Our approach: Check if slow formation protocols developed for Gr are good for anode-less

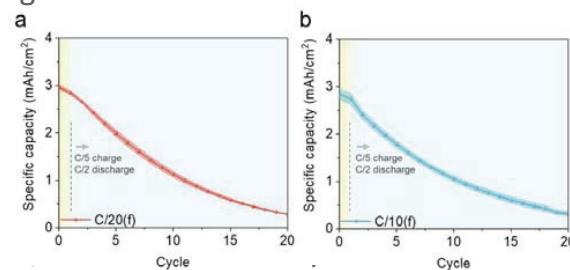
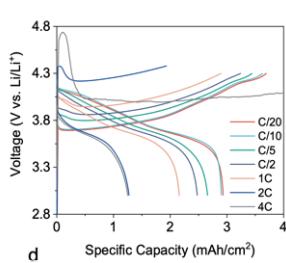
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## Cycling results: Cycling protocol



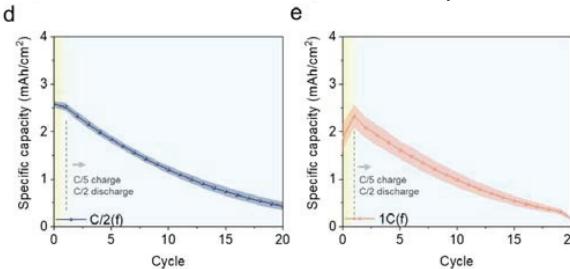
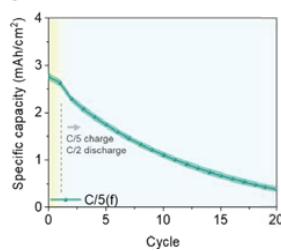
One formation C-D at different C-rates (C/20 to 4C) + Asymmetric cycling at C/5 and D/2

Only the first cycle is changed



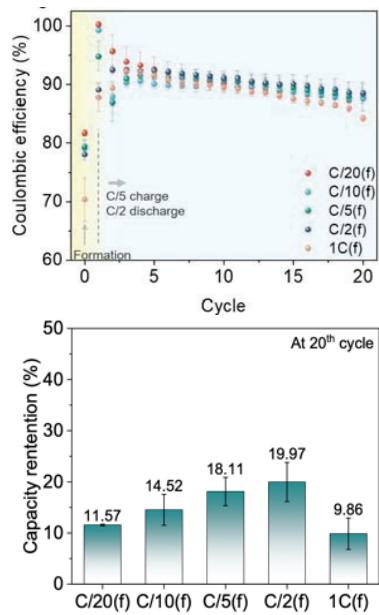
**Cell design:**  
NMC 811 vs Cu  
Areal capacity: 3 mAh/cm<sup>2</sup>,  
1M LiPF<sub>6</sub> in EC:DEC, 1:1, v:v

(2C and 4C formation failed)



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## Cycling results: Coulombic efficiency



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### Observations:

- Small difference in formation rate has huge impact on CE!
- Very slow and very fast formation leads to poor performance
- Highest capacity retention (20%) @ C/2 formation
- Capacity retention can be doubled by changing the formation cycle

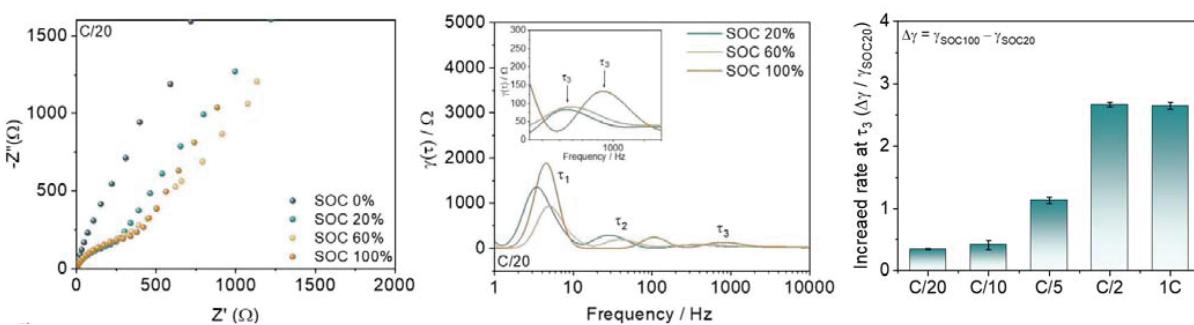
### Hypothesis:

- Initial Li deposition leave traces templating subsequent plating

## EIS – DRT Analysis



Impedance analysis to study SEI formation



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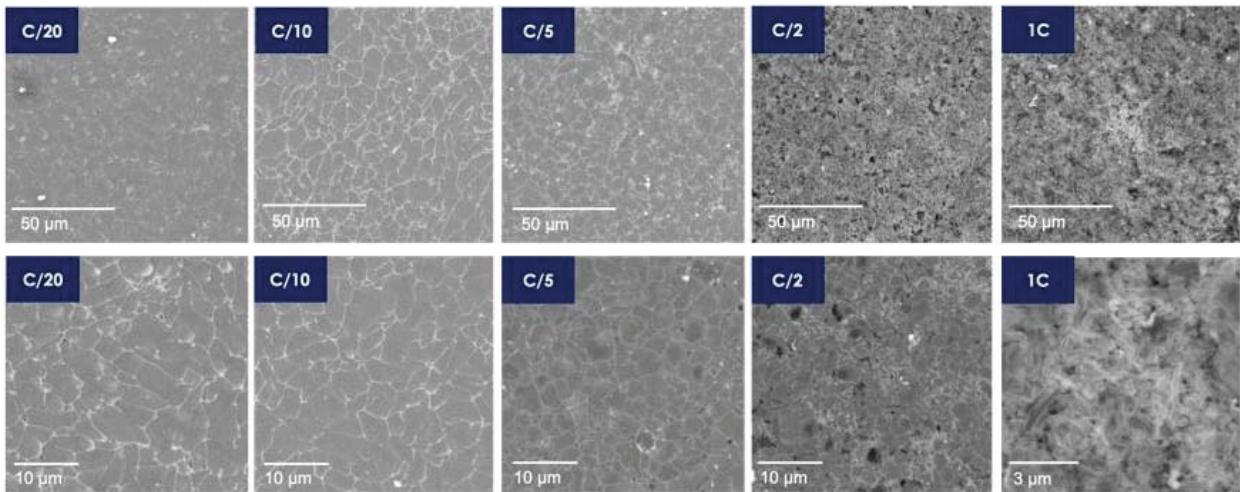
- EIS: Data overlaps, therefore moved to DRT analysis.
- DRT: Distribution of relaxation times.  $\tau_3$  supposedly captures interfacial phenomenon
- $\tau_3$  peak increases more with SOC at fast formation than C/2, which suggesting a different behaviour of the SEI

## Post-mortem SEM analysis: First Plating



Plated Li structure decrease in size with C-rate

At 1C dendrites are observed (in agreement with low CE)

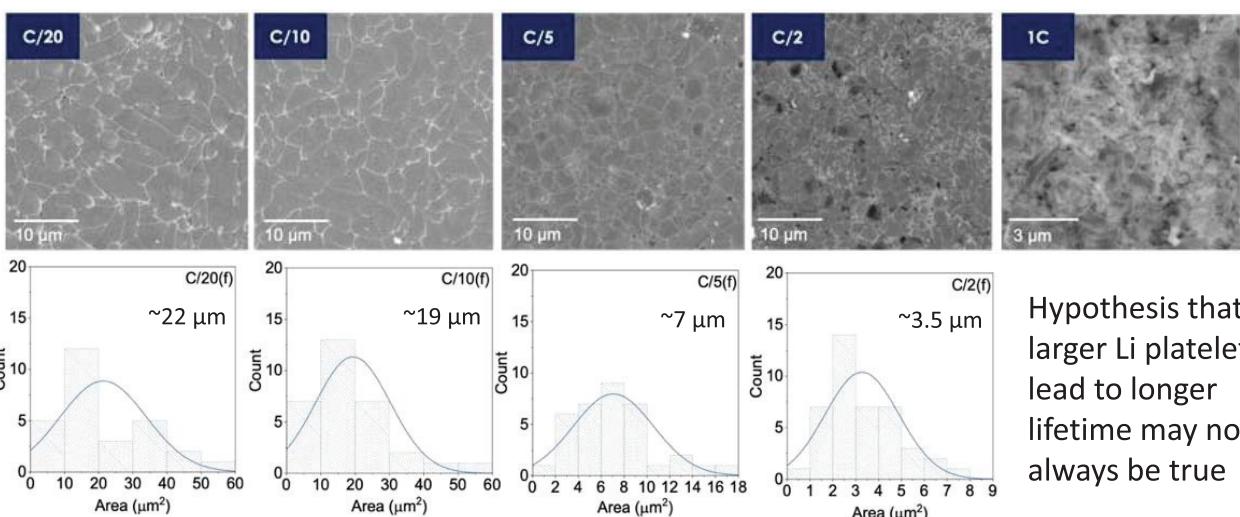


## Post-mortem SEM analysis: First Plating



Li platelet size decreases with C-rate

At 1C dendrites are observed (in agreement with low CE)

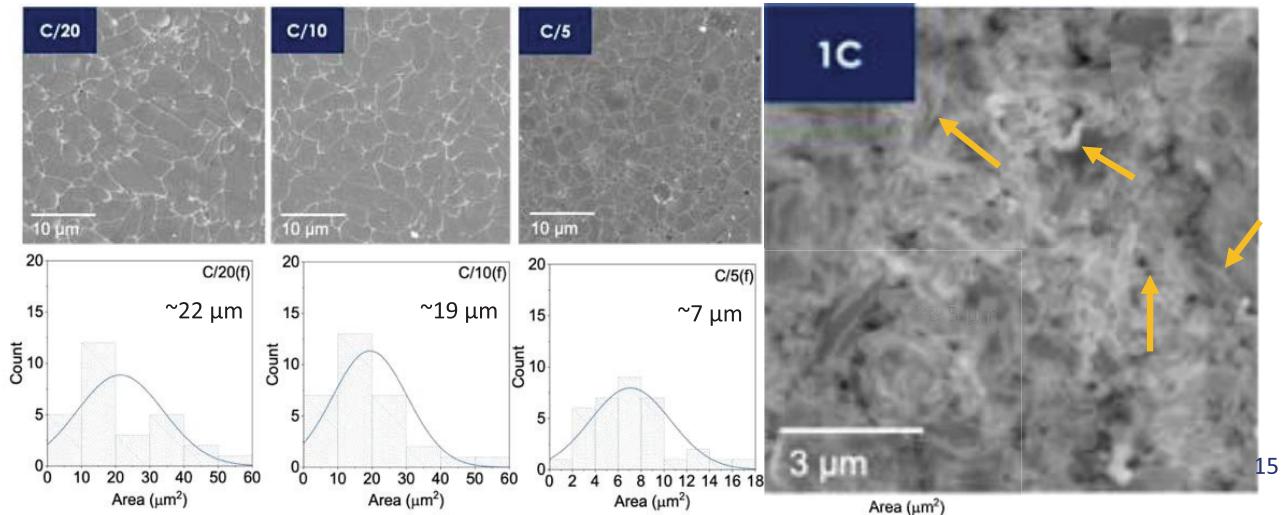


## Post-mortem SEM analysis: First Plating

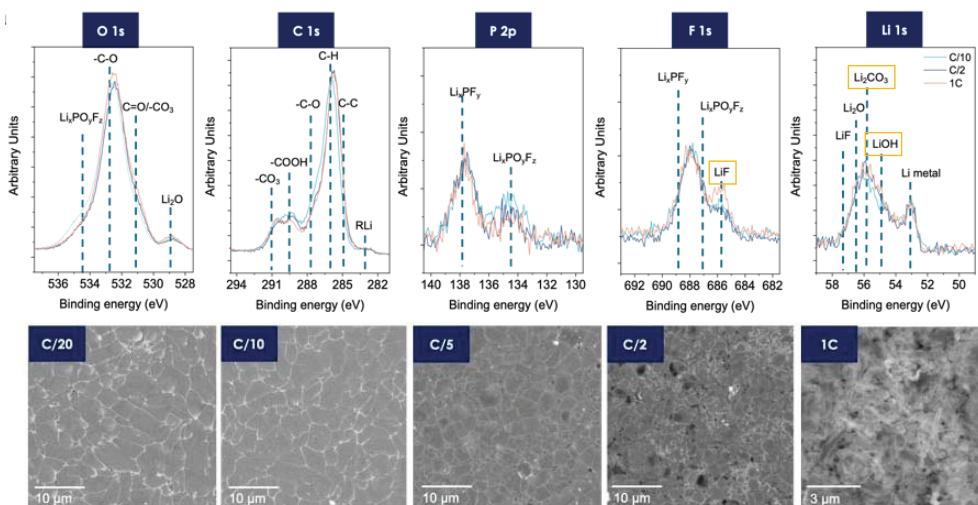


Li platelet size decreases with C-rate

At 1C dendrites are observed (in agreement with low CE)



## Post-mortem SEM analysis: First Plating SEI



[XPS by Weatherup group]

High rate, LiF, Li<sub>2</sub>CO<sub>3</sub>, and LiOH, signal increases.

Inorganic SEIs are known to improve anode-less LIB cycling stability.

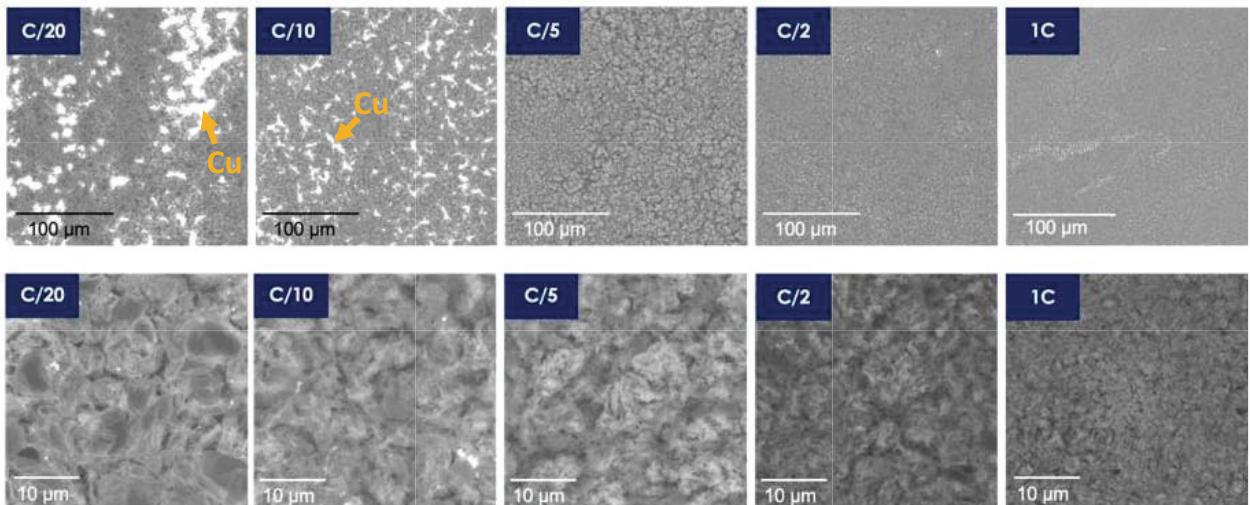
This is reflected in higher CE

## Post-mortem SEM analysis: First Stripping



Stripped Li after formation at different C-rates

At low C-rate Cu is exposed, which may lead to preferential deposition on Li residues



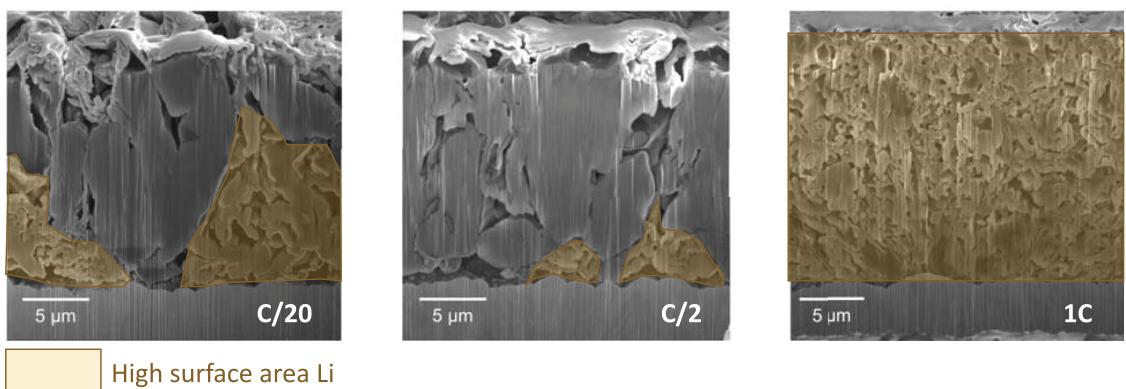
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## Cross-section SEM @ 2<sup>nd</sup> Charge



Differences in substrate after first formation, lead to very different second plating

These Cross section after second plating all @ C/5



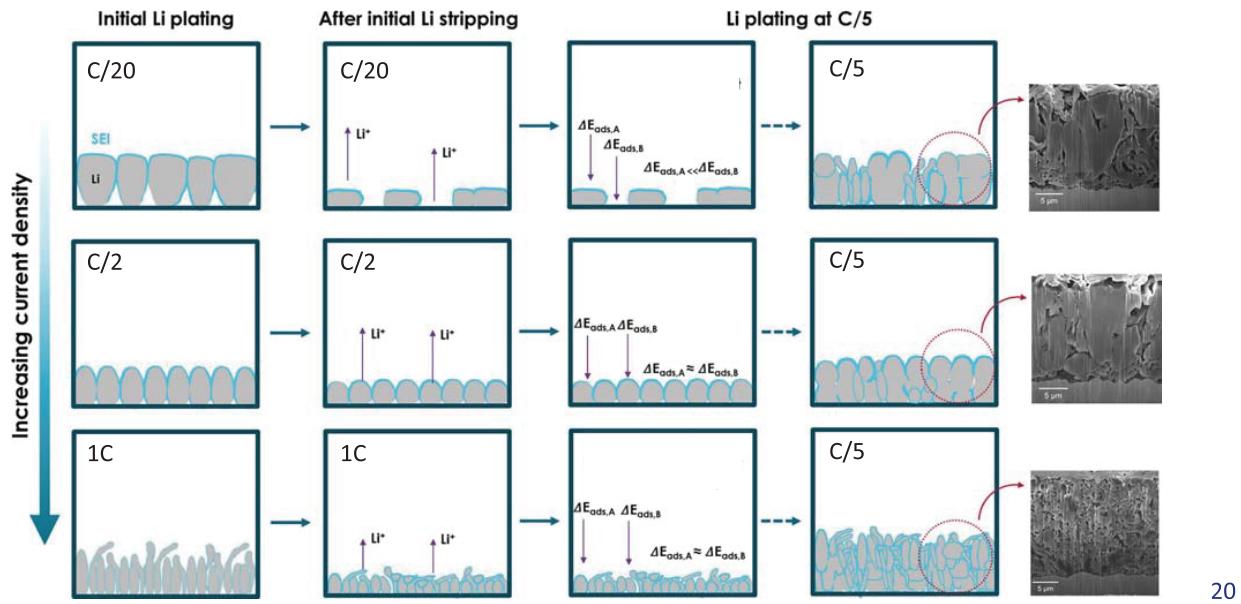
High surface area Li

First cycle has large impact in subsequent cycles which explains differences in CE

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## Proposed Li morphology evolution

+ -



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## Is this trend universal or specific to one electrolyte?

+ -

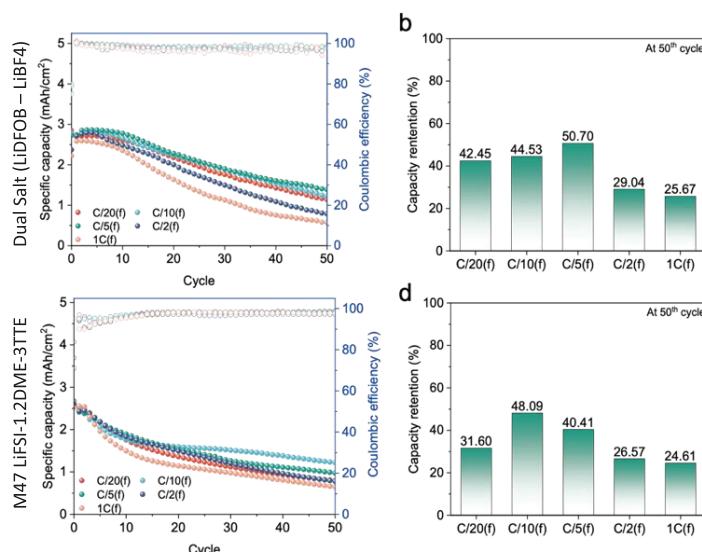
Repeated formation and cycling protocol on:

- Dual Salt LiDFOB-LiBF4
- Localised high concentration LiFSI-1.2DME-3TTE (M47)

Optimal formation rates are different

- C/5 for dual salt
  - C/10 for M47
- for 3 mAh/cm<sup>2</sup> cells

Are the trends on the Li morphology the same as observed previously?

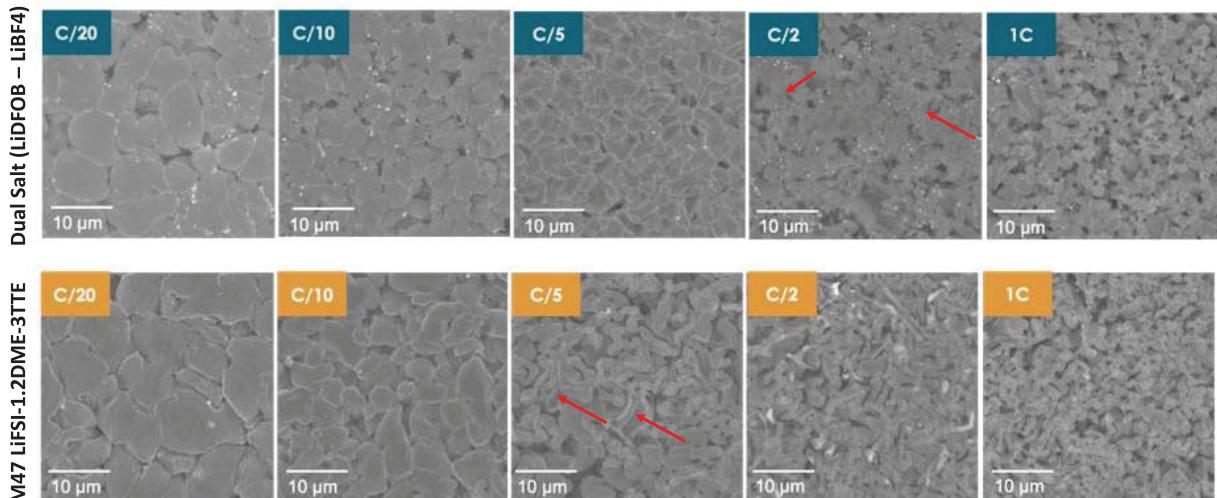


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## Li plating morphology



Electrolyte influences plated Li morphology



Largest platelets do not lead to best cycling performance

Best formation always at fastest rate prior to dendrite formation

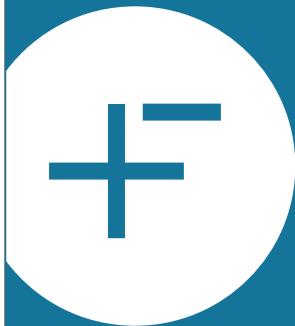
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## Summary: The secret life of anode-less batteries

Anode less batteries are very different from Graphite and Li-metal cells!

- Formation cycles should be as slow as possible
- Fastest rate that does not lead to dendrite formation gives best capacity retention for 3 families of electrolytes tested
- The initial plating and stripping dictates plating behaviour in subsequent cycles
- Optimised formation can double battery life-time

Thank you!



## Acknowledgements



### Effect of the Formation Rate on the Stability of Anode-Free Lithium Metal Batteries

Soochan Kim,\* Pravin N. Didwal, Juliane Fiates, James A. Dawson, Robert S. Weatherup, and Michael De Volder\*

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Degradation



# MEMO

# MEMO

# MEMO

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12:55 – 17:30



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# E-IMR International Workshop 2024 11.26 Thu. 12:55-17:30

Hours	Content & Speakers
12:40	opening
12:55 - 13:05	Opening Remarks Tetsu Ichitsubo [Center Director, E-IMR Center]
【research publication】	
13:05 – 13:20	「On the dynamics of magnets and ferroelectrics」 Bauer Gerritt E.W [Solar Energy Conversion Materials Research Unit / Advanced Institute for Materials Research Professor]
13:20 – 13:45	「Recent Progresses in Skyrmionic Materials and Devices」 Wanjun Jiang [Tsinghua University Professor]
13:45 – 14:00	「Dissociative Oxygen Adsorption and Incorporation in $\text{Co}_3\text{O}_4$ -Dispersed PCFC Cathodes」 Hitoshi Takamura [Energy Storage Materials Research Unit / School of Engineering Tohoku University Professor]
14:00 – 14:25	「Revealing the Surface Termination Effect of Perovskite for Oxygen Exchange Reaction」 Di Chen [Tsinghua University Associate Professor]
14:25 – 14:50	「Mobility and clustering of O and anion vacancies in perovskites as energy materials」 Francesco Cordero [CNR-ISM Istituto di Struttura della Materia Primo Ricercatore]
14:50 – 15:00	Break (10 minutes)
15:00 – 15:15	「Nanostructure and chemical state imaging of energy materials by coherent X-ray diffraction」 Yukio Takahashi [Materials Evaluation and Analysis Research Unit / International Center for Synchrotron Radiation Innovation Smart Professor]
15:15 – 15:30	「Layered Manganese Dioxide as a Heat-Storage Material Utilizing Environmental Water Vapor」 Norihiko Okamoto [Solar Energy Conversion Materials Research Unit / Institute for Materials Research Associate Professor]
15:30 – 15:45	「A new AB <sub>3</sub> -based alloy with reversible hydrogen absorption and desorption reactions and less degradation」 Toyoto Sato [Energy Storage Materials Research Unit / Institute for Materials Research Associate Professor]
15:45 – 16:00	「Interface Design for Room-Temperature Rechargeable Magnesium Batteries with Transition Metal Oxide Cathodes」 Hongyi Li [Energy Storage Materials Research Unit/ Institute for Materials Research Project Assistant Professor]
16:00 – 16:10	Break (10 minutes)
16:10 – 16:35	「Recent advances of silicon crystal for solar cells」 Deren Yang [Zhejiang University Professor]
16:35 – 17:00	「Probing the Multipolar Structure of Berry Curvature in Magnetization Space by the In-plane Anomalous Hall Effect」 Dazhi Hou [University of Science and Technology of China Professor]
17:00 – 17:25	「Formation Rate as a Key Factor in Enhancing the Stability of Anode-Less Lithium Metal Batteries」 Michael De Volder [University of Cambridge Professor]
17:25 – 17:30	Closing Remarks Tetsu Ichitsubo [Center Director, E-IMR Center]